

**This Page Is Inserted by IFW Operations  
and is not a part of the Official Record**

## **BEST AVAILABLE IMAGES**

**Defective images within this document are accurate representations of the original documents submitted by the applicant.**

**Defects in the images may include (but are not limited to):**

- **BLACK BORDERS**
- **TEXT CUT OFF AT TOP, BOTTOM OR SIDES**
- **FADED TEXT**
- **ILLEGIBLE TEXT**
- **SKEWED/SLANTED IMAGES**
- **COLORED PHOTOS**
- **BLACK OR VERY BLACK AND WHITE DARK PHOTOS**
- **GRAY SCALE DOCUMENTS**

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**



Europäisches Patentamt  
European Patent Office  
Office européen des brevets



Publication number: **0 184 162 B1**

(12)

## EUROPEAN PATENT SPECIFICATION

- (49) Date of publication of patent specification: 27.04.94 (51) Int. Cl.<sup>5</sup>: **C07D 498/18, C12P 17/16, A61K 31/33, C12N 1/20, //(C07D498/18,311:00,273:00, 209:00),(C07D498/18,311:00, 273:00,221:00),(C12P17/16, C12R1:465),(C12N1/20, C12R1:465),(C12N1/20, C12R1:55)**
- (21) Application number: 85115202.5
- (22) Date of filing: 30.11.85

- (54) Tricyclo compounds, a process for their production and a pharmaceutical composition containing the same.

- (30) Priority: 03.12.84 GB 8430455  
05.02.85 GB 8502869  
01.04.85 GB 8508420
- (43) Date of publication of application:  
11.06.86 Bulletin 86/24
- (45) Publication of the grant of the patent:  
27.04.94 Bulletin 94/17
- (84) Designated Contracting States:  
AT BE CH DE FR GB IT LI LU NL SE
- (56) References cited:  
US-A- 3 244 592

- (73) Proprietor: FUJISAWA PHARMACEUTICAL  
CO., LTD.  
3, Doshomachi 4-chome  
Higashi-ku  
Osaka-shi Osaka 541(JP)
- (72) Inventor: Okuhara, Masakuni  
No. 14-10, Umezono 2-chome  
Sakuramura  
Niihari-gun Ibaraki(JP)  
Inventor: Tanaka, Hirokazu  
No. 15-1-502, Namiki 4-chome  
Sakuramura  
Niihari-gun Ibaraki(JP)  
Inventor: Goto, Toshio  
No. 14-20, Sengen 1-chome  
Sakuramura  
Niihari-gun Ibaraki(JP)

Note: Within nine months from the publication of the mention of the grant of the European patent, any person may give notice to the European Patent Office of opposition to the European patent granted. Notice of opposition shall be filed in a written reasoned statement. It shall not be deemed to have been filed until the opposition fee has been paid (Art. 99(1) European patent convention).

EP 0 184 162 B1

CHEMICAL ABSTRACTS, vol. 90, no. 3, 1979,  
pag 635, abstract no. 22856u, Columbus,  
Ohio, US ; D.C.N. SWINDELLS et al. : "The  
x-ray crystal structure of rapamycin,  
C<sub>51</sub>H<sub>79</sub>NO<sub>13</sub>"

CHEMICAL ABSTRACTS, vol. 97, no. 21, 1982,  
page 793, abstract no. 182063f, Columbus,  
Ohio, US ; J.A. FINDLEY et al. : "The structure  
of demethoxyrapamycin"

Canadian Journal of Chemistry, vol. 58, 1980,  
page 579

Canadian Journal of Chemistry, vol. 60, 1982,  
page 2046

Inventor: Kino, Tohru  
No. 11-11, Nakamura Minami 6-chome  
Tsuchiura-shi Ibaraki(JP)  
Inventor: Hatanaka, Hiroshi  
No. 15-1-205, Namiki 4-chome  
Sakuramura  
Niihari-gun Ibaraki(JP)

⑦ Representative: Türk, Gille, Hrabal, Lelfert  
Brucknerstrasse 20  
D-40593 Düsseldorf (DE)

**D scription**

This invention relates to novel tricyclo compounds having pharmacological activities, to a process for their production, to a pharmaceutical composition containing the same and to biologically pure cultures.

5 More particularly, it relates to novel tricyclo compounds, which have pharmacological activities such as immunosuppressive activity, antimicrobial activity, and the like, to a process for their production, to a pharmaceutical composition containing the same and to a use thereof.

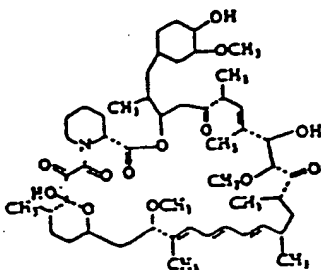
In Canadian Journal of Chemistry 58, 579 (1980)

10

Rapamycin of the following formula:

15

20



25

is disclosed in this prior art, which was isolated from cultures of Streptomyces hygroscopicus. The sole utility of Rapamycin is "antifungal antibiotic" activity.

In Canadian Journal of Chemistry 60, 2046 (1982)

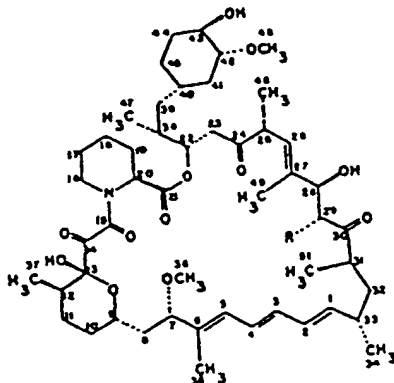
30

Demethoxyrapamycin of the formula:

35

40

45



R = H

is disclosed in this prior art, which is isolated from cultures of Streptomyces hygroscopicus.

The sole utility of this Demethoxyrapamycin is "antifungal antibiotic" activity.

50

The antifungal substance identified as Ascomycin is disclosed in USP 3,244,592, which is produced by cultivating a strain of Streptomyces hygroscopicus var. ascomyceticus (ATCC 14891).

Accordingly, one object of this invention is to provide the novel tricyclo compounds, which are useful for treatment and prevention of resistance by transplantation, graft-versus-host diseases by medulla ossium transplantation, autoimmune diseases, and infectious diseases.

55

Another object of this invention is to provide a process for production of the tricyclo compounds by fermentation processes and synthetic processes.

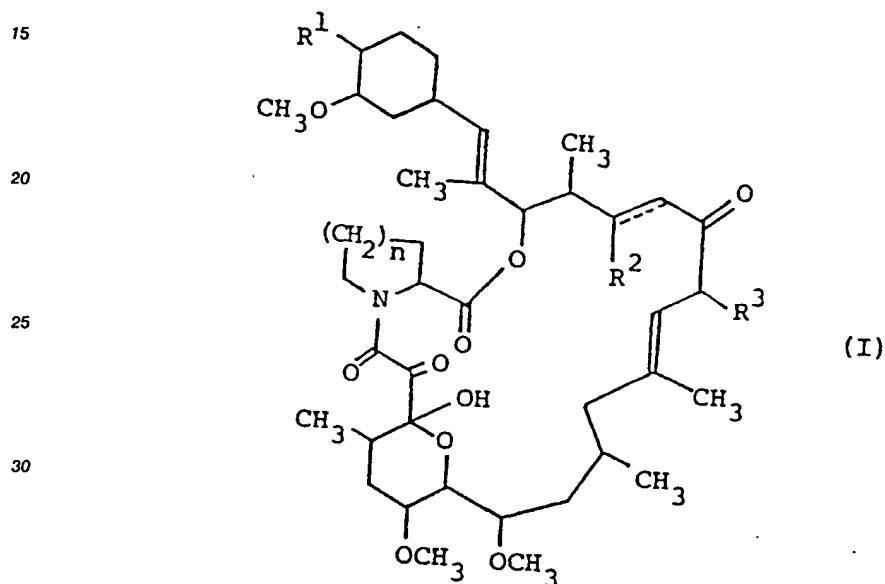
A further object of this invention is to provide a pharmaceutical composition containing, as active ingredients, the tricyclo compounds.

Still further object of this invention is to provide a use of the tricyclo compounds for manufacturing a medicament for treating and preventing resistance by transplantation, graft-versus-host diseases by medulla ossium transplantation, autoimmune diseases, infectious diseases, and the like.

With respect to the present invention, it is to be noted that this invention is originated from and based on the first and new discovery of new certain specific compounds, FR-900506, FR-900520, FR-900523 and FR-900525 substances. In more detail, the FR-900506, FR-900520, FR-900523 and FR-900525 substances were firstly and newly isolated in pure form from culture broths obtained by fermentation of new species belonging to genus *Streptomyces*.

And, as a result of an extensive study for elucidation of chemical structures of the FR-900506, FR-900520, FR-900523 and FR-900525 substances the inventors of this invention have succeeded in determining the chemical structures thereof and in producing the tricyclo compounds of this invention.

The new tricyclo compounds of this invention can be represented by the following general formula:



wherein

R<sup>1</sup> is hydroxy or commonly protected hydroxy,

R<sup>2</sup> is hydrogen, hydroxy or commonly protected hydroxy,

R<sup>3</sup> is methyl, ethyl, propyl or allyl,

n is an integer of 1 or 2, and

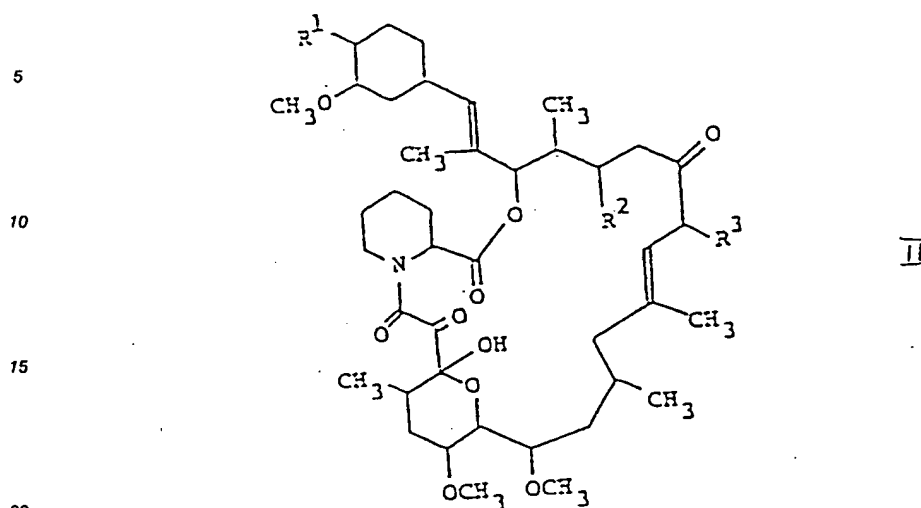
the symbol of a line and dotted line is a single bond or a double bond,

provided that when R<sup>1</sup> and R<sup>2</sup> are each hydroxy, n is an integer of 2 and the symbol of a line and

dotted line is a single bond, then R<sup>3</sup> is methyl, propyl or allyl,

and salt thereof.

A preferred compound is such a compound which can be represented by the following formula



wherein

R<sup>1</sup> is hydroxy or commonly protected hydroxy

R<sup>2</sup> is hydroxy or commonly protected hydroxy, and

R<sup>3</sup> is methyl, propyl or allyl.

It is preferred that R<sup>3</sup> in compound II is allyl.

It is further preferred that

R<sup>1</sup> is tri(C<sub>1</sub>-C<sub>6</sub>)alkylsilyloxy, C<sub>1</sub>-C<sub>6</sub> alkyl-diphenylsilyloxy, pharmaceutically acceptable organic carboxylic acyloxy or pharmaceutically acceptable organic sulfonic acyloxy.

It is particularly preferred that in compound II

R<sup>1</sup> is hydroxy; C<sub>1</sub>-C<sub>6</sub> alkylthiomethoxy;

tri(C<sub>1</sub>-C<sub>6</sub>)alkylsilyloxy; C<sub>1</sub>-C<sub>6</sub> alkyl-diphenylsilyloxy;

C<sub>1</sub>-C<sub>6</sub> alkanoyloxy which may have carboxy;

cyclo (C<sub>3</sub>-C<sub>6</sub>)alkoxy(C<sub>1</sub>-C<sub>6</sub>)alkanoyloxy which may have two C<sub>1</sub>-C<sub>6</sub> alkyl groups on the cycloalkyl moiety;

camphorsulfonyloxy; aroyloxy which may have one or two nitro, in which the aroyl moiety is selected from the group consisting of benzoyl, toluoyl, xyloyl and naphthoyl; arenesulfonyloxy which may have halogen, in which the arene moiety is selected from the group consisting of benzene, toluene, xylene and naphthalene; or phenyl(C<sub>1</sub>-C<sub>4</sub>)alkanoyloxy which may have C<sub>1</sub>-C<sub>6</sub> alkoxy and trihalo(C<sub>1</sub>-C<sub>6</sub>)alkyl, and R<sup>2</sup> is hydroxy or C<sub>1</sub>-C<sub>6</sub> alkanoyloxy.

According to one embodiment R<sup>1</sup> is C<sub>1</sub>-C<sub>6</sub> alkanoyloxy and R<sup>2</sup> is hydroxy or C<sub>1</sub>-C<sub>6</sub> alkanoyloxy. Other exemplified compounds are 12-[2-(4-acetoxy-3-methoxycyclohexyl)-1-methylvinyl]-17-allyl-1,14-dihydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]-octacos-18-ene-2,3,10,16-tetraone;

14-acetoxy-12-[2-(4-acetoxy-3-methoxycyclohexyl)-1-methylvinyl]-17-allyl-1-hydroxy-23,25-

dimethoxy-13,19,21,27-tetramethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]-octacos-18-ene-2,3,10,16-tetraone;

1,14-dihydroxy-12-[2-(4-hydroxy-3-methoxycyclohexyl)-1-methylvinyl]-23,25-dimethoxy-13,19,17,21,27-

pentamethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]-octacos-18-ene-2,3,10,16-tetraone;

16-allyl-1,13-dihydroxy-11-[2-(4-hydroxy-3-methoxycyclohexyl)-1-methylvinyl]-22,24-dimethoxy-12,18,20,26-tetramethyl-

10,27-dioxa-4-azatricyclo[21.3.1.0<sup>4,9</sup>]-heptacos-17-ene-2,3,9,15-tetraone.

Most preferred is 17-allyl-1,14-dihydroxy-12-[2-(4-hydroxy-3-methoxycyclohexyl)-1-methylvinyl]-23,25-

dimethoxy-13,19,21,27-tetramethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]-octacos-18-ene-2,3,10,16-tetraone.

Another group of compounds are those of formula 1, wherein R<sup>1</sup> is hydroxy, C<sub>1</sub>-C<sub>6</sub> alkylthiomethoxy, C<sub>1</sub>-C<sub>6</sub> alkanoyloxy or arenesulfonyloxy which may have halogen, in which the arene moiety is selected from the group consisting of benzene, toluene, xylene and naphthalene, R<sup>2</sup> is hydrogen or hydroxy, n is an integer

of 2 and the symbol of a line and dotted line is a double bond. Another group are compounds of formula 1, wherein R<sup>1</sup> is hydroxy, 1-(C<sub>1</sub>-C<sub>6</sub> alkylthio)(C<sub>1</sub>-C<sub>6</sub>)alkoxy, tri-(C<sub>1</sub>-C<sub>6</sub>)alkylsilyloxy, C<sub>1</sub>-C<sub>6</sub> alkyl-diphenylsilyloxy, or acyloxy.

Among the object compound (I), the following four specific compounds were found to be produced by fermentation.

(1) The compound (I) wherein  $R^1$  and  $R^2$  are each hydroxy,  $R^3$  is allyl,  $n$  is an integer of 2, and the symbol of a line and dotted line is a single bond, which is entitled to the FA-900506 substance;

(2) The compound (I) wherein  $R^1$  and  $R^2$  are each hydroxy,  $R^3$  is ethyl,  $n$  is an integer of 2, and the symbol of a line and dotted line is a single bond, which is entitled to the FR-900520 substance (another name: the WS 7238A substance);

(3) The compound (I) wherein  $R^1$  and  $R^2$  are each hydroxy,  $R^3$  is methyl,  $n$  is an integer of 2, and the symbol of a line and dotted line is a single bond; which is entitled to the FR-900523 substance (another name: the WS 7238B substance); and

(4) The compound (I) wherein  $R^1$  and  $R^2$  are each hydroxy,  $R^3$  is allyl,  $n$  is an integer of 1, and the symbol of a line and dotted line is a single bond, which is entitled to the FR-900525 substance.

With respect to the tricyclo compounds (I) of this invention, it is to be understood that there may be one or more conformer(s) or stereoisomeric pairs such as optical and geometrical isomers due to asymmetric carbon atom(s) and double bond(s); and such isomers are also included within a scope of this invention.

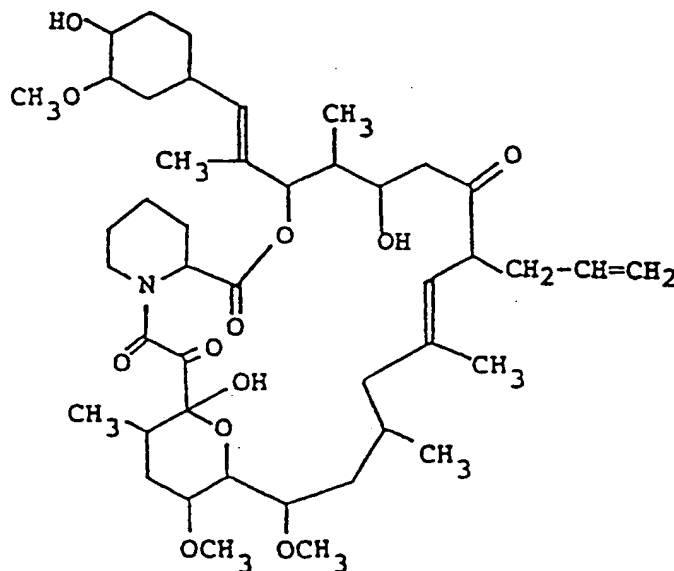
A further object of the present invention is the preparation of the tricyclo compounds (I) by the following processes.

#### [I] Fermentation Processes:

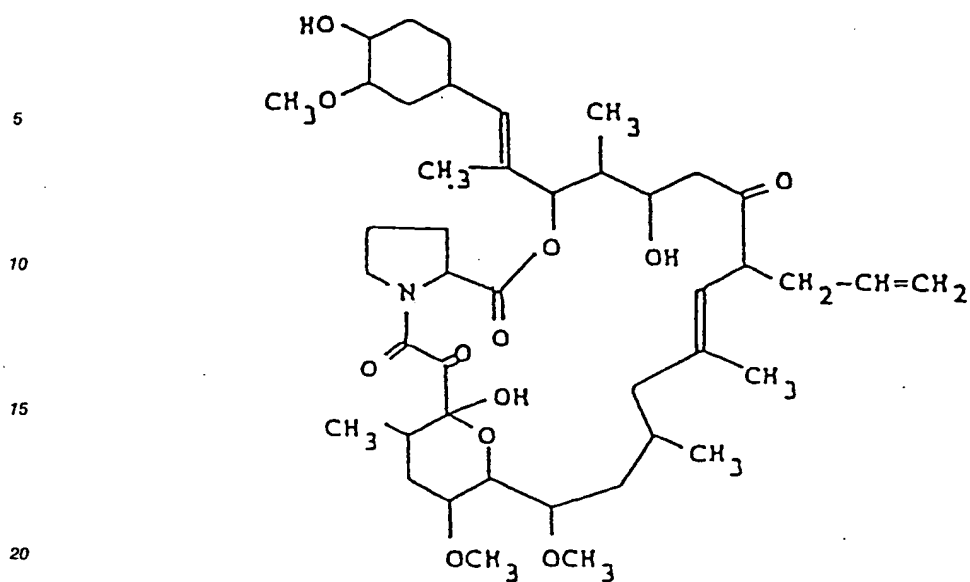
Species belonging to the genus <u>Streptomyces</u>	Fermentation →	{ FR-900506 substance FR-900520 substance FR-900523 substance and FR-900525 substance
----------------------------------------------------------	----------------	------------------------------------------------------------------------------------------------

process for production of the compound of the formula comprises

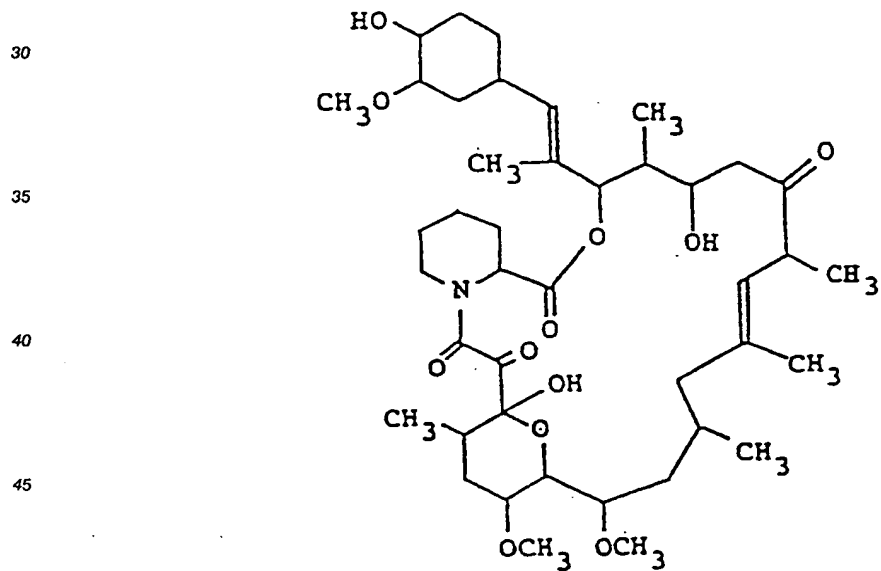
(a) culturing Streptomyces tsukubaensis in an aqueous nutrient medium containing sources of assimilable carbon and nitrogen, preferably under aerobic conditions and recovering the FR-900506 and/or FR-900525 substance(s) by conventional means to give the FR-900506 substance of the formula;



and/or the FR-900525 substance of the formula:



25 (b) culturing *Streptomyces hygroscopicus* in an aqueous nutrient medium containing sources of assimilable carbon and nitrogen, preferably under aerobic conditions and recovering the FR-900523 substance of the following formula by conventional means,



## [II] Synthetic Processes:

(1) Process 1 (Introduction of common Hydroxy-Protective Group)

5

10

15

20

25

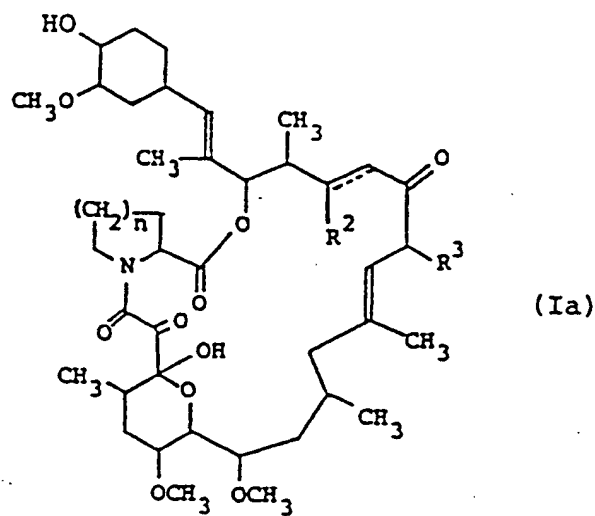
30

35

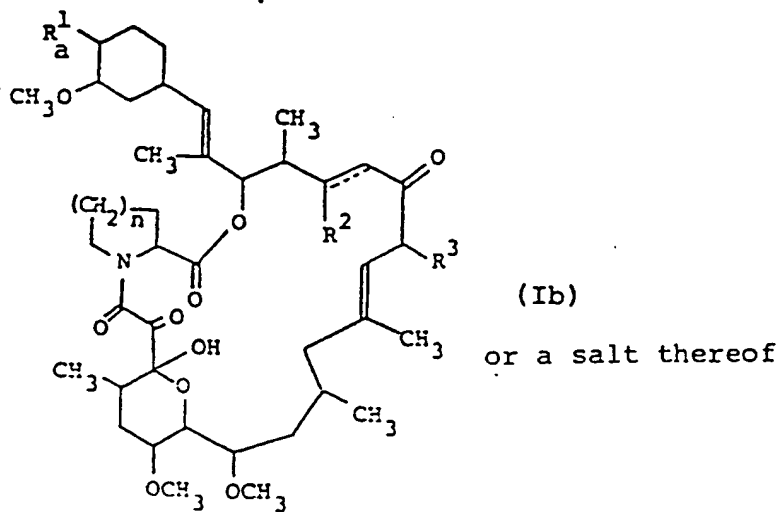
40

45

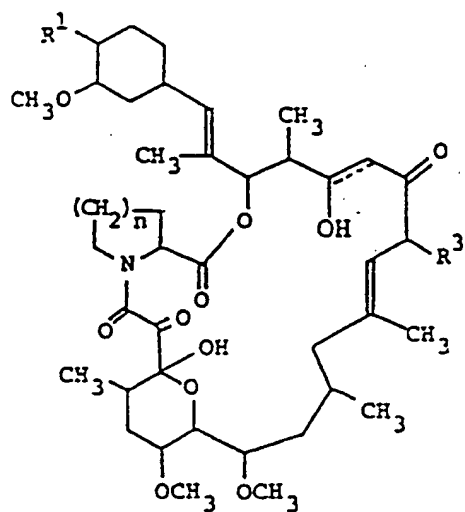
50



Introduction of common  
Hydroxy-Protective Group

(2) Process 2 (Introduction of common Hydroxy-Protective Group)

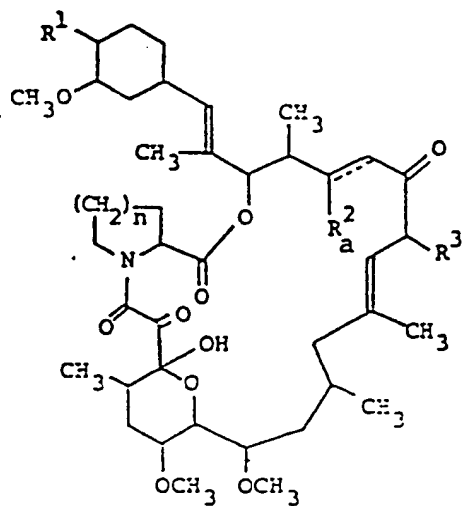
55



(Ic)

or a salt thereof

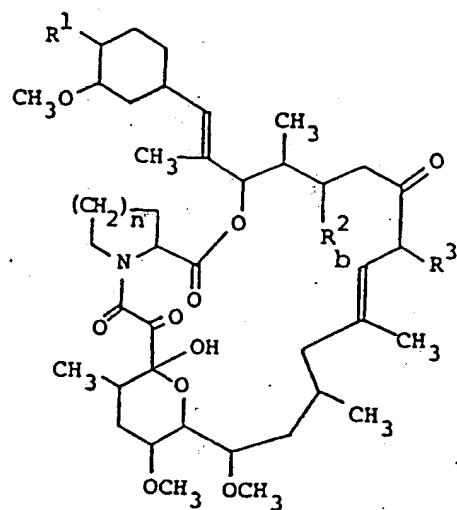
Introduction of common  
Hydroxy-Protective Group



(Id)

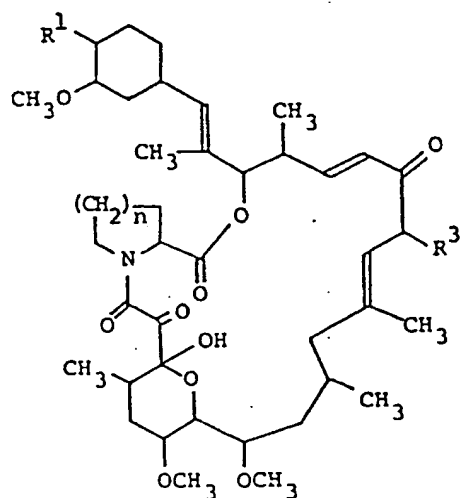
or a salt thereof

## 5



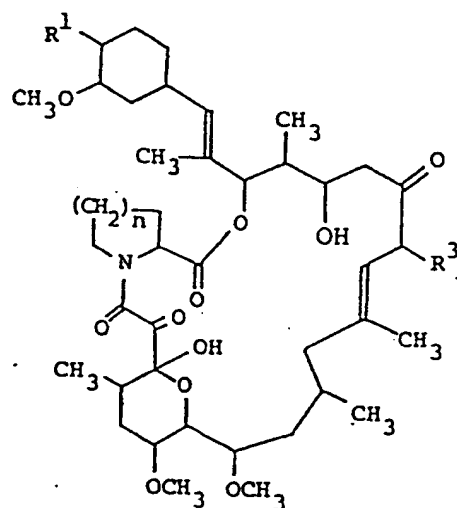
or a salt thereof

Base



or a salt thereof

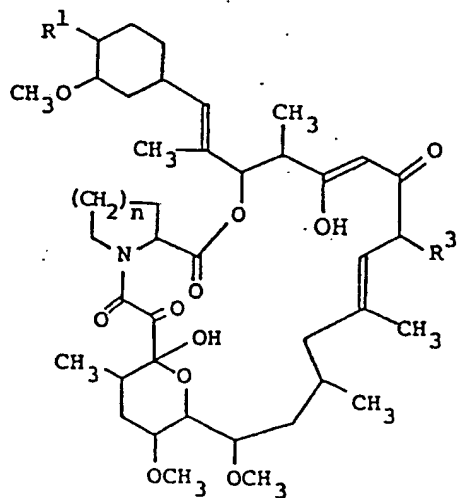
## 55



(Ig)

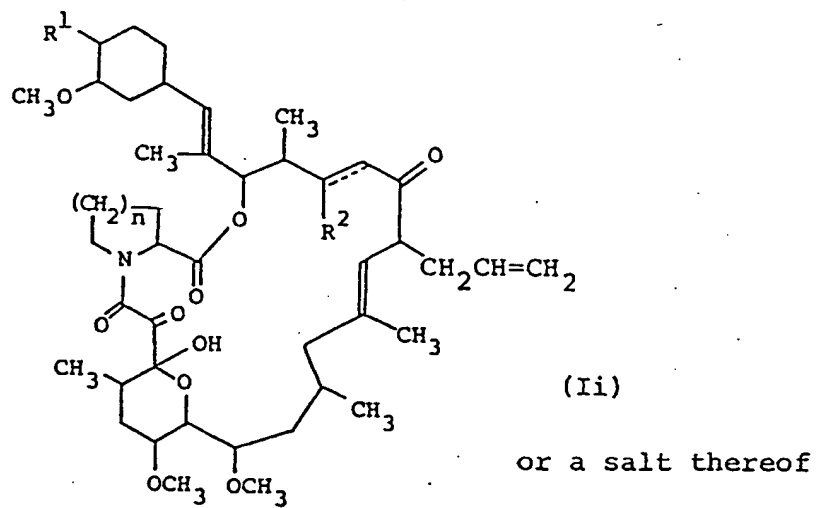
or a salt thereof

Oxidation of Hydroxyethylene Group



(Ih)

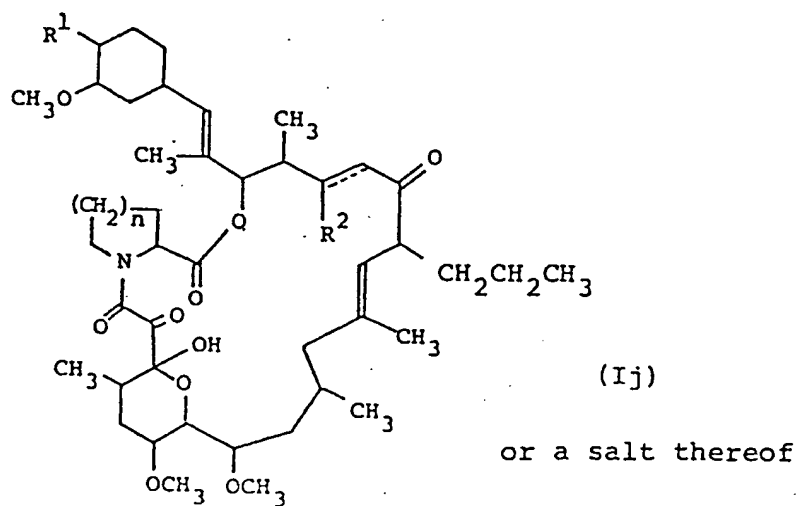
or a salt thereof

(5) Process 5 (Reduction of Allyl Group)

25

Reduction

30



55

in which

$R^1$ ,  $R^2$ ,  $R^3$ ,  $n$  and the symbol of a line and dotted line are each as defined above,  
 $R_a^1$  and  $R_a^2$  are each commonly protected hydroxy, and  
 $R_b^2$  is a common leaving group.

Particulars of the above definitions and the preferred embodiments thereof are explained in detail as follows.

The term "lower" used in the specification is intended to mean 1 to 6 carbon atoms, unless otherwise indicated.

5 Suitable hydroxy-protective group in the "commonly protected hydroxy" may include:

1-(C<sub>1</sub>-C<sub>6</sub> alkylthio) (C<sub>1</sub>-C<sub>6</sub>)alkyl such as C<sub>1</sub>-C<sub>6</sub> alkylthiomethyl (e.g. methylthiomethyl, ethylthiomethyl, propylthiomethyl, isopropylthiomethyl, butylthiomethyl, isobutylthiomethyl, and hexylthiomethyl) in which the preferred one may be C<sub>1</sub>-C<sub>4</sub> alkylthiomethyl and the most preferred one may be methylthiomethyl;

10 trisubstituted silyl such as tri(C<sub>1</sub>-C<sub>6</sub>)alkylsilyl (e.g. trimethylsilyl, triethylsilyl, tributylsilyl, tert-butyl-dimethylsilyl, and tri-tert-butylsilyl), or C<sub>1</sub>-C<sub>6</sub> alkyl-diarylsilyl (e.g. methyl-diphenylsilyl, ethyl-diphenylsilyl, propyl-diphenylsilyl, tert-butyl-diphenylsilyl), in which the preferred one may be tri(C<sub>1</sub>-C<sub>4</sub>)alkylsilyl and C<sub>1</sub>-C<sub>4</sub>-alkyl-diphenylsilyl, and the most preferred one may be tert-butyl-dimethylsilyl and tert-butyl-diphenylsilyl;

15 acyl such as aliphatic acyl, aromatic acyl and aliphatic acyl substituted with aromatic group, which are derived from carboxylic and sulfonic acids.

The aliphatic acyl may include C<sub>1</sub>-C<sub>6</sub> alkanoyl which may have one or more suitable substituent(s) such as carboxy (e.g. formyl, acetyl, propionyl, butyryl, isobutyryl, valeryl, isovaleryl, pivaloyl, hexanoyl, carboxyacetyl, carboxypropionyl, carboxybutyryl, and carboxyhexanoyl), cyclo(C<sub>3</sub>-C<sub>6</sub>)alkyloxy(C<sub>1</sub>-C<sub>6</sub>)alkanoyl which may have one or more suitable substituent(s) such as C<sub>1</sub>-C<sub>6</sub> alkyl (e.g. cyclopropyloxyacetyl, 20 cyclobutyloxypropionyl, cycloheptyloxybutyryl, menthyloxyacetyl, menthyloxypropionyl, menthyloxybutyryl, menthyloxyheptanoyl, and menthyloxyhexanoyl), or camphorsulfonyl.

The aromatic acyl may include aroyl which may have one or more suitable substituent(s) such as nitro (e.g. benzoyl, toluoyl, xyloyl, naphthoyl, nitrophenyl, dinitrophenyl, and nitronaphthoyl), or arenesulfonyl which may have one or more suitable substituent(s) such as halogen (e.g. benzenesulfonyl, toluenesulfonyl, 25 xylenesulfonyl, naphthalenesulfonyl, fluorobenzenesulfonyl, chlorobenzenesulfonyl, bromobenzenesulfonyl, and iodobenzenesulfonyl).

The aliphatic acyl substituted with aromatic group may include ar(C<sub>1</sub>-C<sub>6</sub>)alkanoyl which may have one or more suitable substituent(s) such as C<sub>1</sub>-C<sub>6</sub> alkoxy and trihalo(C<sub>1</sub>-C<sub>6</sub>)alkyl (e.g. phenylacetyl, phenylpropionyl, phenylbutyryl, 2-trifluoromethyl-2-methoxy-2-phenylacetyl, 2-ethyl-2-trifluoromethyl-2-phenylacetyl, and 2-trifluoromethyl-2-propoxy-2-phenylacetyl). 30

The more preferred acyl group thus defined may be C<sub>1</sub>-C<sub>4</sub>alkanoyl which may have carboxy, cyclo(C<sub>3</sub>-C<sub>6</sub>)alkyloxy(C<sub>1</sub>-C<sub>4</sub>)alkanoyl having two (C<sub>1</sub>-C<sub>4</sub>)alkyl groups on the cycloalkyl moiety, camphorsulfonyl, benzoyl which may have one or two nitro, benzenesulfonyl having halogen, phenyl(C<sub>1</sub>-C<sub>4</sub>)alkanoyl having C<sub>1</sub>-C<sub>4</sub>alkoxy and trihalo(C<sub>1</sub>-C<sub>4</sub>)alkyl, and the most preferred one may be acetyl, carboxypropionyl, menthyloxyacetyl, camphorsulfonyl, benzoyl, nitrobenzoyl, dinitrobenzoyl, iodobenzenesulfonyl and 2-trifluoromethyl-2-methoxy-2-phenylacetyl. 35

Suitable "common leaving group" may include hydroxy, acyloxy in which the acyl moiety may be those as exemplified above.

40 The processes for production of the tricyclo compounds (I) of this invention are explained in detail in the following.

#### [I] Fermentation Processes:

45 The FR-900506, FR-900520, FR-900523 and FR-900525 substances of this invention can be produced by fermentation of FR-900506, FR-900520, FR-900523 and/or FR-900525 substance(s)-producing strains belonging to the genus Streptomyces such as Streptomyces tsukubaensis No. 9993 and Streptomyces hygroscopicus subsp. yakushimaensis No. 7238 in a nutrient medium.

Particulars of microorganisms used for the production of the FR-900506, FR-900520, FR-900523 and FR-900525 substances are explained in the following.

50 [A] The FR-900506, FR-900520 and FR-900525 substances of this invention can be produced by fermentation of a FR-900506, FR-900520 and/or FR-900525 substance(s)-producing strain belonging to the genus Streptomyces such as Streptomyces tsukubaensis No. 9993 in a nutrient medium.

A further object of the present invention is to provide a biological bioculture of the microorganism Streptomyces tsukubaensis No. 9993.

55

THE MICROORGANISM

The microorganism which can be used for the production of the FR-900506, FR-900520 and/or FR-900525 substances is FR-900506 FR-900520 and/or FR-900525 substance(s)-producing strain belonging to the genus *Streptomyces*, among which *Streptomyces tsukubaensis* No. 9993 has been newly isolated from a soil sample collected at Toyosato-cho, Tsukuba-gun, Ibaraki Prefecture, Japan.

A lyophilized sample of the newly isolated *Streptomyces tsukubaensis* No. 9993 has been deposited with the Fermentation Research Institute, Agency of Industrial Science and Technology (No. 1-3, Higashi 1-chome, Yatabemachi Tsukuba-gun, Ibaraki Prefecture, Japan) under the deposit number of FERM P-7886 (deposited date: October 5th, 1984), and then converted to Budapest Treaty route of the same depository on October 19, 1985 under the new deposit number of FERM BP-927.

The *Streptomyces tsukubaensis* No. 9993 has the following morphological, cultural, biological and physiological characteristics.

[1] Morphological Characteristics:

The methods described by Shirling and Gottlieb (Shirling, E. B. and D. Gottlieb: Methods for characterization of *Streptomyces* species. International Journal of Systematic Bacteriology, 16, 313 - 340, 1966) were employed principally for this taxonomic study.

Morphological observations were made with light and electron microscopes on cultures grown at 30°C for 14 days on oatmeal agar, yeast-malt extract agar and inorganic salts-starch agar. The mature sporophores formed *Rectiflexibiles* with 10 to 50 or more than 50 spores in each chain. The spores were oblong or cylindrical, 0.5 - 0.7 x 0.7-0.8 µm in size by electron microscopic observation. Spore surfaces were smooth.

[2] Cultural Characteristics:

Cultural characteristics were observed on ten kinds of media described by Shirling and Gottlieb as mentioned above, and by Waksman (Waksman, S. A.: The actinomycetes, vol. 2: Classification, identification and description of genera and species. The Williams and Wilkins Co., Baltimore, 1961).

The incubation was made at 30°C for 14 days. The color names used in this study were based on Guide to Color Standard (manual published by Nippon Shikisai Kenkyusho, Tokyo). Colonies belonged to the gray color series when grown on oatmeal agar, yeast-malt extract agar and inorganic salts-starch agar. Soluble pigment was produced in yeast-malt extract agar but not in other media. The results are shown in Table 1.

Table 1 Cultural Characteristics of Strain No. 9993 and Streptomyces misakiensis IFO 12891

Medium	Cultural Characteristics	
	No. 9993	IFO 12891
Oatmeal Agar	G	Moderate
	A	Grayish White
	R	Colorless
	S	None
Yeast-Malt Extract Agar	G	Moderate
	A	Grayish White
	R	Light Brown
	S	None
Inorganic Salts- Starch Agar	G	Moderate
	A	Grayish White
	R	Pale Yellow Orange to Light Gray
	S	Dark Orange None
		Pale Yellowish Brown None

16

Abbreviation : G = Growth, A = Aerial Mass Color,  
R = Reverse Side Color, S = Soluble Pigment,

17

[3] Biological and Physiological Properties:

Physiological properties of the strain No. 9993 were determined according to the methods described by Shirling and Gottlieb as mentioned above. The results are shown in Table 2. Temperature range and optimum temperature for growth were determined on yeast-malt extract agar using a temperature gradient incubator (made by Toyo Kagaku Sangyo Co., Ltd.). Temperature range for growth was from 18 to 35°C with optimum temperature at 28°C. Milk peptonization and gelatin liquefaction were positive. Melanoid pigment production was negative.

10

15

20

25

30

35

40

45

50

55

Table 2 Physiological Properties of Strain No. 9993 and  
Streptomyces misakiensis IFO 12891

Physiological properties	No. 9993	IFO 12891
Temperature Range for Growth	18 °C - 35 °C	12 °C - 35 °C
Optimum Temperature	28 °C	28 °C
Nitrate Reduction	Negative	Negative
Starch Hydrolysis	Negative	Positive
Milk Coagulation	Negative	Negative
Milk Peptonization	Positive	Weakly Positive
Melanin Production	Negative	Negative
Gelatin Liquefaction	Positive	Negative
H <sub>2</sub> S Production	Negative	Negative
NaCl Tolerance (%)	≤ 3 %	3 % < 5 %

Utilization of carbon sources was examined according to the methods of Pridham and Gottlieb (Pridham, T. G. and D. Gottlieb: The utilization of carbon compounds by some Actinomycetales as an aid for species determination: J. Bacteriol., 56, 107-114, 1948). The growth was observed after 14 days incubation at 30 °C.

Summarized carbon sources utilization of this strain is shown in Table 3. Glycerin, maltose and sodium succinate could be utilized by the strain No. 9993. Further, doubtful utilization of D-glucose, sucrose, D-

mannose and salicin was also observed.

Table 3

Carbon Sources Utilization of Strain No. 9993 and <i>Streptomyces misakiensis</i> IFO 12891		
Carbon Sources	No. 9993	IFO 12891
D-Glucose	±	-
Sucrose	±	-
Glycerin	+	-
D-Xylose	-	-
D-Fructose	-	-
Lactose	-	-
Maltose	+	-
Rhamnose	-	-
Raffinose	-	-
D-Galactose	-	+
L-Arabinose	-	-
D-Mannose	±	-
D-Trehalose	-	-
Inositol	-	-
D-Mannitol	-	-
Inulin	-	+
Cellulose	-	-
Salicin	±	-
Chitin	-	±
Sodium Citrate	-	-
Sodium Succinate	+	-
Sodium Acetate	-	-
Symbols: + : utilization ± : doubtful utilization - : no utilization		

Microscopic studies and cell wall composition analysis of the strain No. 9993 indicate that this strain belongs to the genus *Streptomyces* Waksman and Henrici 1943.

Accordingly, a comparison of this strain was made with various *Streptomyces* species in the light of the published descriptions [International Journal of Systematic Bacteriology, 18, 69 to 189, 279 to 392 (1968) and 19, 391 to 512 (1969), and Bergy's Manual of Determinative Bacteriology 8th Edition (1974)].

As a result of the comparison, the strain No. 9993 is considered to resemble *Streptomyces aburaviensis* Nishimura et. al., *Streptomyces avellaneus* Baldacci and Grein and *Streptomyces misakiensis* Nakamura. Therefore, the cultural characteristics of the strain No. 9993 were compared with the corresponding *Streptomyces aburaviensis* IFO 12830, *Streptomyces avellaneus* IFO 13451 and *Streptomyces misakiensis* IFO 12891. As a result, the strain No. 9993 was the most similar to *Streptomyces misakiensis* IFO 12891. Therefore, the strain No. 9993 was further compared with *Streptomyces misakiensis* IFO 12891 as shown in the above Tables 1, 2 and 3. From further comparison, the strain No. 9993 could be differentiated from *Streptomyces misakiensis* IFO 12891 in the following points, and therefore the strain No. 9993 is considered to be a new species of *Streptomyces* and has been designated as *Streptomyces tsukubaensis* sp. nov., referring to the soil collected at Tsukuba-gun, from which the organism was isolated.

#### Difference from *Streptomyces misakiensis* IFO 12891

Cultural characteristics of the strain No. 9993 are different from the *Streptomyces misakiensis* IFO 12891 on oatmeal agar, yeast-malt extract agar, glucose-asparagine agar, Czapek agar and potato-dextrose agar.

Starch hydrolysis of the strain No. 9993 is negative, but that of the Streptomyces misakiensis IFO 12891 is positive.

Gelatin liquefaction of the strain No. 9993 is positive, but that of the Streptomyces misakiensis IFO 12891 is negative.

- 5 In carbon sources utilization, the strain No. 9993 can utilize glycerin, maltose and sodium succinate, but the Streptomyces misakiensis IFO 12891 can not utilize them. And, the strain No. 9993 can not utilize D-galactose and inulin, but the Streptomyces misakiensis IFO 12891 can utilize them.

#### PRODUCTION OF FR-900506, FR-900520 AND FR-900525 SUBSTANCES

10

The novel FR-900506, FR-900520 and FR-900525 substances of this invention can be produced by culturing a FR-900506, FR-900520 and/or FR-900525 substance(s)-producing strain belonging to the genus Streptomyces (e.g. Streptomyces tsukubanensis No. 9993, FERM BP-927) in a nutrient medium.

- 15 In general, the FR-900506, FR-900520 and/or FR-900525 substance(s) can be produced by culturing the FR-900506, FR-900520 and/or FR-900525 substance(s)-producing strain in an aqueous nutrient medium containing sources of assimilable carbon and nitrogen, preferably under aerobic conditions (e.g. shaking culture, submerged culture, etc.).

- 20 The preferred sources of carbon in the nutrient medium are carbohydrates such as glucose, xylose, galactose, glycerin, starch, dextrin, and the like. Other sources which may be included are maltose, rhamnose, raffinose, arabinose, mannose, salicin, sodium succinate, and the like.

The preferred sources of nitrogen are yeast extract, peptone, gluten meal, cottonseed meal, soybean meal, corn steep liquor, dried yeast, wheat germ, feather meal, peanut powder etc., as well as inorganic and organic nitrogen compounds such as ammonium salts (e.g. ammonium nitrate, ammonium sulfate, ammonium phosphate, etc.), urea, amino acid, and the like.

- 25 The carbon and nitrogen sources, though advantageously employed in combination, need not be used in their pure form, because less pure materials which contain traces of growth factors and considerable quantities of mineral nutrients, are also suitable for use. When desired, there may be added to the medium mineral salts such as sodium or calcium carbonate, sodium or potassium phosphate, sodium or potassium chloride, sodium or potassium iodide, magnesium salts, copper salts, cobalt salt and the like. If necessary, especially when the culture medium foams seriously, a defoaming agent, such as liquid paraffin, fatty oil, plant oil, mineral oil or silicone may be added.

- 30 As the conditions for the production of the FR-900506, FR-900520 and FR-900525 substances in massive amounts, submerged aerobic cultural conditions are preferred therefor. For the production in small amounts, a shaking or surface culture in a flask or bottle is employed. Furthermore, when the growth is carried out in large tanks, it is preferable to use the vegetative form of the organism for inoculation in the production tanks in order to avoid growth lag in the process of production of the FR-900506, FR-900520 and FR-900525 substances. Accordingly, it is desirable first to produce a vegetative inoculum of the organism by inoculating a relatively small quantity of culture medium with spores or mycelia of the organism and culturing said inoculated medium, and then to transfer the cultured vegetative inoculum aseptically to large tanks. The medium, in which the vegetative inoculum is produced, is substantially the same as or different from the medium utilized for the production of the FR-900506, FR-900520 and FR-900525 substances.

- 45 Agitation and aeration of the culture mixture may be accomplished in a variety of ways. Agitation may be provided by a propeller or similar mechanical agitation equipment, by revolving or shaking the fermentor, by various pumping equipment or by the passage of sterile air through the medium. Aeration may be effected by passing sterile air through the fermentation mixture.

The fermentation is usually conducted at a temperature between about 20°C and 40°C, preferably 25-35°C, for a period of about 50 hours to 150 hours, which may be varied according to fermentation conditions and scales.

- 50 Thus produced FR-900506, FR-900520 and/or FR-900525 substance(s) can be recovered from the culture medium by conventional means which are commonly used for the recovery of other known biologically active substances. The FR-900506, FR-900520 and FR-900525 substances produced are found in the cultured mycelium and filtrate, and accordingly the FR-900506, FR-900520 and FR-900525 substances can be isolated and purified from the mycelium and the filtrate, which are obtained by filtering or centrifuging the cultured broth, by a conventional method such as concentration under reduced pressure, lyophilization, extraction with a conventional solvent, pH adjustment, treatment with a conventional resin (e.g. anion or cation exchange resin, non-ionic adsorbent resin, etc.), treatment with a conventional adsorbent (e.g. activated charcoal, silicic acid, silica gel, cellulose, alumina, etc.), crystallization, recrystal-

lization, and the like.

# PHYSIOLOGICAL AND CHEMICAL PROPERTIES OF FR-900506, FR-900520 AND FR-900525 SUBSTANCES

The FR-900506, FR-900520 and FR-900525 substances produced according to the aforementioned process possess the following physical and chemical properties.

## FR-900506 Substance

- (1) Form and Color:  
white powder  
(2)

Elemental Analysis:					
C:	64.72 %, 64.59 %	H:	8.78 %, 8.74 %	N:	1.59 %, 1.62 %

## (3) Color Reaction:

Positive: cerium sulfate reaction, sulfuric acid reaction, Ehrlich reaction, Dragendorff reaction and iodine vapor reaction

Negative: ferric chloride reaction, ninhydrin reaction and Molish reaction

## (4) Solubility:

Soluble : methanol, ethanol, acetone, ethyl acetate, chloroform, diethyl ether and benzene

Sparingly Soluble: hexane, petroleum ether

Insoluble: water

## (5) Melting Point:

85 - 90 °C

## (6) Specific Rotation:

$[\alpha]_D^{25}$  : -73° (c = 0.8, CHCl<sub>3</sub>)

## (7) Ultraviolet Absorption Spectrum:

end absorption

## (8) Infrared Absorption Spectrum:

$\nu_{\text{max}}^{\text{CHCl}_3}$  :

3680, 3580, 3520, 2930, 2870, 2830, 1745, 1720, 1700, 1645, 1450, 1380, 1350, 1330, 1310, 1285, 1170, 1135, 1090, 1050, 1030, 1000, 990, 960(sh), 918 cm<sup>-1</sup>

## (9) <sup>13</sup>C Nuclear Magnetic Resonance Spectrum:

$\delta$  (ppm, CDCl<sub>3</sub>) : { 212.59 (s) , 196.18 (s) , 169.07 (s) , 212.45 (s) , 192.87 (s) , 168.90 (s) ,

5 { 164.90 (s), { 138.89 (s), { 135.73 (d)  
 { 166.01 (s), { 139.67 (s), { 135.60 (d),  
 { 132.52 (s), { 130.27 (d), { 122.87 (d)  
 { 131.99 (s), { 130.21 (d), { 123.01 (d),  
 { 116.57 (t), { 97.35 (s), { 84.41 (d),  
 10 { 116.56 (t), { 98.76 (s),  
 { 77.79 (d), { 75.54 (d), { 73.93 (d)  
 { 78.22 (d), { 76.97 (d), { 73.09 (d),  
 { 73.72 (d), { 70.05 (d), { 56.75 (d),  
 15 { 72.57 (d), { 69.15 (d),  
 { 53.03 (d), { 48.85 (t), { 40.33 (d)  
 { 53.13 (d), { 48.62 (t), { 40.85 (d),  
 20 39.40 (t),  
 31.58 (t), 30.79 (t), { 27.72 (t)  
 { 26.34 (t),  
 25 26.46 (d), 24.65 (t), { 20.45 (q)  
 { 19.73 (q),  
 { 14.06 (q), { 9.69 (q)  
 30 { 14.23 (q), { 9.98 (q),

the chart of which being shown in Figure 1,  
 (10) <sup>1</sup>H Nuclear Magnetic Resonance Spectrum:  
 the chart of which being shown in Figure 2,  
 (11) Thin Layer Chromatography:

Stationary Phase	Developing Solvent	Rf Values
silica gel plate	chloroform	0.58
	: methanol (10:1, v/v) ethyl acetate	0.52

(12) Property of the Substance:  
 neutral substance

With regard to the FR-900506 substance, it is to be noted that in case of measurements of <sup>13</sup>C and <sup>1</sup>H nuclear magnetic resonance spectra, this substance showed pairs of the signals in various chemical shifts.

The FR-900506 substance thus characterized further possesses the following properties.

(i) The measurements of <sup>13</sup>C Nuclear Magnetic Resonance Spectra at 25 °C and 60 °C revealed the fact that the intensities of each pair of the various signals therein were changed.

(ii) The measurements of the thin layer chromatography and the high performance liquid chromatography revealed that the FR-900506 substance occurs as a single spot in the thin layer chromatography and a single peak in the high performance liquid chromatography, respectively.

This white powder of the FR-900506 substance could be transformed into a form of crystals by recrystallization thereof from acetonitrile, which possess the following physical and chemical properties.

- (1) Form and Color:  
colorless prisms
- (2)

Elemental Analysis:					
C:	64.30 %, 64.20 %,	H:	8.92 %, 8.86 %,	N:	1.77 %, 1.72 %,

(3) Melting Point:

127 - 129 °C

(4) Specific Rotation:

$[\alpha]_D^{23}$ : -84.4° (c = 1.02, CHCl<sub>3</sub>)

(5) <sup>13</sup>C Nuclear Magnetic Resonance Spectrum:

$\delta$  (ppm, CDCl<sub>3</sub>): { 211.98 (s), { 196.28 (s), { 168.97 (s),  
 { 211.74 (s), { 193.56 (s), { 168.81 (s),  
 { 164.85 (s), { 138.76 (s), { 135.73 (d),  
 { 165.97 (s), { 139.51 (s), { 135.63 (d),  
 { 132.38 (s), { 130.39 (d), { 122.82 (d),  
 { 131.90 (s), { 130.17 (d), { 122.96 (d),  
 116.43 (t), { 97.19 (s), 84.29 (d),  
 { 98.63 (s),  
 { 77.84 (d), { 77.52 (d), { 69.89 (d),  
 { 78.21 (d), { 76.97 (d), { 69.00 (d),  
 { 56.63 (d), { 52.97 (d), { 48.76 (t),  
 { 54.87 (d), { 52.82 (d), { 48.31 (t),  
 { 40.21 (d), 31.62 (t), 30.72 (t),  
 { 40.54 (d),  
 24.56 (t), { 21.12 (t), { 20.33 (q),  
 { 20.86 (t), { 19.74 (q),  
 { 16.17 (q), { 15.88 (q), { 13.89 (q),  
 { 16.10 (q), { 15.75 (q), { 14.05 (q),  
 { 9.64 (q),  
 { 9.96 (q),

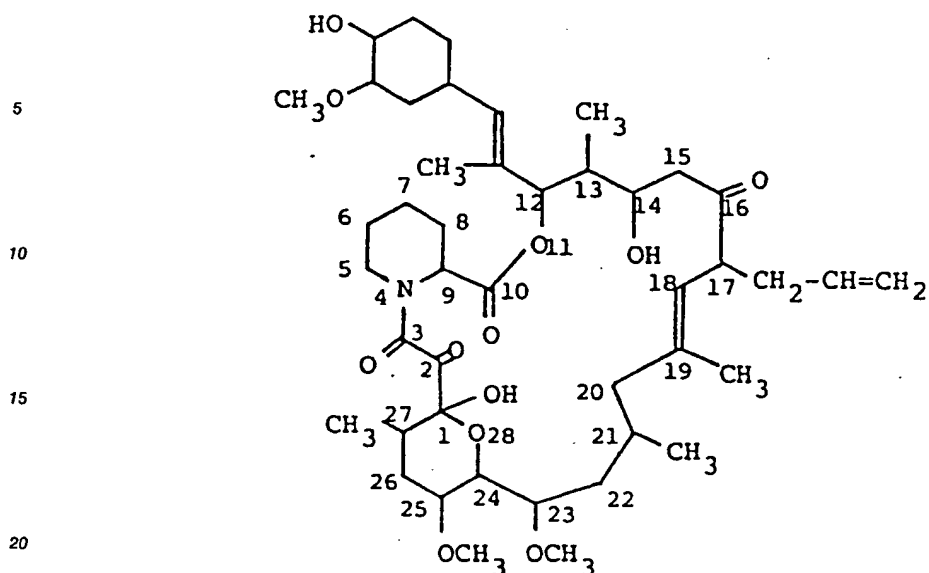
the chart of which being shown in Figure 3,

(6) <sup>1</sup>H Nuclear Magnetic Resonance Spectrum:

the chart of which being shown in Figure 4.

Other physical and chemical properties, that is, the color reaction, solubility, ultraviolet absorption spectrum, infrared absorption spectrum, thin layer chromatography and property of the substance of the colorless prisms of the FR-900506 substance were the same as those for the white powder of the same under the identical conditions.

From the above physical and chemical properties and the analysis of the X ray diffraction, the FR-900506 substance could be determined to have the following chemical structure.



25 17-Allyl-1,14-dihydroxy-12-[2-(4-hydroxy-3-methoxycyclohexyl)-1-methylvinyl]-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone

#### FR-900520 Substance

The physical and chemical properties are mentioned later.

#### FR-900525 Substance

- (1) Form and Color:  
white powder
- (2)

Elemental Analysis:				
C:	65.17 %	H:	8.53 %	N: 1.76 %

#### (3) Color Reaction:

Positive: cerium sulfate reaction, sulfuric acid reaction, Ehrlich reaction, Dragendorff reaction and iodine vapor reaction

Negative: ferric chloride reaction, ninhydrin reaction and Molish reaction

#### (4) Solubility:

Soluble : methanol, ethanol, acetone, ethyl acetate, chloroform, diethyl ether and benzene

Sparingly Soluble: hexane, petroleum ether

Insoluble: water

#### (5) Melting Point:

85 - 89 °C

#### (6) Specific Rotation:

$[\alpha]_D^{23}$  : -88° (c = 1.0, CHCl<sub>3</sub>)

#### (7) Ultraviolet Absorption Spectrum:

end absorption

**(8) Infrared Absorption Spectrum:**

$$\nu_{\text{max}}^{\text{CHCl}_3}:$$

3680, 3580, 3475, 3340, 2940, 2880, 2830, 1755, 1705, 1635, 1455, 1382, 1370, 1330, 1310, 1273, 1170, 1135, 1093, 1050, 1020, 995, 970, 920, 867 cm<sup>-1</sup>

**(9)  $^{13}\text{C}$  Nuclear Magnetic Resonance Spectrum:**

$\delta$  (ppm,  $\text{CDCl}_3$ ): { 212.61 (s), { 188.57 (s), { 168.76 (s),  
{ 211.87 (s), { 191.12 (s), { 170.18 (s),  
{ 163.11 (s), { 140.28 (s), { 135.62 (d),  
{ 161.39 (s), { 139.37 (s), { 135.70 (d),  
{ 132.28 (s), { 130.09 (d), { 122.50 (d),  
{ 131.34 (s), { 130.00 (d), { 123.23 (d),  
116.48 (t), { 99.16 (s), { 84.42 (d),  
{ 99.11 (s), { 84.48 (d),

{ 78.60 (d)	{ 76.73 (d)	{ 59.97 (d)
{ 79.86 (d),	{ 77.33 (d),	{ 60.45 (d),
57.52 (q),	{ 56.56 (q)	{ 56.14 (q)
	{ 56.48 (q),	{ 55.97 (q),
{ 53.45 (d)	{ 49.15 (t)	{ 48.46 (t)
{ 53.26 (d),	{ 49.73 (t),	{ 47.62 (t),
{ 44.47 (t)	{ 41.40 (d)	{ 35.19 (d)
{ 45.23 (t),	{ 40.40 (d),	{ 35.11 (d),
{ 33.10 (d)	{ 32.81 (t)	{ 31.53 (t)
{ 34.17 (d),	{ 32.29 (t),	{ 31.33 (t),
{ 30.80 (t)	28.60 (t),	{ 26.03 (d)
{ 30.66 (t),		{ 26.98 (d),
{ 25.43 (t)	{ 18.93 (q)	{ 14.09 (q)
{ 24.40 (t),	{ 20.57 (q),	{ 13.95 (q),
{ 9.85 (q)		
{ 10.00 (q)		

the chart of which being shown in Figure 5,  
(10) <sup>1</sup>H Nuclear Magnetic Resonance Spectrum:  
the chart of which being shown in Figure 6,

## (11) Thin Layer Chromatography:

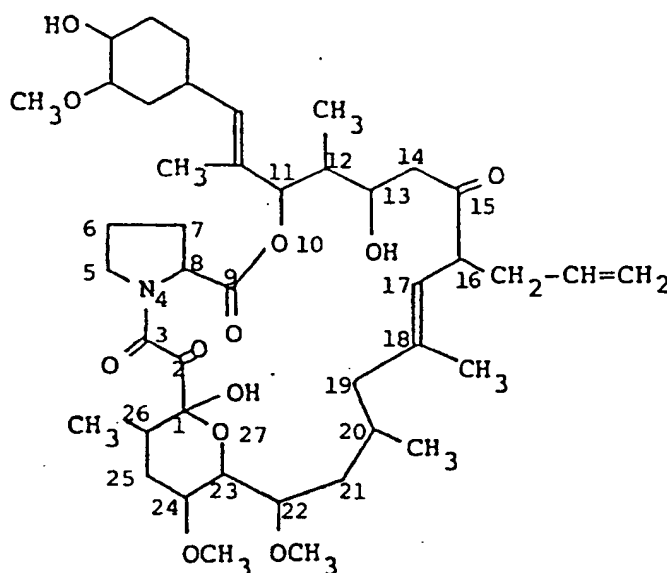
Stationary Phase	Developing Solvent	Rf Value
silica gel plate	ethyl acetate	0.34

## (12) Property of the Substance:

neutral substance

With regard to the FR-900525 substance, it is to be noted that in case of measurements of  $^{13}\text{C}$  and  $^1\text{H}$  nuclear magnetic resonance spectra, this substance showed pairs of the signals in various chemical shifts, however, in case of measurements of the thin layer chromatography and the high performance liquid chromatography, the FR-900525 substance showed a single spot in the thin layer chromatography and a single peak in the high performance liquid chromatography, respectively.

From the above physical and chemical properties and the success of the determination of the chemical structure of the FR-900506 substance, the FR-900525 substance could be determined to have the following chemical structure.



16-Allyl-1,13-dihydroxy-11-[2-(4-hydroxy-3-methoxycyclohexyl)-1-methylvinyl]-22,24-dimethoxy-12,18,20,26-tetramethyl-10,27-dioxo-4-azatricyclo[21.3.1.0<sup>4,8</sup>]heptacos-17-ene-2,3,9,15-tetraone

[B] The FR-900520 and FR-900523 substances of this invention can be produced by fermentation of FR-900520 and/or FR-900523 substance(s)-producing strain belonging to the genus *Streptomyces* such as *Streptomyces hygroscopicus* subsp. *yakushimaensis* No. 7238 in a nutrient medium.

A further object of the present invention is to provide a biological bioculture of the microorganism *Streptomyces hygroscopicus* susp. *yakushimaensis* No. 7238.

#### THE MICROORGANISM

The microorganism which can be used for the production of the FR-900520 and/or FR-900523 substances is FR-900520 and/or FR-900523 substance(s)-producing strain belonging to the genus *Streptomyces*, among which *Streptomyces hygroscopicus* subsp. *yakushimaensis* No. 7238 has been newly isolated from a soil sample collected at Yakushima, Kagoshima Prefecture, Japan.

A lyophilized sample of the newly isolated *Streptomyces hygroscopicus* subsp. *yakushimaensis* No. 7238 has been deposited with the Fermentation Research Institute, Agency of Industrial Science and Technology (No.1-3, Higashi 1-chome, Yatabemachi, Tsukuba-gun, Ibaraki Prefecture, Japan) under the number of FERM P-8043 (deposited date: January 12th, 1985), and then converted to Budapest Treaty route of the same depository on October 19, 1985 under the new deposit number of FERM BP-928.

It is to be understood that the production of the novel FR-900520 and FR-900523 substances is not limited to the use of the particular organism described herein, which is given for the illustrative purpose only. This invention also includes the use of any mutants which are capable of producing the FR-900520 and/or FR-900523 substance(s) including natural mutants as well as artificial mutants which can be produced from the described organism by conventional means such as irradiation of X-rays, ultra-violet radiation, treatment with N-methyl-N'-nitro-N-nitrosoguanidine, 2-aminopurine, and the like.

The Streptomyces hygroscopicus subsp. yakushimaensis No. 7238 has the following morphological, cultural, biological and physiological characteristics.

#### 10 [1] Morphological Characteristics:

The methods described by Shirling and Gottlieb (Shirling, E. B. and D. Gottlieb: Methods for characterization of Streptomyces species. International Journal of Systematic Bacteriology, 16, 313 - 340, 1966) were employed principally for this taxonomic study.

15 Morphological observations were made with light and electron microscopes on cultures grown at 30°C for 14 days on oatmeal agar, yeast-malt extract agar and inorganic salts-starch agar. The mature sporophores were moderately short and formed Retinaculiaperti and Spirales with about 20 spores in each chain. Hygroscopic spore mass were seen in the aerial mycelia on oatmeal agar and inorganic salts-starch agar. Surface irregularities on spores were intermediate between very short, thick spines and warts.

#### 20 [2] Cultural Characteristics:

Cultural characteristics were observed on ten kinds of media described by Shirling and Gottlieb as mentioned above, and by Waksman (Waksman, S. A.: The actinomycetes, vol. 2: Classification, identification and description of genera and species. The Williams and Wilkins Co., Baltimore, 1961).

25 The incubation was made at 30°C for 14 days. The color names used in this study were based on Guide to Color Standard (manual published by Nippon Shikisai Kenkyusho, Tokyo). Colonies belonged to the gray color series when grown on oatmeal agar, yeast-malt extract agar and inorganic salts-starch agar. Soluble pigment was not produced in the examined media. The results are shown in Table 4.

30

35

40

45

50

55

Table 4 Cultural Characteristics of Strain No. 7238, Streptomyces antimycoticus IFO 12839  
and Streptomyces hygroscopicus subsp. glebosus IFO 13786

Cultural Characteristics				
Medium	No. 7238	IFO 12839	IFO 13786	
Oatmeal Agar	G	Poor	Poor	Poor
	A	Grayish Yellow Brown	Grayish Yellow Brown	Grayish Yellow Brown
	R	Pale Yellow	Pale Yellow	Pale Yellow
	S	None	None	None
Yeast-Malt Extract Agar	G	Moderate	Abundant	Moderate
	A	Grayish White	Gray	Gray
	R	Pale Yellowish Brown	Pale Yellowish Brown	Dark Orange
	S	None	None	None
Inorganic Salts- Starch Agar	G	Moderate	Moderate	Moderate
	A	Gray to Black	Gray	Light Gray
	R	Pale Yellow Orange	Yellowish Gray	Pale Yellow Orange
	S	None	None	None

30

Abbreviation : G = Growth, A = Aerial Mass Color, S = Soluble Pigment,  
R = Reverse Side Color,

31

presence of LL-diaminopimelic acid. Accordingly, the cell wall of this strain is believed to be of type I.

[3] Biological and Physiological Properties:

5        Physiological properties of the strain No. 7238 were determined according to the methods described by  
Shirling and Gottlieb as mentioned above. The results are shown in Table 5. Temperature range and  
optimum temperature for growth were determined on yeast-malt extract agar using a temperature gradient  
incubator (made by Toyo Kagaku Sangyo Co., Ltd.). Temperature range for growth was from 18 to 36°C  
with optimum temperature at 28°C. Starch hydrolysis and gelatin liquefaction were positive. No melanoid  
10    pigment was produced.

15

20

25

30

35

40

45

50

55

Table 5 Physiological Properties of Strain No. 7238, Streptomyces antimycoticus IFO 12839 and Streptomyces hygroscopicus subsp. glebosus IFO 13786

Physiological properties	No. 7238	IFO 12839	IFO 13786
Temperature Range for Growth	18 °C - 36 °C	18 °C - 38 °C	16 °C - 35 °C
Optimum Temperature	28 °C	28 °C	27 °C
Nitrate Reduction	Negative	Negative	Negative
Starch Hydrolysis	Positive	Positive	Positive
Milk Coagulation	Negative	Negative	Negative
Milk Peptonization	Negative	Negative	Positive
Melanin Production	Negative	Negative	Negative
Gelatin Liquefaction	Positive	Positive	Positive
H <sub>2</sub> S Production	Negative	Negative	Negative
Urease Activity	Negative	Negative	Negative
NaCl Tolerance (%)	7%, 10%	7%, 10%	5%, 7%

Utilization of carbon sources was examined according to the methods of Pridham and Gottlieb (Pridham, T. G. and D. Gottlieb: The utilization of carbon compounds by some Actinomycetales as an aid for species determination: J. Bacteriol., 56, 107-114, 1948). The growth was observed after 14 days incubation at 30 °C.

Summarized carbon sources utilization of this strain is shown in Table 6. D-Glucose, sucrose, lactose, maltose, D-trehalose, inositol, inulin and salicin could be utilized by the strain No. 7238.

Table 6

Carbon Sources Utilization of Strain No. 7238, <u>Streptomyces antimycoticus</u> IFO 12839 and <u>Streptomyces hygroscopicus</u> subsp. <u>glebosus</u> IFO 13786			
Carbon Sources	No. 7238	IFO 12839	IFO 13786
D-Glucose	+	+	+
Sucrose	+	+	+
Glycerin	-	+	+
D-Xylose	-	±	+
D-Fructose	-	+	+
Lactose	+	+	-
Maltose	+	-	+
Rhamnose	-	+	-
Raffinose	-	+	+
D-Galactose	-	+	+
L-Arabinose	-	±	±
D-Mannose	-	+	+
D-Trehalose	+	±	+
Inositol	+	+	+
D-Mannitol	-	+	+
Inulin	+	+	-
Cellulose	±	-	-
Salicin	+	+	-
Chitin	±	-	-
Sodium Citrate	-	-	±
Sodium Succinate	-	+	+
Sodium Acetate	-	-	-
Symbols: + : utilization ± : doubtful utilization - : no utilization			

Microscopic studies and cell wall composition analysis of the strain No. 7238 indicate that this strain belongs to the genus Streptomyces Waksman and Henrici 1943.

Accordingly, a comparison of this strain was made with various Streptomyces species in the light of the published descriptions [International Journal of Systematic Bacteriology, 18, 69 to 189, 279 to 392 (1968) and 19, 391 to 512 (1969), and Bergy's Manual of Determinative Bacteriology 8th Edition (1974)].

As a result of the comparison, the strain No. 7238 is considered to resemble Streptomyces antimycoticus Waksman 1957 and Streptomyces hygroscopicus subsp. glebosus Ohmori, et. al. 1962. Therefore, the cultural characteristics of the strain No. 7238 were further compared with the corresponding Streptomyces antimycoticus IFO 12839 and Streptomyces hygroscopicus subsp. glebosus IFO 13786 as shown in the above Tables 4, 5 and 6. From further comparison, the strain No. 7238 could be differentiated from Streptomyces antimycoticus IFO 12839 and Streptomyces hygroscopicus subsp. glebosus IFO 13786 in the following points.

(i) Difference from Streptomyces antimycoticus IFO 12839

Cultural characteristics of the strain No. 7238 are different from the Streptomyces antimycoticus IFO 12839 on yeast-malt extract agar, glucose-asparagine agar, glycerin-asparagine agar, potato-dextrose agar and tyrosine agar.

In carbon sources utilization, the strain No. 7238 can utilize maltose, but the Streptomyces antimycoticus IFO 12839 can not utilize it. And, the strain No. 7238 can not utilize glycerin, D-fructose,

rhamnose, raffinose, D-galactose, D-mannose, mannitol and sodium succinate, but the Streptomyces antimycoticus IFO 12839 can utilize them.

(ii) Difference from Streptomyces hygroscopicus subsp. glebosus IFO 13786

Cultural characteristics of the strain No. 7238 are different from the Streptomyces hygroscopicus subsp. glebosus IFO 13786 on yeast-malt extract agar, potato-dextrose agar and tyrosine agar.

Milk peptonization of the strain No. 7238 is negative, but that of the Streptomyces hygroscopicus subsp. glebosus IFO 13786 is positive. The strain No. 7238 can grow in the presence of 7% NaCl, but the Streptomyces hygroscopicus subsp. glebosus IFO 13786 can not grow under the same condition.

In carbon sources utilization, the strain No. 7238 can utilize lactose, inulin and salicin, but the Streptomyces hygroscopicus subsp. glebosus IFO 13786 can not utilize them. And, the strain No. 7238 can not utilize glycerin, D-xylose, D-fructose, raffinose, D-galactose, D-mannose, mannitol and sodium succinate, but the Streptomyces hygroscopicus subsp. glebosus IFO 13786 can utilize them.

However, the strain No. 7238 forms hygroscopic spore mass in the aerial mycelia on oatmeal agar and inorganic salts-starch agar, and further morphological and cultural characteristics of the strain No. 7238 are similar to the Streptomyces hygroscopicus subsp. glebosus IFO 13786. Therefore, the strain No. 7238 is considered to belong to Streptomyces hygroscopicus, but the strain No. 7238 is different from the Streptomyces hygroscopicus subsp. glebosus IFO 13786, though this known strain is the most similar to the strain No. 7238 in Streptomyces hygroscopicus subspecies. From the above facts, the strain No. 7238 is considered to be a new species of Streptomyces hygroscopicus and has been designated as Streptomyces hygroscopicus subsp. yakushimaensis subsp. nov., referring to the soil collected at Yakushima, from which the organism was isolated.

PRODUCTION OF FR-900520 and FR-900523 SUBSTANCES

The novel FR-900520 and/or FR-900523 substance(s) of can be produced by culturing FR-900520 and/or FR-900523 substance(s)-producing strain belonging to the genus Streptomyces (e.g. Streptomyces hygroscopicus subsp. yakushimaensis No. 7238, FERM BP-928) in a nutrient medium.

In general, the FR-900520 and/or FR-900523 substance(s) can be produced by culturing the FR-900520 and/or FR-900523 substance(s)-producing strain in an aqueous nutrient medium containing sources of assimilable carbon and nitrogen, preferably under aerobic conditions (e.g. shaking culture, submerged culture, etc.).

The preferred sources of carbon in the nutrient medium are carbohydrates such as glucose, sucrose, lactose, glycerin, starch, dextrin, and the like. Other sources which may be included are maltose, D-trehalose, inositol, inulin, salicin, and the like.

The preferred sources of nitrogen are yeast extract, peptone, gluten meal, cottonseed meal, soybean meal, corn steep liquor, dried yeast, wheat germ, feather meal, peanut powder etc., as well as inorganic and organic nitrogen compounds such as ammonium salts (e.g. ammonium nitrate, ammonium sulfate, ammonium phosphate, etc.), urea, amino acid, and the like.

The carbon and nitrogen sources, though advantageously employed in combination, need not be used in their pure form, because less pure materials which contain traces of growth factors and considerable quantities of mineral nutrients, are also suitable for use. When desired, there may be added to the medium mineral salts such as sodium or calcium carbonate, sodium or potassium phosphate, sodium or potassium chloride, sodium or potassium iodide, magnesium salts, copper salts, cobalt salt and the like. If necessary, especially when the culture medium foams seriously, a defoaming agent, such as liquid paraffin, fatty oil, plant oil, mineral oil or silicone may be added.

As the conditions for the production of the FR-900520 and FR-900523 substances in massive amounts, submerged aerobic cultural conditions are preferred therefor. For the production in small amounts, a shaking or surface culture in a flask or bottle is employed. Furthermore, when the growth is carried out in large tanks, it is preferable to use the vegetative form of the organism for inoculation in the production tanks in order to avoid growth lag in the process of production of the FR-900520 and FR-900523 substances. Accordingly, it is desirable first to produce a vegetative inoculum of the organism by inoculating a relatively small quantity of culture medium with spores or mycelia of the organism and culturing said inoculated medium, and then to transfer the cultured vegetative inoculum aseptically to large tanks. The medium, in which the vegetative inoculum is produced, is substantially the same as or different from the medium utilized for the production of the FR-900520 and FR-900523 substances.

Agitation and aeration of the culture mixture may be accomplished in a variety of ways. Agitation may be provided by a propeller or similar mechanical agitation equipment, by revolving or shaking the fermentor, by various pumping equipment or by the passage of sterile air through the medium. Aeration may be effected by passing sterile air through the fermentation mixture.

5 The fermentation is usually conducted at a temperature between about 20°C and 40°C, preferably 25-35°C, for a period of about 50 hours to 150 hours, which may be varied according to fermentation conditions and scales.

Thus produced FR-900520 and/or FR-900523 substance(s) can be recovered from the culture medium by conventional means which are commonly used for the recovery of other known biologically active  
10 substances. The FR-900520 and FR-900523 substances produced are mainly found in the cultured mycelium, and accordingly the FR-900520 and FR-900523 substances can be isolated and purified from the mycelium, which are obtained by filtering or centrifuging the cultured broth, by a conventional method such as concentration under reduced pressure, lyophilization, extraction with a conventional solvent, pH adjustment, treatment with a conventional resin (e.g. anion or cation exchange resin, non-ionic adsorption resin,  
15 etc.), treatment with a conventional adsorbent (e.g. activated charcoal, silicic acid, silica gel, cellulose, alumina, etc.), crystallization, recrystallization, and the like.

Particularly the FR-900520 substance and the FR-900523 substance can be separated by dissolving the materials containing both products produced by fermentation in an appropriate solvent such as ethyl acetate, n-hexane, and the like, and then by subjecting said solution to chromatography, for example, on  
20 silica gel in a column with an appropriate organic solvent such as ethyl acetate and n-hexane, or a mixture thereof. And each of the FR-900520 substance and the FR-900523 substance thus separated can be further purified by a conventional method, for example, recrystallization, re-chromatography, high performance liquid chromatography, and the like.

## 25 PHYSIOLOGICAL AND CHEMICAL PROPERTIES OF FR-900520 and FR-900523 SUBSTANCES

### FR-900520 Substance

(1) Form and Color:  
30 colorless plates  
(2)

#### Elemental Analysis:

C:	64.81 %	H:	8.82 %	N:	1.55 %
----	---------	----	--------	----	--------

(3) Color Reaction:  
Positive: cerium sulfate reaction, sulfuric acid reaction, Ehrlich reaction, Dragendorff reaction and  
40 iodine vapor reaction

Negative: ferric chloride reaction, ninhydrin reaction and Molish reaction

(4) Solubility:  
Soluble: methanol, ethanol, acetone, ethyl acetate, chloroform, diethyl ether and ben-  
zene

Sparingly Soluble: n-hexane, petroleum ether

45 Insoluble: water

(5) Melting Point:

163 - 165 °C

(6) Specific Rotation:

50  $[\alpha]_D^{25} : -84.1^\circ$  (c = 1.0, CHCl<sub>3</sub>)

(7) Ultraviolet Absorption Spectrum:

end absorption

(8) Infrared Absorption Spectrum:

55  $\nu_{\text{max}}^{\text{CHCl}_3} :$

3680, 3575, 3520, 2940, 2875, 2825, 1745, 1725, 1700, 1647, 1610(sh), 1452, 1380, 1350, 1330, 1285,

1170, 1135, 1090, 1030, 1005, 990, 980(sh), 960(sh), 913, 908 sh)  $\text{cm}^{-1}$ (9)  $^{13}\text{C}$  Nuclear Magnetic Resonance Spectrum:

$\delta$  (ppm,  $\text{CDCl}_3$ ): 213.04 (s), { 196.21 (s), { 169.07 (s)  
 { 193.23 (s), { 168.85 (s),  
 { 164.92 (s), { 138.67 (s), { 132.46 (s)  
 { 165.97 (s), { 139.53 (s), { 131.98 (s),  
 { 130.20 (d), { 123.42 (d), { 97.28 (s)  
 { 130.08 (d), { 123.59 (d), { 98.75 (s),  
 84.37 (d), { 77.80 (d), { 75.53 (d)  
 { 78.24 (d), { 76.98 (d),  
 73.92 (d), 73.69 (d), { 73.11 (d)  
 { 72.72 (d),  
 { 70.11 (d), 57.02 (q), { 56.60 (q)  
 { 69.21 (d), { 57.43 (q),  
 { 56.23 (q), { 56.72 (d), { 55.10 (d)  
 { 55.98 (q), { 52.91 (d), { 54.90 (d),  
 { 48.90 (t), { 40.19 (d), { 27.67 (t)  
 { 48.57 (t), { 40.63 (d), { 26.32 (t),  
 { 26.51 (d), 24.60 (t), { 21.19 (t)  
 { 26.44 (d), { 20.86 (t),  
 { 20.47 (q), { 16.21 (q), { 15.83 (q)  
 { 19.75 (q), { 15.97 (q), { 15.94 (q),  
 { 14.04 (q), 11.68 (q), { 9.64 (q)  
 { 14.16 (q), { 9.93 (q),

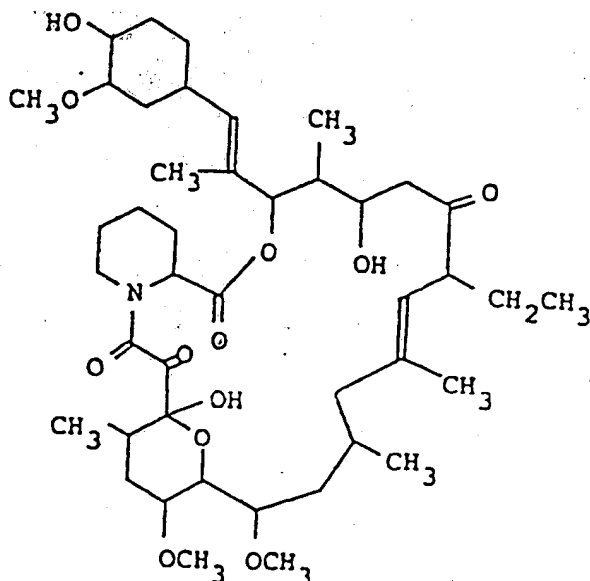
the chart of which being shown in Figure 7,  
 (10)  $^1\text{H}$  Nuclear Magnetic Resonance Spectrum:  
 the chart of which being shown in Figure 8,  
 (11) Thin Layer Chromatography:

Stationary Phase	Developing Solvent	Rf Values
silica gel plate	chloroform	
	: methanol (20:1, v/v)	0.38
	ethyl acetate	0.51

(12) Property of the Substance:  
 neutral substance

With regard to the FR-900520 substance, it is to be noted that in case of measurements of  $^{13}\text{C}$  and  $^1\text{H}$  nuclear magnetic resonance spectra, this substance shows pairs of the signals in various chemical shifts, however, in case of measurements of the thin layer chromatography and the high performance liquid chromatography, the FR-900520 substance showed a single spot in the thin layer chromatography and a single peak in the high performance liquid chromatography, respectively.

From the above physical and chemical properties and the success of the determination of the chemical structure of the FR-900506 substance, the FR-900520 substance could be determined to have the following chemical structure.



17-Ethyl-1,14-dihydroxy-12-[2-(4-hydroxy-3-methoxycyclohexyl)-1-methylvinyl]-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone

#### FR-900523 Substance

- (1) Form and Color:  
colorless needles  
(2)

#### Elemental Analysis:

C:	64.57 %	H:	8.84 %	N:	1.81 %
----	---------	----	--------	----	--------

#### (3) Color Reaction:

Positive: cerium sulfate reaction, sulfuric acid reaction, Ehrlich reaction, Dragendorff reaction and iodine vapor reaction

Negative: ferric chloride reaction and ninhydrin reaction

#### (4) Solubility:

Soluble: methanol, ethanol, acetone, ethyl acetate, chloroform, diethyl ether and benzene

Sparingly Soluble: n-hexane and petroleum ether

Insoluble: water

#### (5) Melting Point:

152 - 154 °C

#### (6) Specific Rotation:

$[\alpha]_D^{23}$ : -73.0° (C=0.65,  $\text{CHCl}_3$ )

**(7) Ultraviolet Absorption Spectrum:**

end absorption

**(8) Infrared Absorption Spectrum:**

5

 $\nu_{\text{max}} \text{CHCl}_3$  :

10 3670, 3580, 3510, 2930, 2875, 2825, 1745, 1722, 1700, 1647, 1450, 1380, 1350, 1330, 1307, 1285, 1170,  
1135, 1090, 1050, 1030, 1000, 990, 978, 960, 930, 915, 888, 870, 850 cm<sup>-1</sup>

**(9)  $^{13}\text{C}$  Nuclear Magnetic Resonance Spectrum:**

[illegible]

45

50

$\begin{cases} 20.63 & (q) \\ 19.77 & (q), \end{cases}$	$\begin{cases} 16.24 & (q) \\ 16.34 & (q), \end{cases}$	$\begin{cases} 15.70 & (q) \\ 15.96 & (q), \end{cases}$
$\begin{cases} 15.51 & (q) \\ 15.96 & (q), \end{cases}$	$\begin{cases} 14.31 & (q) \\ 14.18 & (q), \end{cases}$	$\begin{cases} 9.64 & (q) \\ 10.04 & (q), \end{cases}$

55 the chart of which being shown in Figure 9,  
(10) <sup>1</sup>H Nuclear Magnetic Resonance Spectrum:  
the chart of which being shown in Figure 10,  
(11) Thin Layer Chromatography:

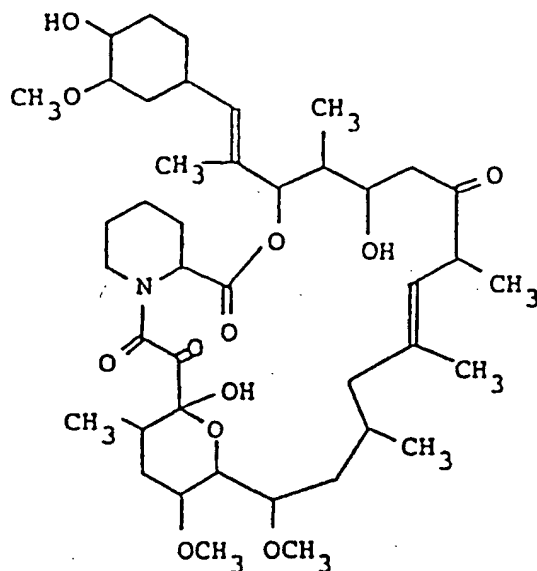
Stationary Phase	Developing Solvent	Rf Values
silica gel plate	chloroform :methanol (20:1, v/v)	0.38
	ethyl acetate	0.51

## (12) Property of the Substance:

neutral substance

With regard to the FR-900523 substance, it is to be noted that in case of measurements of  $^{13}\text{C}$  and  $^1\text{H}$  nuclear magnetic resonance spectra, this substance shows pairs of the signals in various chemical shifts, however, in case of measurements of the thin layer chromatography and the high performance liquid chromatography, the FR-900523 substance showed a single spot in the thin layer chromatography and a single peak in the high performance liquid chromatography, respectively.

From the above physical and chemical properties and the success of the determination of the chemical structure of the FR-900506 substance, the FR-900523 substance could be determined to have the following chemical structure.



1,14-Dihydroxy-12-[2-(4-hydroxy-3-methoxycyclohexyl)-1-methylvinyl]-23,25-dimethoxy-13,19,17,21,27-pentamethyl-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone

## [II] Synthetic Processes:

## (1) Process 1: (Introduction of Hydroxy-Protective Group)

The compound (Ib) can be prepared by introducing a hydroxy-protective group into the compound (Ia).

Suitable introducing agent of the hydroxy-protective group used in this reaction may be a conventional one such as di(C<sub>1</sub>-C<sub>6</sub>)alkyl sulfoxide, for example, C<sub>1</sub>-C<sub>6</sub> alkyl methyl sulfoxide (e.g. dimethyl sulfoxide, ethyl methyl sulfoxide, propyl methyl sulfoxide, isopropyl methyl sulfoxide, butyl methyl sulfoxide, isobutyl methyl sulfoxide, and hexyl methyl sulfoxide), trisubstituted silyl compound such as tri(C<sub>1</sub>-C<sub>6</sub>)alkylsilyl halide (e.g. trimethylsilyl chloride, triethylsilyl bromide, tributylsilyl chloride, and tert-butyl-dimethylsilyl chloride), C<sub>1</sub>-C<sub>6</sub>-alkyl-diarylsilyl halide (e.g. methyl-diphenylsilyl chloride, ethyl-diphenylsilyl bromide, propyl-ditolylsilyl chloride, and tert-butyl-diphenylsilyl chloride), and acylating agent which is capable of introducing the acyl group as mentioned before such as carboxylic acid, sulfonic acid and their reactive derivative, for example, an acid halide, an acid anhydride, an activated amide, and an activated ester.

Preferable example of such reactive derivative may include acid chloride, acid bromide, a mixed acid anhydride with an acid such as substituted phosphoric acid (e.g. dialkylphosphoric acid, phenylphosphoric acid, diphenylphosphoric acid, dibenzylphosphoric acid, and halogenated phosphoric acid), dialkylphosphorous acid, sulfurous acid, thiosulfuric acid, sulfuric acid, alkyl carbonate (e.g. methyl carbonate, ethyl carbonate, and propyl carbonate), aliphatic carboxylic acid (e.g. pivalic acid, pentanoic acid, isopentanoic acid, 2-ethylbutyric acid, and trichloroacetic trifluoroacetic acid), aromatic carboxylic acid (e.g. benzoic acid), a symmetrical acid anhydride, an activated acid amide with a heterocyclic compound containing imino function such as imidazole, 4-substituted imidazole, dimethylpyrazole, triazole and tetrazole, or an activated ester (e.g. p-nitrophenyl ester, 2,4-dinitrophenyl ester, trichlorophenyl ester, pentachlorophenyl ester, mesylphenyl ester, phenylazophenyl ester, phenyl thioester, p-nitrophenyl thioester, p-cresyl thioester, carboxymethyl thioester, pyridyl ester, piperidinyl ester, 8-quinolyl thioester, or an ester with a N-hydroxy compound such as N,N-dimethylhydroxylamine, 1-hydroxy-2-(1H)-pyridone, N-hydroxysuccinimide, N-hydroxyphthalimide, 1-hydroxybenzotriazole, and 1-hydroxy-6-chlorobenzotriazole.

In this reaction, in case that the di(C<sub>1</sub>-C<sub>6</sub>)alkyl sulfoxide is used as an introducing agent of the hydroxy-protective group, the reaction is usually conducted in the presence of lower alkanolic anhydride such as acetic anhydride.

Further, in case that the trisubstituted silyl compound is used as an introducing agent of the hydroxy-protective group, the reaction is preferably conducted in the presence of a conventional condensing agent such as imidazole.

Still further, in case that the acylating agent is used as an introducing agent of the hydroxy-protective group, the reaction is preferably conducted in the presence of an organic or inorganic base such as alkali metal (e.g. lithium, sodium, and potassium), alkaline earth metal (e.g. calcium), alkali metal hydride (e.g. sodium hydride), alkaline earth metal hydride (e.g. calcium hydride), alkali metal hydroxide (e.g. sodium hydroxide and potassium hydroxide), alkali metal carbonate (e.g. sodium carbonate and potassium carbonate), alkali metal hydrogen carbonate (e.g. sodium hydrogen carbonate and potassium hydrogen carbonate), alkali metal alkoxide (e.g. sodium methoxide, sodium ethoxide, and potassium tert-butoxide), alkali metal alkanolic acid (e.g. sodium acetate), trialkylamine (e.g. triethylamine, etc.), pyridine compound (e.g. pyridine, lutidine, picoline, and 4-N,N-dimethylaminopyridine), and quinoline.

In case that the acylating agent is used in a free form or its salt in this reaction, the reaction is preferably conducted in the presence of a conventional condensing agent such as a carbodiimide compound [e.g. N,N'-dicyclohexylcarbodiimide, N-cyclohexyl-N'-(4-diethylaminocyclohexyl)carbodiimide, N,N'-diethylcarbodiimide, N,N'-diisopropylcarbodiimide, and N-ethyl-N'-(3-dimethylaminopropyl)-carbodiimide], a ketenimine compound (e.g. N,N'-carbonylbis(2-methylimidazole), pentamethyleneketene-N-cyclohexylimine, and diphenylketene-N-cyclohexylimine); an olefinic or acetylenic ether compounds (e.g. ethoxyacetylene,  $\beta$ -cyclovinylolethyl ether), or a sulfonic acid ester of N-hydroxybenzotriazole derivative [e.g. 1-(4-chlorobenzenesulfonyloxy)6-chloro-1H-benzotriazole].

The reaction is usually conducted in a conventional solvent which does not adversely influence the reaction such as water, acetone, dichloromethane, alcohol (e.g. methanol and ethanol), tetrahydrofuran, pyridine, or N,N-dimethylformamide or a mixture thereof, and further in case that the base or the introducing agent of the hydroxy-protective group is in liquid, it can also be used as a solvent.

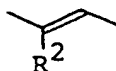
The reaction temperature is not critical and the reaction is usually conducted under from cooling to heating.

This process includes, within a scope thereof, a case that during the reaction, the hydroxy group for R<sup>2</sup> of the compound (Ia) may occasionally be transformed into the corresponding protected hydroxy group in the object compound (Ib).

Further, this process also includes, within a scope thereof, a case that when the di(C<sub>1</sub>-C<sub>6</sub>)alkyl sulfoxide is used as an introducing agent of the hydroxy-protective group in the presence of C<sub>1</sub>-C<sub>6</sub> alkanolic anhydride, the compound (Ia) having a partial structure of the formula:



wherein R<sup>2</sup> is hydroxy, may occasionally be oxidized during the reaction to give the compound (Ib) having a partial structure of the formula:



wherein R<sup>2</sup> is hydroxy.

#### (2) Process 2: (Introduction of Hydroxy-Protective Group)

The compound (ld) can be prepared by introducing a hydroxy-protective group into the compound (lc).

The reaction can be conducted by substantially the same method as that of Process 1, and therefore the reaction conditions (e.g. base, condensing agent, solvent, and reaction temperature) are referred to those of Process 1.

This process includes, within a scope thereof, a case that during the reaction, the hydroxy group for R<sup>1</sup> of the compound (lc) may frequently be transformed into the corresponding protected hydroxy group in the object compound (ld).

#### (3) Process 3: (Formation of Double Bond)

The compound (lf) can be prepared by reacting the compound (le) with a base.

Suitable base to be used in this reaction may include one as exemplified in Process 1.

This reaction can also be conducted by reacting the compound (le), where R<sup>2</sup> is hydroxy, with an acylating agent in the presence of a base.

The reaction is usually conducted in a conventional solvent which does not adversely influence the reaction such as water, acetone, dichloromethane, alcohol (e.g. methanol, ethanol, and propanol), tetrahydrofuran, pyridine, or N,N-dimethylformamide, or a mixture thereof, and further in case that the base is in liquid, it can also be used as a solvent.

The reaction temperature is not critical and the reaction is usually conducted under from cooling to heating.

#### (4) Process 4: (Oxidation of Hydroxyethylene Group)

The compound (lh) can be prepared by oxidizing the compound (lg).

The oxidizing agent to be used in this reaction may include di(C<sub>1</sub>-C<sub>6</sub>)alkyl sulfoxide such as those given in Process 1.

This reaction is usually conducted in the presence of lower alkanolic anhydride such as acetic anhydride in a conventional solvent which does not adversely influence the reaction such as acetone, dichloromethane, ethyl acetate, tetrahydrofuran, pyridine, N,N-dimethylformamide, or a mixture thereof, and further in case that the lower alkanolic anhydride is in liquid, it can also be used as a solvent.

The reaction temperature is not critical and the reaction is usually conducted under from cooling to heating.

This process includes, within a scope thereof, a case that during the reaction the hydroxy group for R<sup>1</sup> of the starting compound (lg) may occasionally be transformed into 1-(C<sub>1</sub>-C<sub>6</sub> alkylthio) (C<sub>1</sub>-C<sub>6</sub>)alkoxy group in the object compound (lh).

#### (5) Process 5 (Reduction of Allyl Group)

The compound (li) can be obtained by reducing the compound (li).

Reduction in this process can be conducted by a conventional method which is capable of reducing an allyl group to a propyl group, such as catalytic reduction.

Suitable catalysts used in catalytic reduction are conventional ones such as platinum catalysts (e.g. platinum plate, spongy platinum, platinum black, colloidal platinum, platinum oxide, and platinum wire), palladium catalysts (e.g. spongy palladium, palladium black, palladium oxide, palladium on carbon, colloidal palladium, palladium on barium sulfate, and palladium on barium carbonate), nickel catalysts (e.g. reduced nickel, nickel oxide, Raney nickel), cobalt catalysts (e.g. reduced cobalt and Raney cobalt), iron catalysts (e.g. reduced iron and Raney iron), or copper catalysts (e.g. reduced copper, Raney copper and Ullman copper).

The reduction is usually conducted in a conventional solvent which does not adversely influence the reaction such as water, methanol, ethanol, propanol, pyridine, ethyl acetate, N,N-dimethylformamide, dichloromethane, or a mixture thereof.

The reaction temperature of this reduction is not critical and the reaction is usually conducted under  
5 from cooling to warming.

The object tricyclo compounds (I) obtained according to the synthetic processes 1 to 5 as explained above can be isolated and purified in a conventional manner, for example, extraction, precipitation, fractional crystallization, recrystallization, or chromatography.

Suitable salts of the compounds (I) and (Ib) to (Ii) may include pharmaceutically acceptable salts such  
10 as basis salts, for example, alkali metal salt (e.g. sodium salt and potassium salt), alkaline earth metal salt (e.g. calcium salt and magnesium salt), ammonium salt, amine salt (e.g. triethylamine salt and N-benzyl-N-methylamine salt), and other conventional organic salts.

It is to be noted that in the aforementioned reactions in the synthetic processes 1 to 5 or the post-treatment of the reaction mixture therein, the conformer and/or stereo isomer(s) due to asymmetric carbon  
15 atom(s) or double bond(s) of the starting and object compounds may occasionally be transformed into the other conformer and/or stereoisomer(s), and such cases are also included within the scope of the present invention.

The tricyclo compounds (I) of the present invention possess pharmacological activities such as immunosuppressive activity and antimicrobial activity, and therefore are useful for the treatment and  
20 prevention of the resistance by transplantation of organs or tissues such as heart, kidney, liver, medulla ossium, or skin, graft-versus-host diseases by medulla ossium transplantation, autoimmune diseases such as rheumatoid arthritis, systemic lupus erythematosus, Hashimoto's thyroiditis, multiple sclerosis, myasthenia gravis, type I diabetes, or uveitis, or infectious diseases caused by pathogenic microorganisms.

A further object of the present invention is to provide a pharmaceutical composition containing tricyclo  
25 compounds (I) as active ingredients in association with a pharmaceutical acceptable substantially non-toxic carrier or excipient.

As examples for showing such pharmacological activities, some pharmacological test data of the tricyclo compounds are illustrated in the following.

### 30 Test 1

#### Suppression of Tricyclo Compounds (I) in in vitro Mixed Lymphocyte Reaction (MLR)

The MLR test was performed in microtiter plates, with each well containing  $5 \times 10^5$  C57BL/6 responder  
35 cells (H-2<sup>b</sup>),  $5 \times 10^5$  mitomycin C treated ( $25 \mu\text{g/ml}$  mitomycin C at  $37^\circ\text{C}$  for 30 minutes and washed three times with RPMI 1640 medium) BALB/C stimulator cells (H-2<sup>d</sup>) in 0.2 ml RPMI 1640 medium supplemented with 10% fetal calf serum, 2mM sodium hydrogen carbonate, penicillin (50 unit/ml) and streptomycin (50  $\mu\text{g/ml}$ ). The cells were incubated at  $37^\circ\text{C}$  in humidified atmosphere of 5% carbon dioxide and 95% of air for 68 hours and pulsed with  $^3\text{H}$ -thymidine (0.5  $\mu\text{Ci}$ ) 4 hours before the cells were collected. The object  
40 compound of this invention was dissolved in ethanol and further diluted in RPMI 1640 medium and added to the cultures to give final concentrations of 0.1  $\mu\text{g/ml}$  or less.

The results are shown in Tables 7 to 10. The tricyclo compounds of the present invention suppressed mouse MLR.

45 Table 7

Effect of the FR-900506 Substance on MLR			
FR-900506 concentration (ng/ml)	Radioactivities (mean C.P.M. $\pm$ S.E.)	Suppression (%)	IC <sub>50</sub> (ng/ml)*
2.5	54 $\pm$ 4	99.5	0.26
1.25	168 $\pm$ 23	98.3	
0.625	614 $\pm$ 57	93.8	
0.313	3880 $\pm$ 222	60.9	
0.156	5490 $\pm$ 431	44.7	
0.078	7189 $\pm$ 365	27.6	
0	9935 $\pm$ 428		

Table 8

Effect of FR-900520 Substance on MLR			
FR-900520 concentration (ng/ml)	Radioactivities (mean C.P.M.± S.E.)	Suppression (%)	IC <sub>50</sub> (ng/ml)
100	175 ± 16	99.2	0.38
10	515 ± 55	97.8	
1	2744 ± 527	88.1	
0.500	9434 ± 1546	59.2	
0.25	14987 ± 1786	35.1	
0	23106 ± 1652	0	

Table 9

Effect of FR-900523 Substance on MLR			
FR-900523 concentration (ng/ml)	Radioactivities (mean C.P.M.± S.E.)	Suppression (%)	IC <sub>50</sub> (ng/ml)
100	25 ± 12	99.9	0.5
10	156 ± 37	99.3	
1	5600 ± 399	75.8	
0.500	11624 ± 395	49.7	
0.250	17721 ± 1083	23.3	
0	23106 ± 1052	0	

Table 10

Effect of the FR-900525 Substance on MLR			
FR-900525 concentration (ng/ml)	Radioactivities (mean C.P.M.± S.E.)	Suppression (%)	IC <sub>50</sub> (ng/ml)
100	469 ± 56	97.0	1.55
10	372 ± 32	97.6	
5	828 ± 369	94.7	
2.5	3564 ± 512	77.4	
1.2	10103 ± 421	35.8	
0	15741 ± 411		

## Test 2

### Antimicrobial activities of Tricyclo Compounds (I)

Antimicrobial activities of the tricyclo compounds (I) against various fungi were determined by a serial agar dilution method in a Sabouraud agar. Minimum inhibitory concentrations (MIC) were expressed in terms of µg/ml after incubation at 30 °C for 24 hours.

Tricyclo compounds of the present invention showed antimicrobial activities against fungi, for example, Aspergillus fumigatus IFO 5840 and Fusarium oxysporum IFO 5942 as described in the following Tables 11 and 12.

Table 11

MIC values ( $\mu\text{g/ml}$ ) of Tricyclo Compounds (I) against <i>Aspergillus fumigatus</i> IFO 5840	
Substances	MIC ( $\mu\text{g/ml}$ )
FR-900506	0.025
FR-900520	0.1
FR-900523	0.3
FR-900525	0.5

Table 12

MIC values ( $\mu\text{g/ml}$ ) of Tricyclo Compounds (I) of against <i>Fusarium oxysporum</i>	
Substances	MIC ( $\mu\text{g/ml}$ )
FR-900506	0.05
FR-900525	1

### 25 Test 3

#### Effect of Tricyclo Compounds (I) on Skin Allograft Survival in Rats

30 Ventral allografts from donor (Fischer) rats were grafted onto the lateral thoracic area of recipient (WKA) rats. The dressings were removed on day 5. The grafts were inspected daily until rejection which was defined as more than 90% necrosis of the graft epitherium.

The FR-900506 substance was dissolved in olive oil and administered intramuscularly for 14 consecutive days, beginning at the day of transplantation.

35 As shown in Table 13, all skin allografts were rejected within 8 days in rats treated with olive oil intramuscularly for 14 consecutive days, but daily treatment with the FR-900506 substance clearly prolonged skin allograft survival.

Table 13

Effect of FR-900506 Substance on Skin Allograft Survival			
	Dose (mg/kg)	Number of Animals	Skin Allograft Survival Day
Control (olive oil)	-	11	7,7,7,7,7,8,8,8,8,8
FR-900506 Substance	1	8	19,19,19,20,21,21,22,22
	3.2	6	22,23,23,26,27,35
	10	5	56,61,82,85,89

### 50 Test 4

#### Effect of Tricyclo Compounds (I) on Type II Collagen-Induced-Arthritis in Rats

55 Collagen was dissolved in cold 0.01 M acetic acid at a concentration of 2 mg/ml. The solution was emulsified in an equal volume of incomplete Freund's adjuvant. A total volume of 0.5 ml of the cold emulsion was injected intradermally at several sites on the back and one or two sites into the tail of female Lewis rats. The FR-900506 substance was dissolved in olive oil and administered orally. Control rats

immunized with same amount of type II collagen received oral administrations of olive oil alone. Incidences of the arthritis were observed.

The test results are shown in Table 14. The inflammatory polyarthritis was induced in all rats treated with olive oil for 14 days starting on the same day as the type II collagen immunization.

Daily treatment with the FR-900506 substance for 14 days gave complete suppression of arthritis induction during an observation period of 3 weeks.

Table 14

Effect of FR-900506 Substance on Type II Collagen-induced-Arthritis in Rats		
	Dose (mg/kg per day)	Incidence of Arthritis
Control (olive oil)	-	5/5
FR-900506 Substance	3.2	0/5

#### Test 5

##### Effect of Tricyclo Compounds (I) on Experimental Allergic Encephalomyelitis (EAE) in SJL/J Mice

Spinal cord homogenate was prepared from SJL/J mice. The spinal cords were removed by insufflation, mixed with an approximately equal volume of water and homogenized at 4 °C. An equal volume of this cold homogenate (10 mg/ml) was emulsified with complete Freund's adjuvant (CFA) containing 0.6 mg/ml of *Mycobacterium tuberculosis* H37RA.

EAE was induced by two injections of 0.2 ml of spinal cord-CFA emulsion into SJL/J mice on day 0 and day 13. All mice used in these tests were evaluated and scored daily for clinical signs of EAE.

The severity of EAE was scored according to the following criteria: grade 1-decreased tail tone; grade 2- a clumsy gait ; grade 3- weakness of one or more limb; grade 4- paraplegia or hemiplegia.

The FR-900506 substance was dissolved in olive oil and administered orally for 19 days starting on day 0 (the day of first immunization). As shown in Table 15, the FR-900506 substance clearly prevented the development of clinical signs of EAE.

Table 15

Effect of FR-900506 Substance on Experimental Allergic Encephalomyelitis in SJL/J Mice		
	Dose (mg/kg)	Number of Animals with Disease at Day 24
Control (olive oil)	-	10/10
FR-900506 Substance	32	0/5

#### Test 6

##### Effect of Tricyclo Compounds (I) on Local Graft-versus-Host Reaction (GvHR) in Mice

The viable spleen cells ( $1 \times 10^7$  cells) from C57BL/6 donors were injected subcutaneously into the right hind foot pad of BDF<sub>1</sub> mice to induce local GvHR. The mice were killed 7 days later and both right (injected paw) and left (uninjected paw) popliteal lymph nodes (PLN) were weighed. The GvHR was expressed as the weight difference between right and left PLN.

The FR-900506 substance was dissolved in olive oil and administered orally for five days starting on the same day as sensitization.

ED<sub>50</sub> Value of the FR-900506 substance for prevention of the local graft-versus-host reaction was 19 mg/kg.

Test 7

## Acute toxicities of Tricyclo Compounds (I)

- 5 Test on acute toxicities of the FR-900506, FR-900520, FR-900523 and FR-900525 substances in ddY mice by intraperitoneal injection were conducted, and the dead at dose of 100 mg/kg could not be observed in each case.

The pharmaceutical composition of this invention can be used in the form of a pharmaceutical preparation, for example, in solid, semisolid or liquid form, which contains the tricyclo compounds (I) of the present invention, as an active ingredient, in admixture with an organic or inorganic carrier or excipient suitable for external, enteral or parenteral applications. The active ingredient may be compounded, for example, with the usual non-toxic, pharmaceutically acceptable carriers for tablets, pellets, capsules, suppositories, solutions, emulsions, suspensions, and any other form suitable for use. The carriers which can be used are water, glucose, lactose, gum acacia, gelatin, mannitol, starch paste, magnesium trisilicate, talc, corn starch, keratin, colloidal silica, potato starch, urea and other carriers suitable for use in manufacturing preparations, in solid, semisolid, or liquid form, and in addition auxiliary, stabilizing, thickening and coloring agents and perfumes may be used. The active object compound is included in the pharmaceutical composition in an amount sufficient to produce the desired effect upon the process or condition of diseases.

- 20 For applying this composition to human, it is preferable to apply it by parenteral or enteral administration. While the dosage of therapeutically effective amount of the tricyclo compounds (I) varies from and also depends upon the age and condition of each individual patient to be treated, a daily dose of about 0.01-1000 mg, preferably 0.1-500 mg and more preferably 0.5-100 mg, of the active ingredient is generally given for treating diseases, and an average single dose of about 0.5 mg, 1 mg, 5 mg, 10 mg, 50 mg, 100 mg, 250 mg and 500 mg is generally administered.

The following examples are given for the purpose of illustrating the present invention.

Example 130 Isolation of Streptomyces tsukubaensis No. 9993

Streptomyces tsukubaensis No. 9993 was isolated by using dilution plate techniques as shown in the following.

- 35 About one gram soil which was collected at Toyosato-cho, Tsukuba Gun, Ibaraki Prefecture, Japan, was added to a sterile test tube and the volume made up to 5 ml with sterile water. The mixture was then blended for 10 second by a tube buzzer and kept on 10 minutes. The supernatant was sequentially diluted by 100 fold with sterile water. The diluted solution (0.1 ml) was spread on Czapek agar supplemented with thiamine hydrochloride (saccharose 30 g, sodium nitrate 3 g, dipotassium phosphate 1 g, magnesium sulfate 0.5 g, potassium chloride 0.5 g, ferrous sulfate 0.01 g, thiamine hydrochloride 0.1 g, agar 20 g, tap water 1000 ml; pH 7.2) in a Petri dish. The growing colonies developed on the plates after 21 days incubation at 30 °C were transferred to slants [yeast-malt extract agar (ISP-medium 2)], and cultured for 10 days at 30 °C. Among of the colonies isolated, the Streptomyces tsukubaensis No. 9993 could be found.

Fermentation

- 45 A culture medium (160 ml) containing glycerin (1%), soluble starch (1 %), glucose (0.5%), cottonseed meal (0.5%), dried yeast (0.5%), corn steep liquor (0.5%) and calcium carbonate (0.2%) (adjusted to pH 6.5) was poured into each of twenty 500 ml-Erlenmeyer flasks and sterilized at 120 °C for 30 minutes. A loopful of slant culture of Streptomyces tsukubaensis No.9993, FERM BP-927 was inoculated to each of the media and cultured at 30 °C for 4 days on a rotary shaker. The resultant culture was inoculated to a medium containing soluble starch (4.5%), corn steep liquor (1%), dried yeast (1%), calcium carbonate (0.1%) and Adekanol (defoaming agent, Trade Mark, maker; Asahi Denka Co.) (0.1%) (150 liters) in a 200-liter jar-fermentor, which had been sterilized at 120 °C for 20 minutes in advance, and cultured at 30 °C for 4 days under aeration of 150 liters/minutes and agitation of 250 rpm.

55

Isolation and Purification

The cultured broth thus obtained was filtered with an aid of diatomaceous earth (5 kg). The mycelial cake was extracted with methanol (50 liters), yielding 50 liters of the extract. The methanol extract from mycelium and the filtrate were combined and passed through a column of a non-ionic adsorption resin "Diaion HP-20" (Trade Mark, maker Mitsubishi Chemical Industries Ltd.) (10 liters). After washing with water (30 liters) and aqueous methanol (30 liters), elution was carried out with methanol. The eluate was evaporated under reduced pressure to give residual water (2 liters). This residue was extracted with ethyl acetate (2 liters). The ethyl acetate extract was concentrated under reduced pressure to give an oily residue. The oily residue was mixed with twice weight of acidic silica gel (special silica gel grade 12, maker Fuji Devision Co.), and this mixture was slurried in ethyl acetate. After evaporating the solvent, the resultant dry powder was subjected to column chromatography of the same acid silica gel (800 ml) which was packed with n-hexane. The column was developed with n-hexane (3 liters), a mixture of n-hexane and ethyl acetate (9:1 v/v, 3 liters and 4:1 v/v, 3 liters) and ethyl acetate (3 liters). The fractions containing the object compound were collected and concentrated under reduced pressure to give an oily residue. The oily residue was dissolved in a mixture of n-hexane and ethyl acetate (1:1 v/v, 30 ml) and subjected to column chromatography of silica gel (maker Merck Co., Ltd. 230 - 400 mesh) (500 ml) packed with the same solvents system.

Elution was carried out with a mixture of n-hexane and ethyl acetate (1:1 v/v, 2 liters and 1:2 v/v, 1.5 liters). Fractions containing the first object compound were collected and concentrated under reduced pressure to give a yellowish oil. The oily residue was mixed twice weight of acidic silica gel and this mixture was slurried in ethyl acetate. After evaporating the solvent, the resultant dry powder was chromatographed on acidic silica gel packed and developed with n-hexane. Fractions containing the object compound were collected and concentrated under reduced pressure to give crude FR-900506 substance (1054 mg) in the form of white powder.

100 mg Of this crude product was subjected to high performance liquid chromatography. Elution was carried out using a column (8φ x 500 mm) with Lichrosorb SI 60 (Trade Mark, made by Merck & Co.) as a carrier. This chromatography was monitored by UV detector at 230 nm and mobile phase was a mixture of methylene chloride and dioxane (85:15 v/v) under flow rate of 5 ml/minute., and the active fractions were collected and evaporated. This high performance chromatography was repeated again, and 14 mg of the purified FR-900506 substance was obtained as white powder.

Further, elution was continuously carried out with ethyl acetate (1.5 liters), and fractions containing the second object compound were collected and concentrated under reduced pressure to give crude FR-900525 substance (30 mg) in the form of yellowish oil.

Example 2Fermentation

A preculture medium (100 ml) containing glycerin (1%), corn starch (1%), glucose (0.5%), cottenseed meal (1%), corn steep liquor (0.5%), dried yeast (0.5%) and calcium carbonate (0.2%) at pH 6.5 was poured into a 500 ml-Erlenmeyer flask and sterilized at 120 °C for 30 minutes. A loopful of slant culture of *Streptomyces tsukubaensis* No. 9993 was inoculated to the medium and cultured at 30 °C for four days. The resultant culture was transferred to the same preculture medium (20 liters) in 30 liters jar-fermentor which had been sterilized at 120 °C for 30 minutes in advance. After the culture was incubated at 30 °C for 2 days, 16 liters of the preculture was inoculated to a fermentation medium (1600 liters) containing soluble starch (4.5%), corn steep liquor (1%), dried yeast (1%), calcium carbonate (0.1%) and Adekanol (defoaming agent, Trade Mark, maker Asahi Denka Co.) (0.1%) at pH 6.8 in 2 ton tank which had been sterilized at 120 °C for 30 minutes in advance and cultured at 30 °C for 4 days.

Isolation and Purification

The cultured broth thus obtained was filtered with an aid of diatomaceous earth (25 kg). The mycelial cake was extracted with acetone (500 liters), yielding 500 liters of the extract. The acetone extract from mycelium and the filtrate (1350 liters) were combined and passed through a column of a non-ionic adsorption resin "Diaion HP-20" (Trade Mark, maker Mitsubishi Chemical Industries Ltd.) (100 liters). After washing with water (300 liters) and 50% aqueous acetone (300 liters), elution was carried out with 75% aqueous acetone. The eluate was evaporated under reduced pressure to give residual water (300 liters).

This residue was extracted with ethyl acetate (20 liters) three times. The ethyl acetate extract was concentrated under reduced pressure to give an oily residue. The oily residue was mixed with twice weight of acidic silica gel (special silica gel grade 12, maker Fuji Devison Co.), and this mixture was slurried in ethyl acetate. After evaporating the solvent, the resultant dry powder was subjected to column chromatography of the same acidic silica gel (8 liters) which was packed with n-hexane. The column was developed with n-hexane (30 liters), a mixture of n-hexane and ethyl acetate (4:1 v/v, 30 liters) and ethyl acetate (30 liters). The fractions containing the object compound were collected and concentrated under reduced pressure to give an oily residue. The oily residue was mixed with twice weight of acidic silica gel and this mixture was slurried in ethyl acetate. After evaporating the solvent, the resultant dry powder was rechromatographed on acidic silica gel (3.5 liters) packed with n-hexane. The column was developed with n-hexane (10 liters), a mixture of n-hexane and ethyl acetate (4:1 v/v, 10 liters) and ethyl acetate (10 liters). Fractions containing the object compound were collected and concentrated under reduced pressure to give a yellowish oil. The oily residue was dissolved in a mixture of n-hexane and ethyl acetate (1:1 v/v, 300 ml) and subjected to column chromatography of silica gel (maker Merck Co., Ltd. 230-400 mesh) (2 liters) packed with the same solvents system. Elution was carried out with a mixture of n-hexane and ethyl acetate (1:1 v/v, 10 liters and 1:2 v/v 6 liters) and ethyl acetate (6 liters).

Fractions containing the first object compound were collected and concentrated under reduced pressure to give FR-900506 substance in the form of white powder (34 g). This white powder was dissolved in acetonitrile and concentrated under reduced pressure. This concentrate was kept at 5°C overnight and prisms (22.7 g) were obtained. Recrystallization from the same solvent gave purified FR-900506 substance (13.6 g) as colorless prisms.

Further, fractions containing the second object compound were collected and concentrated under reduced pressure to give crude FR-900525 substance (314 mg) in the form of yellowish powder.

### 25 Example 3

#### Fermentation

A culture medium (160 ml) containing glycerin (1%), corn starch (1%), glucose (0.5%), cottonseed meal (1%), dried yeast (0.5%), corn steep liquor (0.5%) and calcium carbonate (0.2%) (adjusted to pH 6.5) was poured into each of ten 500 ml-Erlenmeyer flasks and sterilized at 120°C for 30 minutes. A loopful of slant culture of *Streptomyces tsukubaensis* No. 9993 was inoculated to each of the medium and cultured at 30°C for 4 days on a rotary shaker. The resultant culture was inoculated to a medium containing soluble starch (5%), peanut powder (0.5%), dried yeast (0.5%), gluten meal (0.5%), calcium carbonate (0.1%) and Adekanol (deforming agent, Trade Mark, maker Asahi Denka Co.) (0.1%) (150 liters) in a 200-liter jar-fermentor, which had been sterilized at 120°C for 20 minutes in advance, and cultured at 30°C for 4 days under aeration of 150 liters/minutes and agitation of 250 rpm.

#### Isolation and Purification

The cultured broth thus obtained was filtered with an aid of diatomaceous earth (5 kg). The mycelial cake was extracted with acetone (50 liters), yielding 50 liters of the extract. The acetone extract from mycelium and the filtrate (135 liters) were combined and passed through a column of a non-ionic adsorption resin "Diaion HP-20" (Trade Mark, maker Mitsubishi Chemical Industries Ltd.) (10 liters). After washing with water (30 liters) and 50 % aqueous acetone (30 liters), elution was carried out with 75 % aqueous acetone. The eluate (30 liters) was evaporated under reduced pressure to give residual water (2 liters). This residue was extracted with ethyl acetate (2 liters) three times. The ethyl acetate extract was concentrated under reduced pressure to give an oily residue. The oily residue was mixed with twice weight of acidic silica gel (special silica gel grade 12, maker Fuji Devison Co.), and this mixture was slurried in ethyl acetate. After evaporating the solvent, the resultant dry powder was subjected to column chromatography of the same acidic silica gel (800 ml) which was packed with n-hexane. The column was developed with n-hexane (3 liters), a mixture of n-hexane and ethyl acetate (4:1 v/v, 3 liters) and ethyl acetate (3 liters). The fractions containing the object compound were collected and concentrated under reduced pressure to give an oily residue. The oily residue was dissolved in a mixture of n-hexane and ethyl acetate (1:1 v/v, 30 ml) and subjected to column chromatography of silica gel (maker Merck Co., Ltd. 230-400 mesh) (500 ml) packed with the same solvents system. Elution was carried out with a mixture of n-hexane and ethyl acetate (1:1 v/v, 2 liters and 1:2 v/v, 1.5 liters) and ethyl acetate (1.5 liters).

Fractions containing the first object compound were collected and concentrated under reduced pressure to give crude FR-900506 substance (3 g) in the form of yellowish powder.

Further, fractions containing the second object compound were collected and concentrated under reduced pressure to give an oily residue. This oily residue was rechromatographed with silica gel to give a yellowish oil. The oily residue was mixed with twice weight of acidic silica gel and this mixture was slurried in ethyl acetate. After evaporating the solvent, the resultant dry powder was chromatographed on acidic silica gel (100 ml) packed and developed with n-hexane. Fractions containing the object compound were collected and concentrated under reduced pressure to give FR-900525 substance in the form of pale yellowish powder (380 mg). This powder was dissolved in a mixture of n-hexane and ethyl acetate (1:2 v/v, 5 ml) and subjected to acidic silica gel (special silica gel grade 922, maker Fuji Devison Co.) (100 ml) packed and washed with the same solvent system. Elution was carried out with ethyl acetate. The active fractions were collected and evaporated under reduced pressure to give the purified FR-900525 substance (230 mg) in the form of white powder.

#### 15 Example 4

##### Isolation of Streptomyces hygroscopicus subsp. yakushimaensis No. 7238

Streptomyces hygroscopicus subsp. yakushimaensis No. 7238 was isolated by using dilution plate techniques as shown in the following.

About one gram soil which was collected at Yakushima, Kagoshima Prefecture, Japan, was added to a sterile test tube and the volume made up to 5 ml with sterile water. The mixture was then blended for 10 seconds by a tube buzzer and kept on 10 minutes. The supernatant was sequentially diluted by 100 fold with sterile water. The diluted solution (0.1 ml) was spread on Czapek agar supplemented with thiamine hydrochloride (saccharose 30 g, sodium nitrate 3 g, dipotassium phosphate 1 g, magnesium sulfate 0.5 g, potassium chloride 0.5 g, ferrous sulfate 0.01 g, thiamine hydrochloride 0.1 g, agar 20 g, tap water 1000 ml; pH 7.2) in a Petri dish. The growing colonies developed on the plates after 21 days incubation at 30 °C were transferred to slants [yeast-malt extract agar (ISP-medium 2)], and cultured for 10 days at 30 °C. Among of the colonies isolated, the Streptomyces hygroscopicus subsp. yakushimaensis No. 7238 could be found.

##### Fermentation

A culture medium (160 ml) containing glycerin (1%), soluble starch (1 %), glucose (0.5%), cottonseed meal (0.5%), dried yeast (0.5%), corn steep liquor (0.5%) and calcium carbonate (0.2%) (adjusted to pH 6.5) was poured into each of twenty 500 ml-Erlenmeyer flasks and sterilized at 120 °C for 30 minutes. A loopful of slant culture of Streptomyces hygroscopicus subsp. yakushimaensis No. 7238, FERM BP-928 was inoculated to each of the media and cultured at 30 °C for 4 days on a rotary shaker. The resultant culture was inoculated to a medium containing glucose (4.5%), corn steep liquor (1%), dried yeast (1%), gluten meal (1%), wheat germ (0.5%), calcium carbonate (0.1%) and Adekanol (defoaming agent, Trade Mark, maker Asahi Denka Co.) (0.1%) (150 liters) in a 200-liter jar-fermentor, which had been sterilized at 120 °C for 20 minutes in advance, and cultured at 30 °C for 4 days under aeration of 150 liters/minutes and agitation of 250 rpm.

##### 45 Isolation and Purification

The cultured broth thus obtained was filtered with an aid of diatomaceous earth (5 kg). The mycelial cake was extracted with acetone (50 liters), yielding 50 liters of the extract. The acetone extract from mycelium and the filtrate (135 liters) were combined and passed through a column of a non-ionic adsorption resin "Diaion HP-20" (Trade Mark, maker Mitsubishi Chemical Industries Ltd.) (10 liters). After washing with water (30 liters) and aqueous acetone (30 liters), elution was carried out with acetone. The eluate was evaporated under reduced pressure to give residual water (2 liters). This residue was extracted with ethyl acetate (4 liters). The ethyl acetate extract was concentrated under reduced pressure to give an oily residue. The oily residue was mixed with twice weight of acidic silica gel (special silica gel grade 12, maker Fuji Devison Co.), and this mixture was slurried in ethyl acetate. After evaporating the solvent, the resultant dry powder was subjected to column chromatography of the same acid silica gel (800 ml) which was packed with n-hexane. The column was developed with n-hexane (3 liters), a mixture of n-hexane and ethyl acetate (4:1 v/v, 3 liters) and ethyl acetate (3 liters). The fractions containing the FR-900520 and FR-900523

substances were collected and concentrated under reduced pressure to give an oily residue. The oily residue was dissolved in a mixture of n-hexane and ethyl acetate (1:1 v/v, 50 ml) and subjected to column chromatography of silica gel (maker Merck Co., Ltd. 70 - 230 mesh) (1000 ml) packed with the same solvents system. Elution was carried out with a mixture of n-hexane and ethyl acetate (1:1 v/v, 3 liters and 1:2 v/v, 3 liters) and ethyl acetate (3 liters). Fractions containing the object compounds were collected and concentrated under reduced pressure to give a yellowish powder (4.5 g). This powder was dissolved in methanol (20 ml) and mixed with water (10ml). The mixture was chromatographed on a reverse phase silica gel "YMC" (60-200 mesh) (500ml) (Trade Mark, maker Yamamura Chemical Institute) packed and developed with a mixture of methanol and water (4:1 v/v).

Fractions containing the FR-900520 substance were collected and concentrated under reduced pressure to give crude product of the FR-900520 substance (1.8 g) in the form of pale yellowish powder. This powder was dissolved in a small amount of diethyl ether. After standing overnight, the precipitated crystals were collected by filtration, washed with diethyl ether and then dried under reduced pressure. Recrystallization from diethyl ether gave 600 mg of the purified FR-900520 substance in the form of colorless plates.

The chromatography of the reverse phase silica gel was carried on with the same solvents system, and the subsequent fractions containing the FR-900523 substance were collected and then concentrated under reduced pressure to give crude product of the FR-900523 substance (0.51 g) in the form of pale yellowish powder. This crude product was dissolved in acetonitrile (3 ml) and subjected to a reverse phase silica gel "YMC" (70 ml) packed and developed with a mixture of acetonitrile, tetrahydrofuran and 50 mM phosphate buffer solution (pH 2.0) (3:2:5, v/v). Fractions containing the object compound were collected and were extracted with ethyl acetate. This extract was concentrated under reduced pressure to give a yellowish white powder (190 mg). The yellowish white powder was chromatographed again on a reverse phase silica gel "YMC" to give white powder (80 mg). This white powder was dissolved in a small amount of diethyl ether and allowed to stand overnight at room temperature to give 56mg of crystals. Recrystallization from diethyl ether gave 34mg of the FR-900523 substance in the form of colorless needles.

#### Example 5

To a solution of the FR-900506 substance (10.4 mg) in dichloromethane (0.2 ml) were added pyridine (0.1 ml) and acetic anhydride (0.05 ml) at room temperature, and the mixture was stirred for 5 hours. The solvent was removed from the reaction mixture under reduced pressure. The residue was subjected to silica gel thin layer chromatography (developing solvent: diethyl ether and dichloromethane, 1:2 v/v) to give 12-[2-(4-acetoxy-3-methoxycyclohexyl)-1-methylvinyl]-17-allyl-1,14-dihydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (6.0 mg).

IR  $\nu$ (CHCl<sub>3</sub>): 3520, 1728, 1705(sh), 1640, 1095 cm<sup>-1</sup>

#### Example 6

To a solution of the FR-900506 substance (52.5 mg) in dichloromethane (1 ml) were added pyridine (0.5 ml) and acetic anhydride (0.3 ml) at room temperature, and the mixture was stirred at room temperature for 9 hours. The solvent was removed from the reaction mixture under reduced pressure. The residue was subjected to silica gel thin layer chromatography (developing solvent: diethyl ether and hexane, 3:1 v/v) to give 14-acetoxy-12-[2-(4-acetoxy-3-methoxycyclohexyl)-1-methylvinyl]-17-allyl-1-hydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (48.0 mg) and 12-[2-(4-acetoxy-3-methoxycyclohexyl)-1-methylvinyl]-17-allyl-1-hydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-14,18-diene-2,3,10,16-tetraone (5.4 mg), respectively.

#### Former Compound

IR  $\nu$ (CHCl<sub>3</sub>): 1730, 1720(sh), 1640 cm<sup>-1</sup>

#### Latter Compound

IR  $\nu$ (CHCl<sub>3</sub>): 1730, 1690, 1640, 1627 cm<sup>-1</sup>

Example 7

To a solution of the FR-900506 substance (9.7 mg) in dichloromethane (0.2 ml) and pyridine (0.1 ml) was added benzoyl chloride (50  $\mu$ l) at room temperature, and the mixture was stirred at room temperature for 2 hours. The solvent was removed from the reaction mixture under reduced pressure to give a crude oil. This oil was purified on silica gel thin layer chromatography (developing solvent: diethyl ether and hexane, 2:1 v/v) to afford 17-allyl-12-[2-(4-benzoyloxy-3-methoxycyclohexyl)-1-methylvinyl]-1,14-dihydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (8.0 mg).

IR  $\nu$ (CHCl<sub>3</sub>) : 3500, 1735(sh), 1710, 1640, 1600 cm<sup>-1</sup>

Example 8

To a solution of the FR-900506 substance (30.5 mg) in pyridine (1 ml) was added p-nitrobenzoyl chloride (ca. 100 mg), and the mixture was stirred at room temperature for 2 hours. The reaction mixture was diluted with ethyl acetate, and washed with a saturated aqueous sodium hydrogen carbonate, water, 1N-hydrochloric acid, water, a saturated aqueous sodium hydrogen carbonate, water and an aqueous sodium chloride, successively, and then dried. The resulting solution was concentrated under reduced pressure, and the residue was purified on silica gel column chromatography to give 17-allyl-1,14-dihydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-12-[2-[4-(p-nitrobenzoyloxy)-3-methoxycyclohexyl]-1-methylvinyl]-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (37.7 mg).

IR  $\nu$ (CHCl<sub>3</sub>) : 1720, 1640, 1610, 1530-1520 cm<sup>-1</sup>

Example 9

17-Allyl-1,14-dihydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-12-[2-[4-(3,5-dinitrobenzoyloxy)-3-methoxycyclohexyl]-1-methylvinyl]-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (36.0 mg) was obtained by reacting the FR-900506 substance (30.6 mg) with 3,5-dinitrobenzoyl chloride (33 mg) in pyridine (0.5 ml) in accordance with a similar manner to that of Example 8.

IR  $\nu$ (CHCl<sub>3</sub>) : 1730, 1640, 1610, 1530-1520 cm<sup>-1</sup>

Example 10

17-Allyl-1,14-dihydroxy-23,25-dimethoxy-12-[2-[4-(2-*t*-menthyloxyacetoxy)-3-methoxycyclohexyl]-1-methylvinyl]-13,19,21,27-tetramethyl-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (50.9 mg) was obtained by reacting the FR-900506 substance (48 mg) with 2-*t*-menthyloxyacetyl chloride (0.08 ml) in pyridine (0.5 ml) in accordance with a similar manner to that of Example 8.

IR  $\nu$ (neat) : 3520, 1760, 1740(sh), 1720(sh), 1652 cm<sup>-1</sup>

Example 11

To a solution of (-)-2-trifluoromethyl-2-methoxy-2-phenylacetic acid (51 mg) in ethyl acetate (10 ml) was added at room temperature N,N'-dicyclohexylcarbodiimide (47 mg). After stirring for 1.5 hours at room temperature, then the FR-900506 substance (25.0 mg) and 4-(N,N-dimethylamino)-pyridine (11 mg) were added, followed by stirring at room temperature for 3.5 hours. The resulting solution was concentrated to provide a residue, which was taken up in diethyl ether and then washed successively with hydrochloric acid, an aqueous sodium hydrogen carbonate and an aqueous sodium chloride. The organic layer was dried over sodium sulfate and concentrated to provide a residue, which was chromatographed on silica gel (developing solvent: dichloromethane and diethyl ether, 10:1 v/v) to give 17-allyl-12-[2-[4-((-)-2-trifluoromethyl-2-methoxy-2-phenylacetoxyl)-3-methoxycyclohexyl]-1-methylvinyl]-1,14-dihydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (6.5 mg) and 17-allyl-14-((-)-2-trifluoromethyl-2-methoxy-2-phenylacetoxyl)-12-[2-[4-((-)-2-trifluoromethyl-2-methoxy-2-phenylacetoxyl)-3-methoxycyclohexyl]-1-methylvinyl]-1-hydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (20.2 mg).

Former Compound

IR  $\nu$ (neat) : 3510, 1750, 1730(sh), 1710, 1652, 1500 cm<sup>-1</sup>

Latter Compound

IR  $\nu$ (neat) : 1750, 1720, 1652, 1500  $\text{cm}^{-1}$

5 Example 12

To a stirred solution of the FR-900506 substance (248 mg) in pyridine (7 ml) were added succinic anhydride (145 mg) and 4-(N,N-dimethylamino)pyridine (7 mg), and the resulting mixture was stirred at room temperature for 18 hours. The reaction mixture was concentrated under reduced pressure and the residue was subjected to chromatography on silica gel (20 g) with ethyl acetate to give 17-allyl-12-[2-[4-(3-carboxypropionyloxy)-3-methoxycyclohexyl]-1-methylvinyl]-1,14-dihydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (90 mg).

IR  $\nu$ (CHCl<sub>3</sub>) : 3500, 3100-2300, 1720, 1705(sh), 1635  $\text{cm}^{-1}$

15 Example 13

To a solution of the FR-900506 substance (100.7 mg) in pyridine (3 ml) was added p-iodobenzenesulfonyl chloride (500 mg), and the mixture was stirred at room temperature for 36 hours. The solution was diluted with ethyl acetate and washed with a saturated aqueous sodium hydrogen carbonate, water and an aqueous sodium chloride. The organic layer was dried over sodium sulfate, filtered and concentrated under reduced pressure. The residue was chromatographed on silica gel (developing solvent: diethyl ether and hexane, 3:1 v/v) to give 17-allyl-1,14-dihydroxy-12-[2-[4-(p-iodobenzenesulfonyloxy)-3-methoxycyclohexyl]-1-methylvinyl]-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (61 mg) and 17-allyl-1-hydroxy-12-[2-[4-(p-iodobenzenesulfonyloxy)-3-methoxycyclohexyl]-1-methylvinyl]-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-14,18-diene-2,3,10,16-tetraone (12 mg), respectively.

Former Compound

30 IR  $\nu$ (CHCl<sub>3</sub>) : 3470, 1730, 1717, 1692, 1635, 1568  $\text{cm}^{-1}$

Latter Compound

35 <sup>1</sup>H NMR  $\delta$  ppm(CDCl<sub>3</sub>) :  $\left. \begin{array}{l} 6.15 \text{ (d, } J=15\text{Hz)} \\ 6.25 \text{ (d, } J=15\text{Hz)} \end{array} \right\} \text{ (1H),}$   
 $\left. \begin{array}{l} 6.70 \text{ (dd, } J=15\text{Hz, } 10\text{Hz)} \\ 6.80 \text{ (dd, } J=15\text{Hz, } 10\text{Hz)} \end{array} \right\} \text{ (1H),}$   
 40  $\left. \begin{array}{l} 7.60 \text{ (2H, m), } 7.90 \text{ (2H, m),} \end{array} \right\}$

45 Example 14

17-Allyl-12-[2-(4-d-camphorsulfonyloxy-3-methoxycyclohexyl)-1-methylvinyl]-1,14-dihydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (34 mg) was obtained by reacting the FR-900506 substance (27 mg) with d-camphorsulfonyl chloride (97 mg) in pyridine (0.6 ml) in accordance with a similar manner to that of Example 13.

IR  $\nu$ (neat) : 3500, 1747, 1720(sh), 1710(sh), 1655  $\text{cm}^{-1}$

55 Example 15

To a stirred solution of the FR-900506 substance (89.7 mg) in dichloromethane (3 ml) were added imidazole (118 mg) and tert-butyl-diphenylsilyl chloride (52.2 mg). After the mixture was stirred at room temperature for 2 hours, the reaction mixture was diluted with a saturated aqueous ammonium chloride and

extracted three times with diethyl ether. The extract was washed with water and an aqueous sodium chloride, dried over sodium sulfate, and then concentrated under reduced pressure. The residue was purified on silica gel column chromatography (developing solvent: ethyl acetate and hexane, 1:3 v/v) to give 17-allyl-12-[2-(4-tert-butyl-diphenylsilyloxy-3-methoxycyclohexyl)-1-methylvinyl]-1,14-dihydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (107 mg).

IR  $\nu$ (neat) : 3520, 1742, 1705, 1650 cm<sup>-1</sup>

#### Example 16

17-Allyl-12-[2-(4-tert-butyl-dimethylsilyloxy-3-methoxycyclohexyl)-1-methylvinyl]-1,14-dihydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (85 mg) was obtained by reacting the FR-900506 substance (80 mg) with tert-butyl-dimethylsilyl chloride (17 mg) in the presence of imidazole (15 mg) in N,N-dimethylformamide (1 ml) in accordance with a similar manner to that of Example 15.

IR  $\nu$ (CHCl<sub>3</sub>) : 1735, 1720(sh), 1700, 1640 cm<sup>-1</sup>

#### Example 17

To a solution of the FR-900506 substance (100 mg) in dimethyl sulfoxide (1.5 ml) was added acetic anhydride (1.5 ml), and the mixture was stirred at room temperature for 14 hours. The reaction mixture was diluted with ethyl acetate and washed with a saturated aqueous sodium hydrogen carbonate, water and an aqueous sodium chloride. The organic layer was dried over sodium sulfate, filtered and then concentrated under reduced pressure. The residue was subjected to thin layer chromatography on silica gel (developing solvent: diethyl ether) to give 17-allyl-1,14-dihydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-12-[2-(4-methylthiomethoxy-3-methoxycyclohexyl)-1-methylvinyl]-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-14,18-diene-2,3,10,16-tetraone (51 mg), 17-allyl-1-hydroxy-12-[2-(4-hydroxy-3-methoxycyclohexyl)-1-methylvinyl]-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-14,18-diene-2,3,10,16-tetraone (18 mg) and 17-allyl-1,14-dihydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-12-[2-(4-methylthiomethoxy-3-methoxycyclohexyl)-1-methylvinyl]-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (10 mg), respectively.

#### First Compound

IR  $\nu$ (CHCl<sub>3</sub>) : 3470, 1730, 1635, 1630(sh), 1580(sh) cm<sup>-1</sup>

#### Second Compound

IR  $\nu$ (CHCl<sub>3</sub>) : 1728, 1640, 1090 cm<sup>-1</sup>

#### Third Compound

IR  $\nu$ (CHCl<sub>3</sub>) : 3480, 1735, 1710, 1640 cm<sup>-1</sup>

#### Example 18

To a solution of 17-allyl-12-[2-(4-tert-butyl-dimethylsilyloxy-3-methoxycyclohexyl)-1-methylvinyl]-1,14-dihydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (39.9 mg) in pyridine (1.5 ml) was added acetic anhydride (0.5 ml), and the mixture was stirred at room temperature for 6 hours. The solvent was removed from the reaction mixture under reduced pressure to give a crude oil, which was purified on silica gel thin layer chromatography (developing solvent: diethyl ether and hexane, 1:1 v/v) to afford 14-acetoxy-17-allyl-12-[2-(4-tert-butyl-dimethylsilyloxy-3-methoxycyclohexyl)-1-methylvinyl]-1-hydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxo-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (26.5 mg).

IR  $\nu$ (CHCl<sub>3</sub>) : 1728, 1715(sh), 1635 cm<sup>-1</sup>

Example 19

14-Acetoxy-17-allyl-12-[2-(4-tert-butyl-diphenylsilyloxy-3-methoxycyclohexyl)-1-methylvinyl]-1-hydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (10 mg) was obtained by reacting 17-allyl-12-[2-(4-tert-butyl-diphenylsilyloxy-3-methoxycyclohexyl)-1-methylvinyl]-1,14-dihydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (10.6 mg) with acetic anhydride (0.1 mg) in pyridine (0.2 ml) in accordance with a similar manner to that of Example 18.

IR  $\nu$ (CHCl<sub>3</sub>) : 3500, 1730, 1720(sh), 1660(sh), 1640, 1620(sh), 1100 cm<sup>-1</sup>

Example 20

To a solution of 14-acetoxy-17-allyl-12-[2-(4-tert-butyl-diphenylsilyloxy-3-methoxycyclohexyl)-1-methylvinyl]-1-hydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (43.8 mg) in tetrahydrofuran (1.5 ml) was added potassium carbonate (ca 100 mg) at room temperature and the mixture was stirred at the same temperature for 3 hours. The reaction mixture was diluted with diethyl ether and the resulting solution was washed with a saturated aqueous ammonium chloride, water and an aqueous sodium chloride successively, and dried over sodium sulfate. The resulting solution was concentrated under reduced pressure and the residue was purified on silica gel thin layer chromatography (developing solvent: diethyl ether and hexane, 3:2 v/v) to give 17-allyl-12-[2-(4-tert-butyl-diphenylsilyloxy-3-methoxycyclohexyl)-1-methylvinyl]-1-hydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-14,18-diene-2,3,10,16-tetraone (30 mg).

IR  $\nu$ (CHCl<sub>3</sub>) : 1733, 1720(sh), 1685, 1640(sh), 1620 cm<sup>-1</sup>

Example 21

A solution of the FR-900506 substance (50 mg) in ethyl acetate (2 ml) was subjected to catalytic reduction using 10% palladium on carbon (10 mg) under atmospheric pressure at room temperature for 20 minutes. The reaction mixture was filtered and the filtrate was evaporated to dryness, which was purified on thin layer chromatography. Development with a mixture of chloroform and acetone (5:1 v/v) gave 1,14-dihydroxy-12-[2-(4-hydroxy-3-methoxycyclohexyl)-1-methylvinyl]-23,25-dimethoxy-13,19,21,27-tetramethyl-17-propyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone (50.0 mg).

IR  $\nu$ (CHCl<sub>3</sub>) : 3480, 1735(sh), 1717, 1700, 1650(sh), 1625 cm<sup>-1</sup>

Example 22

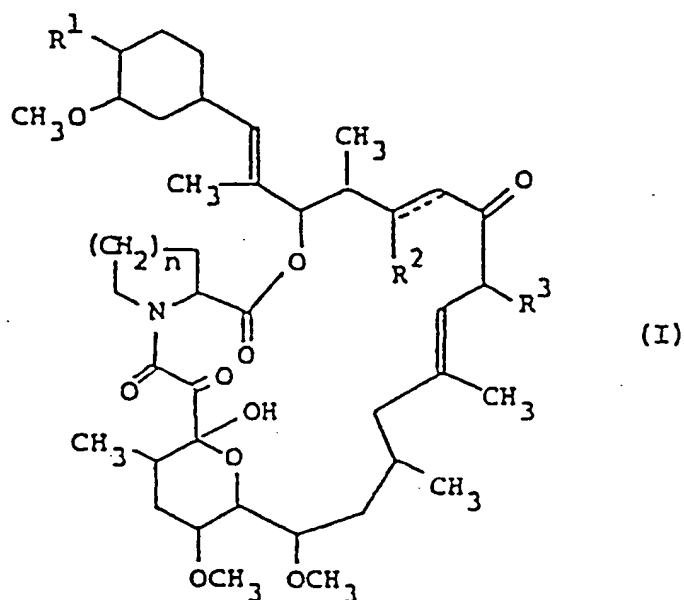
White powder of crude FR-900506 substance (1 g) obtained by a similar fermentation process to Example 1 was dissolved in acetonitrile (5 ml) and subjected to high performance liquid chromatography (HPLC) using Shimazu LC4A (Trade Mark, made by Shimazu Seisaku-sho). Steel column (25 mm inside diameter, 250 mm length) packed with YMC-S343 (ODS) (Trade Mark, made by Shimadzu Co., Ltd.) was used at a flow rate of 12 ml/min. Mobile phase was an aqueous mixture of 28% acetonitrile, 10% n-butanol, 0.075% phosphoric acid, 3.75 mM sodium dodecyl sulfate (SDS) and detection was carried out using Hitachi UV-recorder at 210 nm. One hundred  $\mu$ l of the sample was injected each time and the HPLC was repeated 50 times so that all the sample could be subjected to the column. Each eluate with a retention time of 85 min. to 90 min. was collected and extracted with an equal volume of ethyl acetate (3.6 liters). The ethyl acetate layer was separated and washed with an aqueous sodium hydrogen carbonate (1%, 2 liters) and concentrated in vacuo to a small amount. SDS crystallized on concentration was removed by filtration. Crude powder obtained was dissolved in acetonitrile at a concentration of 100 mg/ml and applied again to HPLC. Mobile phase was an aqueous mixture of 12.5% acetonitrile, 9.75% n-butanol, 0.075% phosphoric acid, 3.75 mM SDS. The column was eluted at a flow rate of 10 ml/min. The eluates with a retention time of 131 min. to 143 min. were collected and extracted with equal volume of ethyl acetate. The solvent layer was separated and washed with 1% aqueous sodium hydrogen carbonate and concentrated in vacuo to a small volume. SDS crystallized on concentration was removed by filtration.

Crude powder thus obtained was dissolved in a small amount of ethyl acetate and subjected to column chromatography using silica gel (10 ml) (Kiesel gel, 230-400 mesh, maker: Merck Co., Ltd.). The column was washed with a mixture of n-hexane and ethyl acetate (30 ml) (1:1 v/v) and a mixture of n-hexane and ethyl acetate (60 ml) (1:2 v/v). Elution was carried out using ethyl acetate and fractionated (each fraction : 3 ml). Fractions 18 to 24 were collected and concentrated in vacuo to dryness to give FR-900520 substance

(24 mg).

## Claims

1. A compound of the formula:



wherein

$R^1$  is hydroxy or conventionally protected hydroxy,

$R^2$  is hydrogen, hydroxy or conventionally protected hydroxy,

$R^3$  is methyl, ethyl, propyl or allyl,

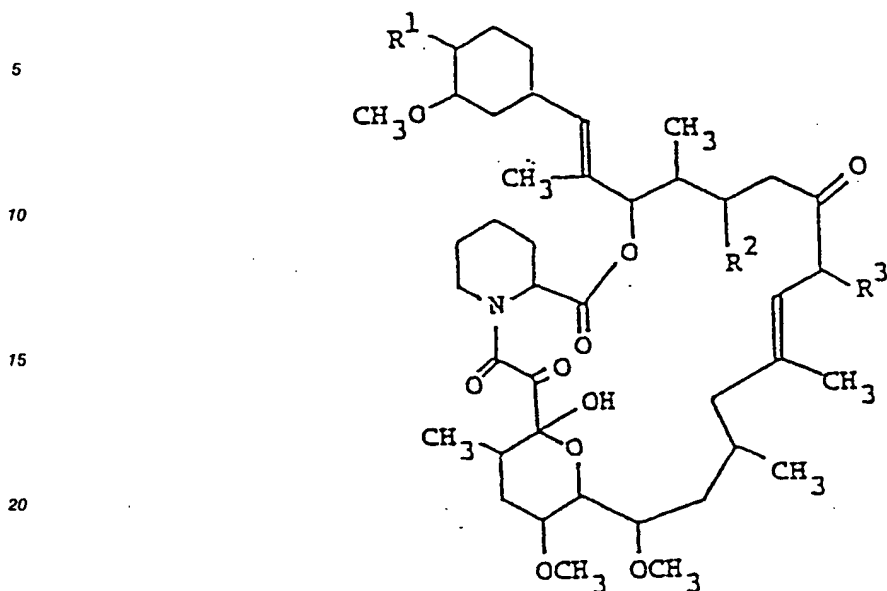
$n$  is an integer of 1 or 2, and

the symbol of a line and dotted line is a single bond or a double bond,

provided that when  $R^1$  and  $R^2$  are each hydroxy,  $n$  is an integer of 2 and the symbol of a line and dotted line is a single bond, then  $R^3$  is methyl, propyl or allyl,

and salt thereof.

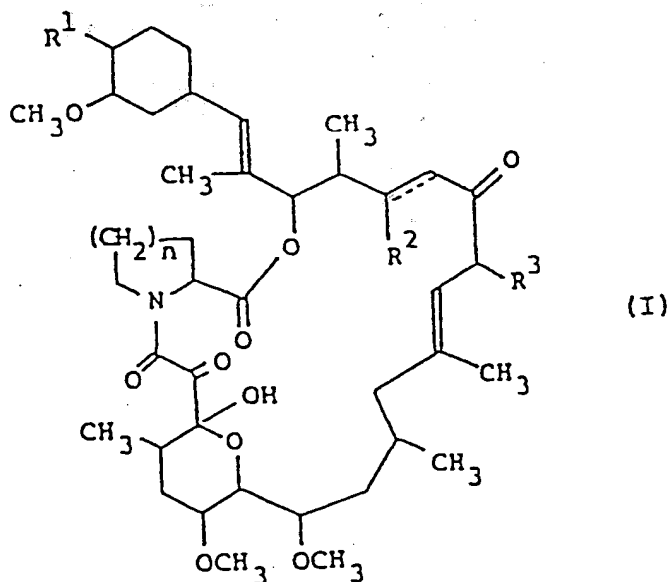
2. A compound of claim 1, which can be represented by the following formula:



wherein

- 30      R<sup>1</sup>    is hydroxy or conventionally protected hydroxy,  
           R<sup>2</sup>    is hydroxy or conventionally protected hydroxy, and  
           R<sup>3</sup>    is methyl, propyl or allyl.
3. A compound of claim 2, wherein  
       R<sup>3</sup>    is allyl.
- 35 4. A compound of claim 3, wherein  
       R<sup>1</sup>    is hydroxy, 1-(C<sub>1</sub>-C<sub>6</sub> alkylthio)(C<sub>1</sub>-C<sub>6</sub>)alkoxy, tri(C<sub>1</sub>-C<sub>6</sub>)alkylsilyloxy, C<sub>1</sub>-C<sub>6</sub> alkyl-diphenyl-  
           silyloxy, or acyloxy.
- 40 5. A compound of claim 4, wherein  
       R<sup>1</sup>    is hydroxy; C<sub>1</sub>-C<sub>6</sub> alkylthiomethoxy; tri(C<sub>1</sub>-C<sub>6</sub>)alkylsilyloxy; C<sub>1</sub>-C<sub>6</sub> alkyl-diphenylsilyloxy; C<sub>1</sub>-C<sub>6</sub>  
           alkanoyloxy which may have carboxy; cyclo(C<sub>3</sub>-C<sub>6</sub>)alkoxy(C<sub>1</sub>-C<sub>6</sub>)alkanoyloxy which may have  
           two C<sub>1</sub>-C<sub>6</sub> alkyl groups on the cycloalkyl moiety; camphorsulfonyloxy; aroyloxy which may  
           have one or two nitro, in which the aroyl moiety is selected from the group consisting of  
           benzoyl, toluoyl, xyloyl and naphthoyl; arenesulfonyloxy which may have halogen, in which  
           the arene moiety is selected from the group consisting of benzene, toluene, xylene and  
           naphthalene; or phenyl(C<sub>1</sub>-C<sub>4</sub>)alkanoyloxy which may have C<sub>1</sub>-C<sub>6</sub> alkoxy and trihalo(C<sub>1</sub>-C<sub>6</sub>)-  
           alkyl, and R<sup>2</sup> is hydroxy or C<sub>1</sub>-C<sub>6</sub> alkanoyloxy.
- 45
- 50 6. A compound of claim 5, which is 17-allyl-1,14-dihydroxy-12-[2-(4-hydroxy-3-methoxycyclohexyl)-1-  
           methylvinyl]-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-  
           ene-2,3,10,16-tetraone.
7. A compound of claim 5, wherein  
       R<sup>1</sup>    is C<sub>1</sub>-C<sub>6</sub> alkanoyloxy and R<sup>2</sup> is hydroxy or C<sub>1</sub>-C<sub>6</sub> alkanoyloxy.
- 55 8. A compound of claim 7, which is 12-[2-(4-acetoxy-3-methoxycyclohexyl)-1-methylvinyl]-17-allyl-1,14-  
           dihydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-  
           ene-2,3,10,16-tetraone.

9. A compound of claim 7, which is 14-acetoxy-12-[2-(4-acetoxy-3-methoxycyclohexyl)-1-methylvinyl]-17-allyl-1-hydroxy-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxa-4-azatricyclo-[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone.
10. A compound of claim 2, which is 1,14-dihydroxy-12-[2-(4-hydroxy-3-methoxycyclohexyl)-1-methylvinyl]-23,25-dimethoxy-13,19,17,21,27-pentamethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-ene-2,3,10,16-tetraone.
11. A compound of claim 1, wherein R<sup>1</sup> is hydroxy, C<sub>1</sub>-C<sub>6</sub> alkylthiomethoxy, C<sub>1</sub>-C<sub>6</sub> alkanoyloxy or arenesulfonyloxy which may have halogen, in which the arene moiety is selected from the group consisting of benzene, toluene, xylene and naphthalene, R<sup>2</sup> is hydrogen or hydroxy, n is an integer of 2 and the symbol of a line and dotted line is a double bond.
12. A compound of claim 1, which is 16-allyl-1,13-dihydroxy-11-[2-(4-hydroxy-3-methoxycyclohexyl)-1-methylvinyl]-22,24-dimethoxy-12,18,20,26-tetramethyl-10,27-dioxa-4-azatricyclo[21.3.1.0<sup>4,8</sup>]heptacos-17-ene-2,3,9,15-tetraone.
13. A process for production of the compound of the formula:



wherein

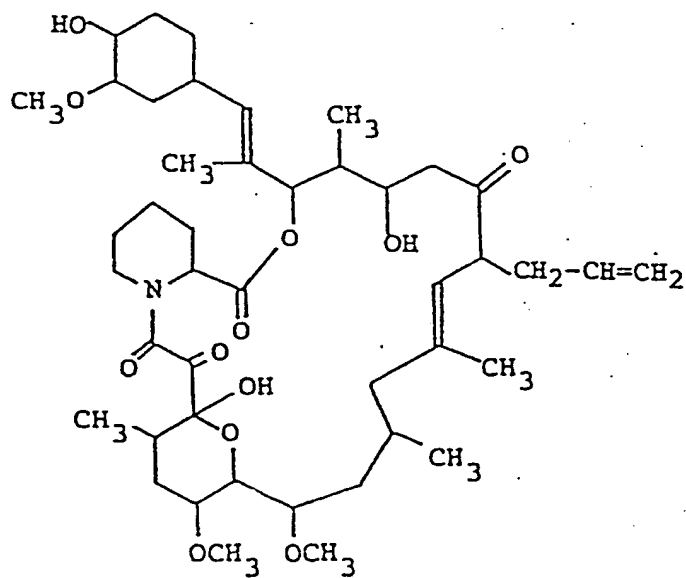
- R<sup>1</sup> is hydroxy or conventionally protected hydroxy,
- R<sup>2</sup> is hydrogen, hydroxy or conventionally protected hydroxy,
- R<sup>3</sup> is methyl, ethyl, propyl or allyl,
- n is an integer of 1 or 2, and  
the symbol of a line and dotted line is a single bond or a double bond,  
provided that when R<sup>1</sup> and R<sup>2</sup> are each hydroxy, n is an integer of 2 and the symbol of a line and dotted line is a single bond, then R<sup>3</sup> is methyl, propyl or allyl,
- and salt thereof, which comprises
- (a) culturing *Streptomyces tsukubaensis* in an aqueous nutrient medium containing sources of assimilable carbon and nitrogen,  
and recovering the FR-900506 and/or FR-900525 substance(s) by conventional means to give the FR-900506 substance of the formula;

5

10

15

20



and/or the FR-900525 substance of the formula:

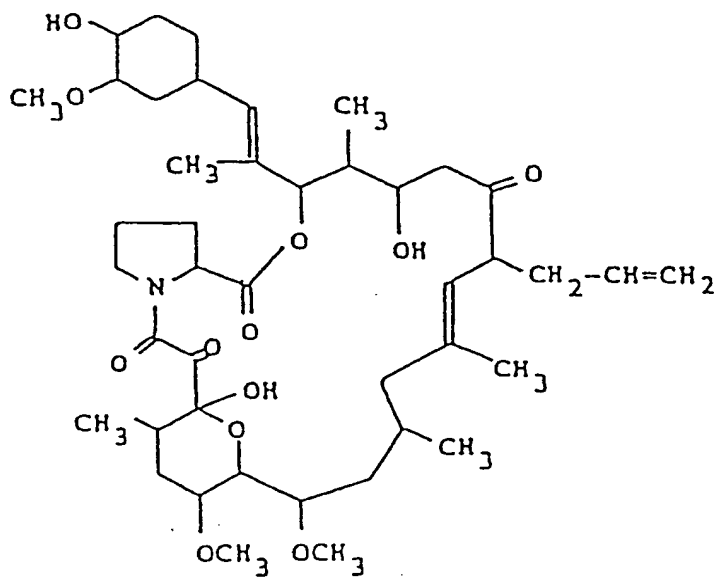
25

30

35

40

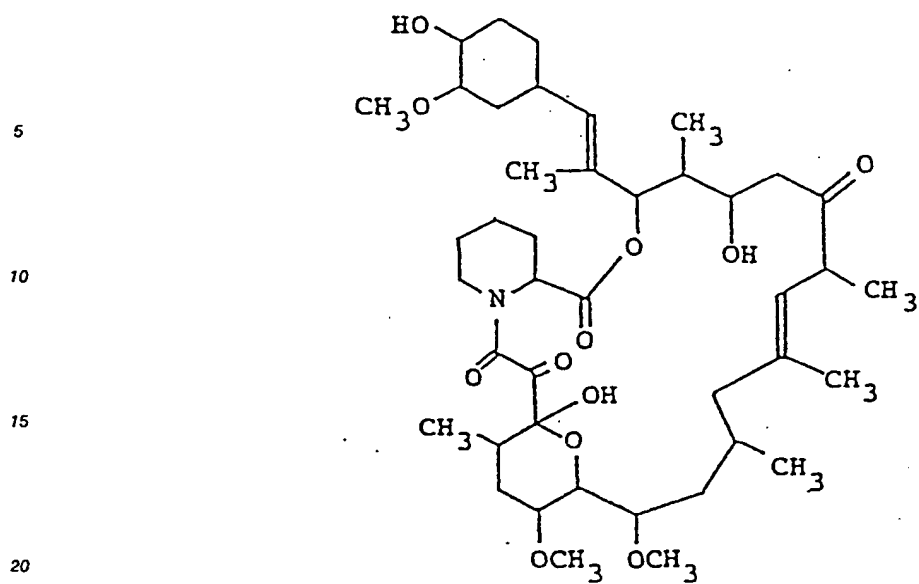
45



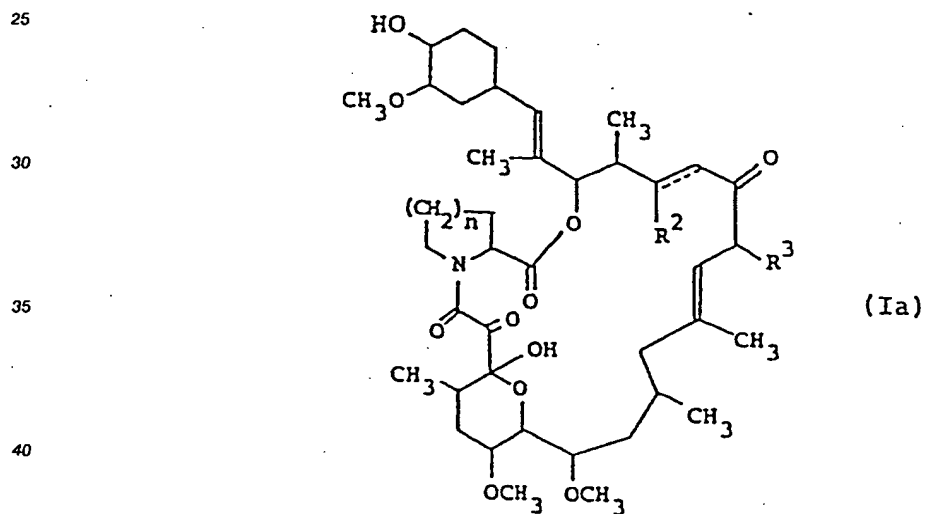
50

(b) culturing Streptomyces hygroscopicus in an aqueous nutrient medium containing sources of assimilable carbon and nitrogen,  
and recovering the FR-900523 substance of the following formula by conventional means,

55

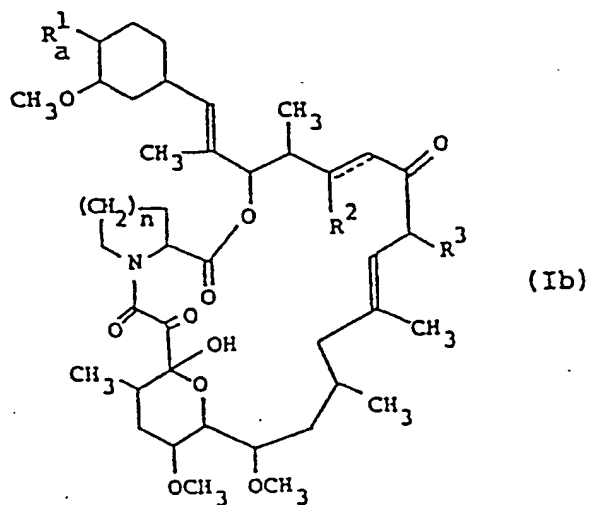


(c) introducing a conventional hydroxy-protective group into a compound of the formula:



wherein

$R^2$ ,  $R^3$ ,  $n$  and the symbol of a line and dotted line are each as defined above,  
to give a compound of the formula:

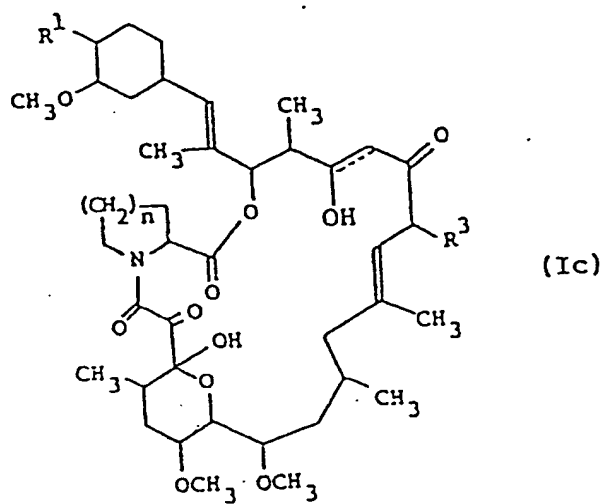


wherein

$R^2$ ,  $R^3$ ,  $n$  and the symbol of a line and dotted line are each as defined above, and  $R_a^1$  is conventionally protected hydroxy,

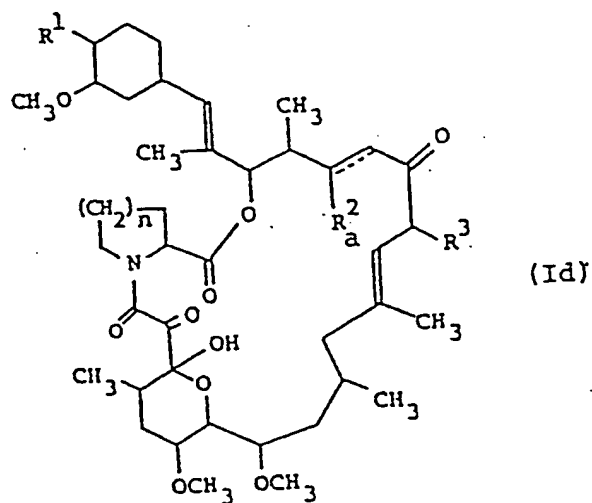
or a salt thereof in a manner known per se;

(d) introducing a conventional hydroxy-protective group into a compound of the formula:



wherein

$R^1$ ,  $R^3$ ,  $n$  and the symbol of a line and the dotted line are each as defined above, or a salt thereof, to give a compound of the formula:

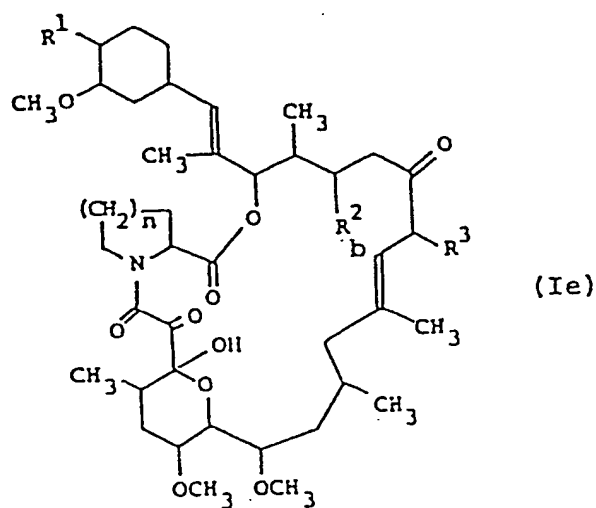


20 wherein

$R^1$ ,  $R^3$ ,  $n$  and the symbol of a line and dotted line are each as defined above, and  $R_a^2$  is conventionally protected hydroxy,

or a salt thereof in a manner known per se;

25 (e) reacting a compound of the formula:

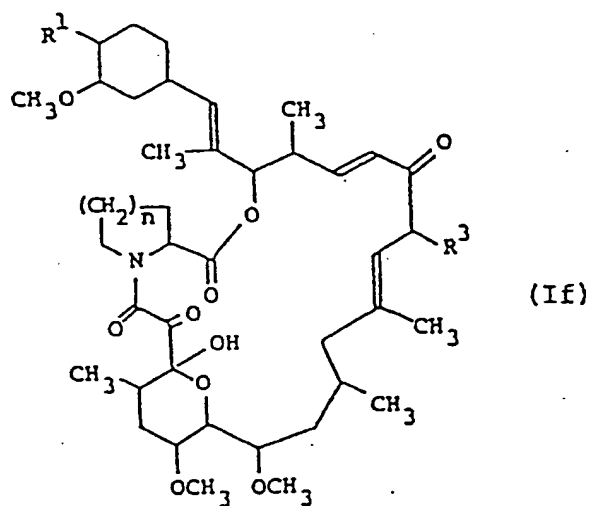


wherein

$R^1$ ,  $R^3$  and  $n$  are each as defined above,

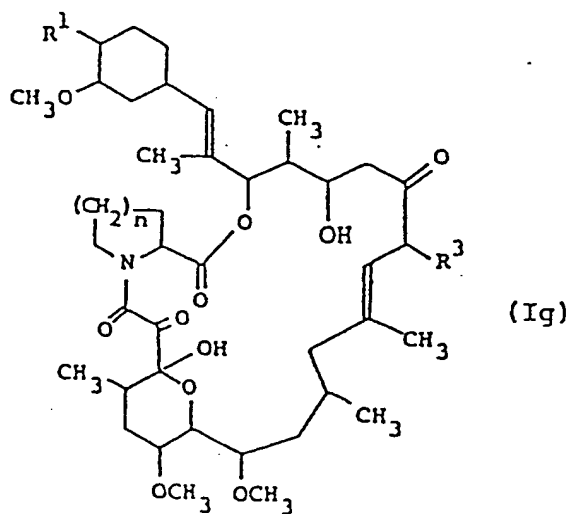
and  $R_b^2$  is a leaving group,

50 or a salt thereof with a base, to give a compound of the formula:



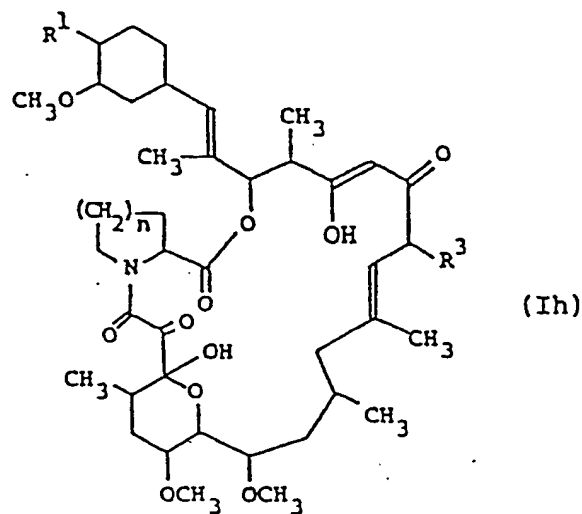
20 wherein

$R^1$ ,  $R^3$  and  $n$  are each as defined above,  
or a salt thereof in a manner known per se;  
(f) oxidizing a compound of the formula:



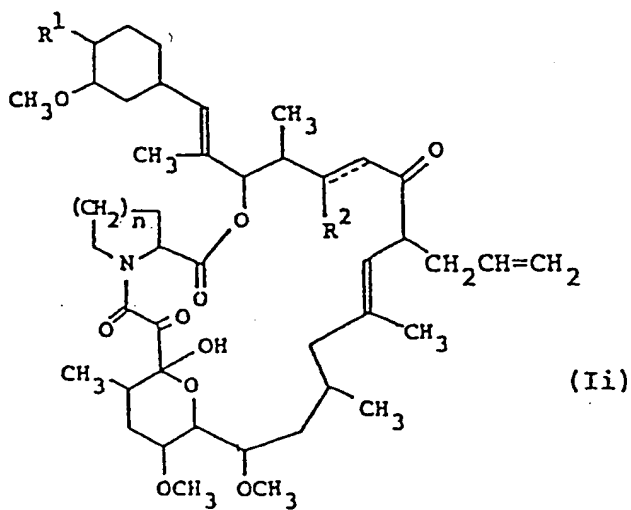
45 wherein

$R^1$ ,  $R^3$  and  $n$  are each as defined above,  
or a salt thereof, to give a compound of the formula:



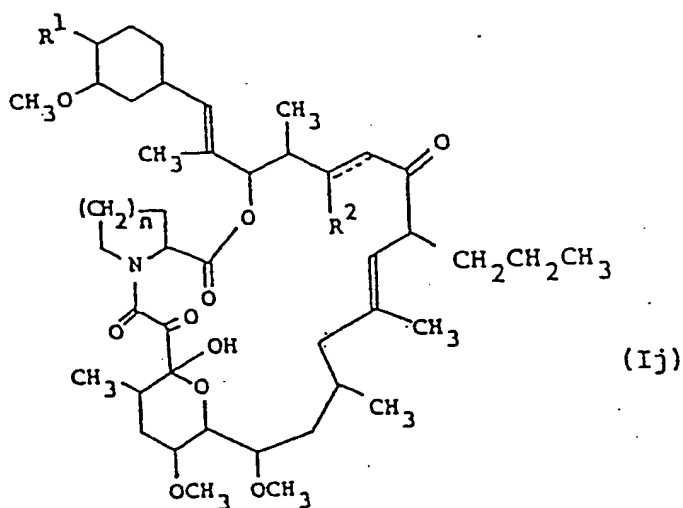
wherein

R<sup>1</sup>, R<sup>3</sup> and n are each as defined above,  
or a salt thereof in a manner known per se; and  
25 (g) reducing a compound of the formula:



wherein

R<sup>1</sup>, R<sup>2</sup>, n and the symbol of a line and dotted line are each as defined above,  
50 or a salt thereof, to give a compound of the formula:

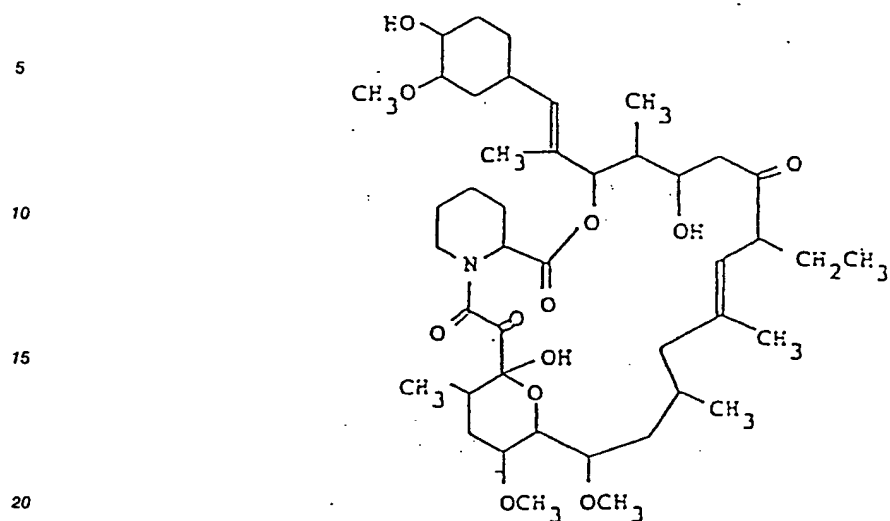


wherein

$R^1$ ,  $R^2$ ,  $n$  and the symbol of a line and dotted line are each as defined above,  
or a salt thereof in a manner known per se.

14. A pharmaceutical composition containing tricyclo compounds of claim 1, as active ingredients, in association with a pharmaceutically acceptable, substantially non-toxic carrier or excipient.
15. Use of tricyclo compounds of claim 1 and claim 20 for manufacture of medicament for treating or preventing resistance by transplantation graft-versus-host diseases by medulla ossium transplantation and autoimmune diseases.
16. Use of tricyclo compounds of claim 1 for the manufacture of a medicament.
17. Use of tricyclo compounds of claim 1 for the manufacture of an immunosuppressant or antimicrobial agent.
18. A biologically pure culture of the microorganism Streptomyces tsukubaensis No. 9993.
19. A biologically pure culture of the microorganism Streptomyces hygroscopicus subsp. yakushimaensis No. 7238.

20. A process for production of the FR-900520 of the formula:

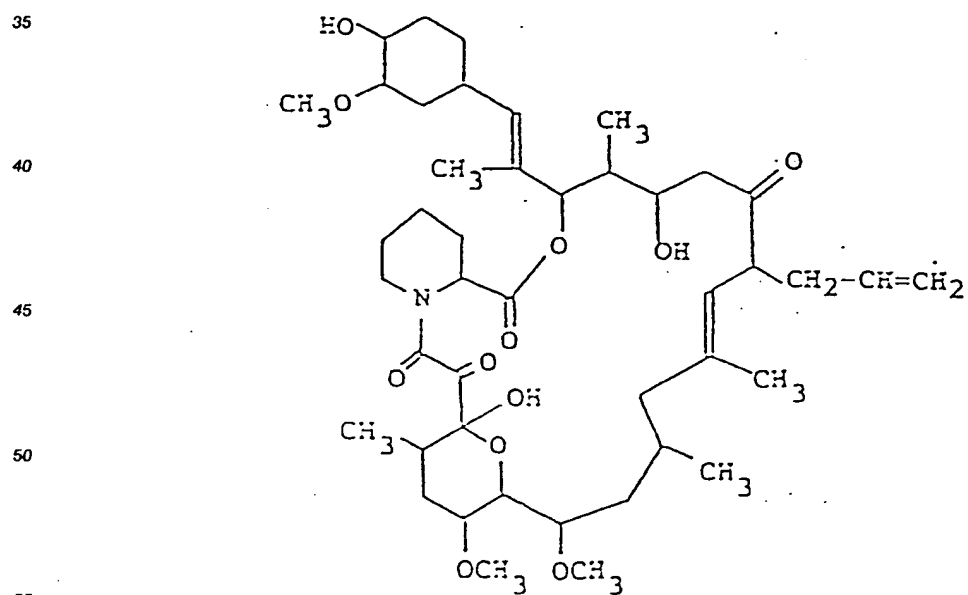


25 , which comprises culturing *Streptomyces tsukubaensis* or *Streptomyces hygroscopicus* subsp. *yakushimaensis* No. 7238 in an aqueous nutrient medium containing sources of assimilable carbon and nitrogen, to give the FR-900520 substance.

21. A tricyclo compound of claim 1 for use as a medicament.

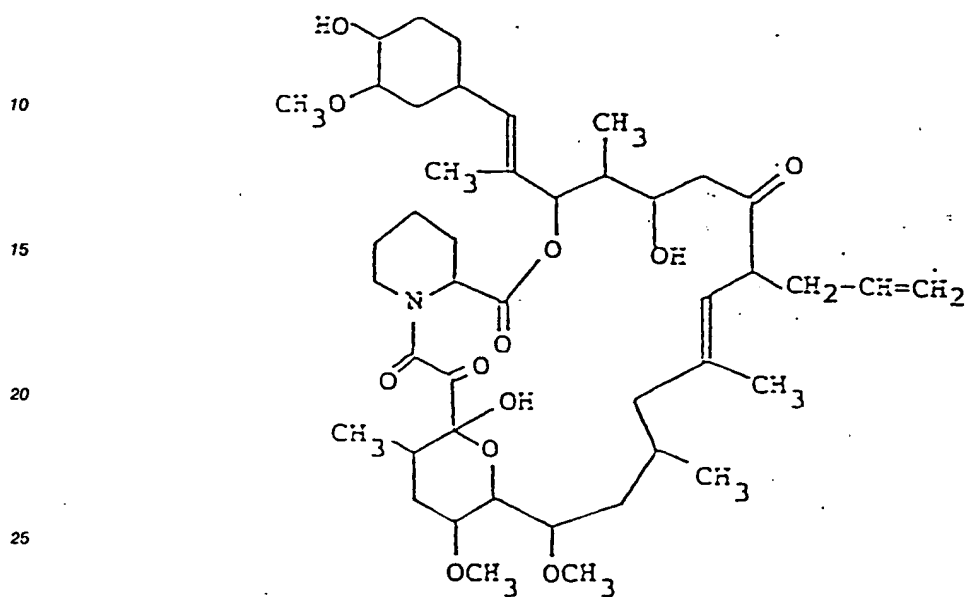
30 22. A tricyclo compound of claim 1 for use as an immunosuppressive agent.

23. A tricyclo compound of claim 1 for use as an immunosuppressive agent, as claimed in claim 22, in which the tricyclo compound is the FR-900506 substance of the following formula.

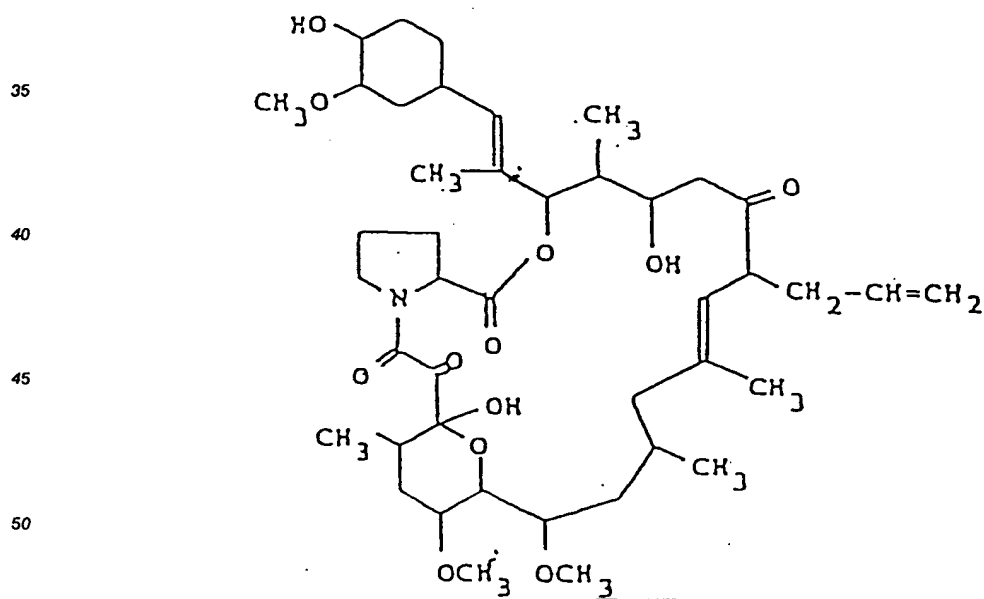


24. A process as claimed in claim 13 and claim 20 wherein the culturing is carried out under aerobic conditions.

25. Use of the microorganism streptomyces tsukubaensis No. 9993 for the production of the FR-900506  
5 substance of the formula:



30 the FR-900525 substance of the formula:



55 and/or the FR-900520 substance of the formula:

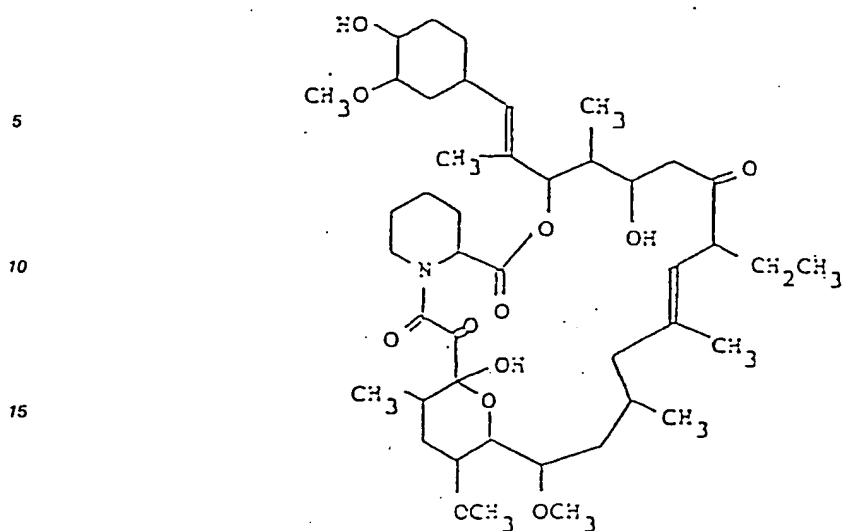


25



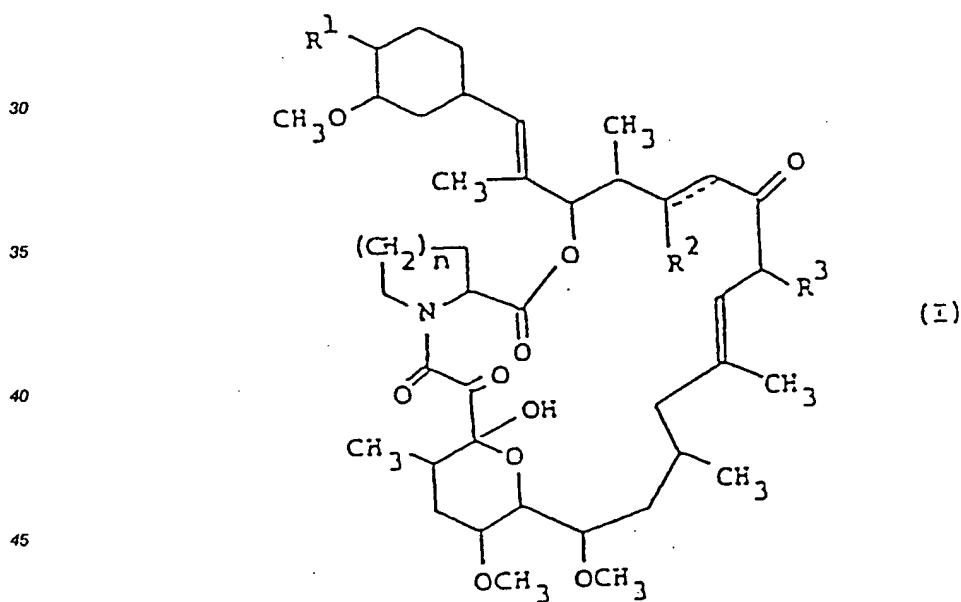
50

55



# Patentansprüche

## 25 1. Verbindung der Formel



50 worin bedeuten:

R<sup>1</sup> Hydroxy oder in konventioneller Weise geschütztes Hydroxy,

R<sup>2</sup> Wasserstoff, Hydroxy oder in konventioneller Weise geschütztes Hydroxy,

R<sup>3</sup> Methyl, Ethyl, Propyl oder Allyl,

n die ganze Zahl 1 oder 2 und

55 das Symbol aus einer Linie und einer gestrichelten Linie eine Einfachbindung oder eine Doppelbindung,

mit der Maßgabe, daß dann, wenn R<sup>1</sup> und R<sup>2</sup> jeweils für Hydroxy stehen, n für die ganze Zahl 2 steht und das Symbol aus einer Linie und einer gestrichelten Linie für eine Einfachbindung

steht, R<sup>3</sup> Methyl, Propyl oder Allyl darstellt,  
und ein Salz derselben.

2. Verbindung nach Anspruch 1, die durch die folgende Formel dargestellt werden kann

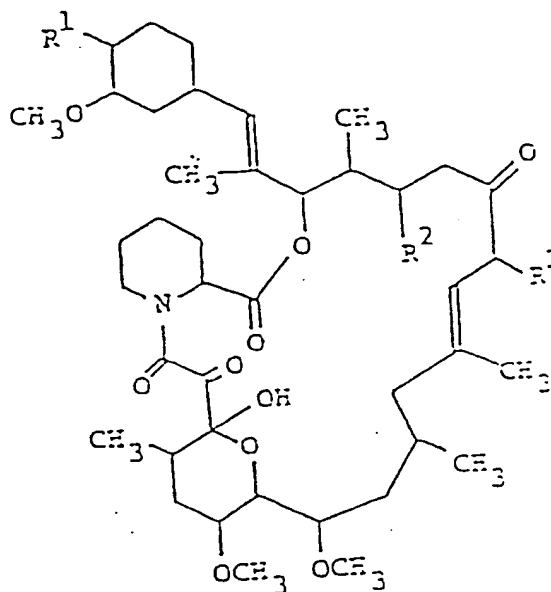
5

10

15

20

25



30

worin bedeuten:

- R<sup>1</sup> Hydroxy oder in konventioneller Weise geschütztes Hydroxy,  
R<sup>2</sup> Hydroxy oder in konventioneller Weise geschütztes Hydroxy und  
R<sup>3</sup> Methyl, Propyl oder Allyl.

35

3. Verbindung nach Anspruch 2, worin R<sup>3</sup> für Allyl steht.

4. Verbindung nach Anspruch 3, worin R<sup>1</sup> für Hydroxy, 1-(C<sub>1</sub>-C<sub>6</sub>-Alkylthio)(C<sub>1</sub>-C<sub>6</sub>)alkoxy, Tri(C<sub>1</sub>-C<sub>6</sub>)-alkylsilyloxy, C<sub>1</sub>-C<sub>6</sub>-Alkyl-diphenylsilyloxy oder Acyloxy steht.

40

5. Verbindung nach Anspruch 4, worin stehen:

R<sup>1</sup> für Hydroxy; C<sub>1</sub>-C<sub>6</sub>-Alkylthiomethoxy; Tri(C<sub>1</sub>-C<sub>6</sub>)alkylsilyloxy; C<sub>1</sub>-C<sub>6</sub>-Alkyl-diphenylsilyloxy; C<sub>1</sub>-C<sub>6</sub>-Alkanoyloxy, das Carboxy aufweisen kann; Cyclo(C<sub>3</sub>-C<sub>6</sub>)alkoxy(C<sub>1</sub>-C<sub>6</sub>)alkanoyloxy, das zwei C<sub>1</sub>-C<sub>6</sub>-Alkylgruppen an dem Cycloalkylrest aufweisen kann; Campfersulfonyloxy; Aroyloxy, das ein oder zwei Nitro aufweisen kann, wobei der Aroylrest ausgewählt wird aus der Gruppe, die besteht aus Benzoyl, Toluoyl, Xyloyl und Naphthoyl; Arensulfonyloxy, das Halogen aufweisen kann, wobei der Arenrest ausgewählt wird aus der Gruppe, die besteht aus Benzol, Toluol, Xylol und Naphthalin; oder Phenyl(C<sub>1</sub>-C<sub>4</sub>)alkanoyloxy, das C<sub>1</sub>-C<sub>6</sub>Alkoxy und Trihalogen(C<sub>1</sub>-C<sub>6</sub>)alkyl aufweisen kann, und

45

R<sup>2</sup> für Hydroxy oder C<sub>1</sub>-C<sub>6</sub>-Alkanoyloxy.

50

6. Verbindung nach Anspruch 5, bei der es sich handelt um

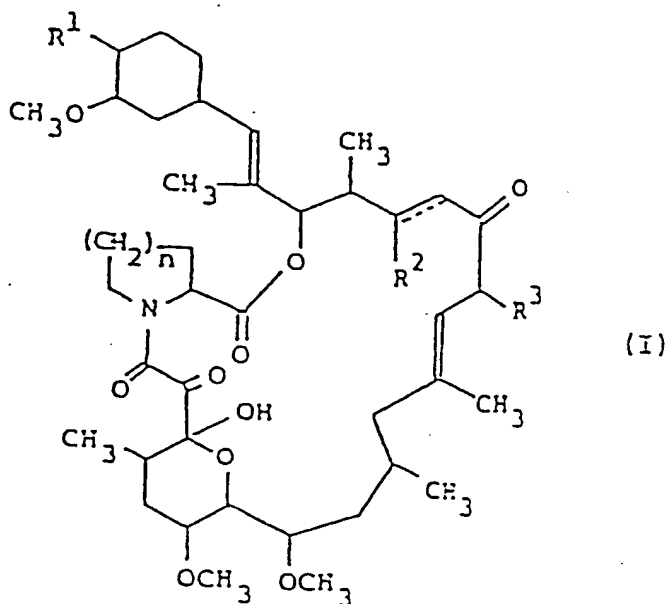
17-Allyl-1,14-dihydroxy-12-[2-(4-hydroxy-3-methoxycyclohexyl)-1-methylvinyl]-23,25-dimethoxy-13,19,21,27-tetramethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]octacos-18-en -2,3,10,16-tetraon.

55

7. Verbindung nach Anspruch 5, worin stehen:

R<sup>1</sup> für C<sub>1</sub>-C<sub>6</sub>-Alkanoyloxy und  
R<sup>2</sup> für Hydroxy oder C<sub>1</sub>-C<sub>6</sub>-Alkanoyloxy.

8. Verbindung nach Anspruch 7, bei der es sich handelt um  
12-[2-(4-Acetoxy-3-methoxycyclohexyl)-1-methylvinyl]-17-allyl-1,14-dihydroxy-23,25-dimethoxy-  
13,19,21,27-tetramethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,8</sup>]octacos-18-en -2,3,10,16-tetraon .
9. Verbindung nach Anspruch 7, bei der es sich handelt um  
14-Acetoxy-12-[2-(4-acetoxy-3-methoxycyclohexyl)-1-methylvinyl]-17-allyl-1-hydroxy-23,25-  
dimethoxy-13,19,21,27-tetramethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,8</sup>]octacos-18-en -2,3,10,16-tetraon
10. Verbindung nach Anspruch 2, bei der es sich handelt um  
1,14-Dihydroxy-12-[2-(4-hydroxy-3-methoxycyclohexyl)-1-methylvinyl]-23,25-dimethoxy-  
13,19,17,21,27-pentamethyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,8</sup>]octacos-18-en -2,3,10,16-tetraon .
11. Verbindung nach Anspruch 1, worin stehen:
- R<sup>1</sup> für Hydroxy, C<sub>1</sub>-C<sub>6</sub>-Alkylthiomethoxy, C<sub>1</sub>-C<sub>6</sub>-Alkanoyloxy oder Arensulfonyloxy, das Halogen  
aufweisen kann, wobei der Arenrest ausgewählt wird aus der Gruppe, die besteht aus Benzol,  
Toluol, Xylol und Naphthalin,  
R<sup>2</sup> für Wasserstoff oder Hydroxy,  
n für die ganze Zahl 2 und  
das Symbol aus einer Linie und einer gestrichelten Linie für eine Doppelbindung.
12. Verbindung nach Anspruch 1, bei der es sich handelt um  
16-Allyl-1,13-dihydroxy-11-[2-(4-hydroxy-3-methoxycyclohexyl)-1-methylvinyl]-22,24-dimethoxy-  
12,18,20,26-tetramethyl-10,27-dioxa-4-azatricyclo[21.3.1.0<sup>4,8</sup>]heptacos-17-en -2,3,9,15-tetraon .
13. Verfahren zur Herstellung der Verbindung der Formel

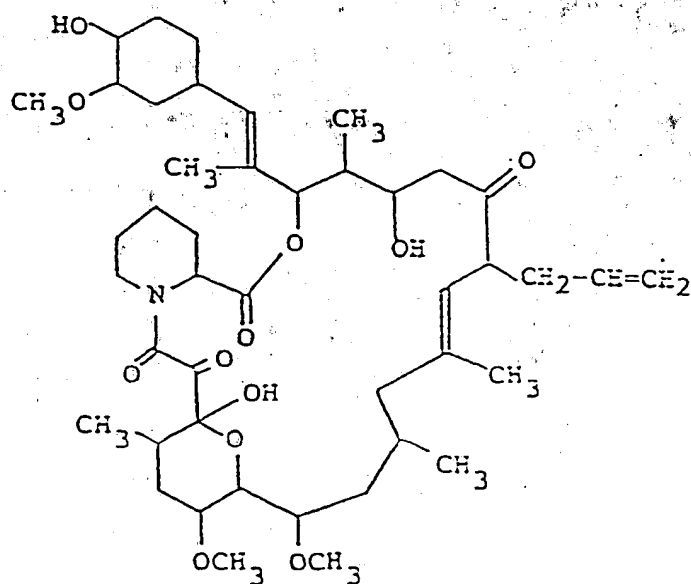


worin bedeuten:

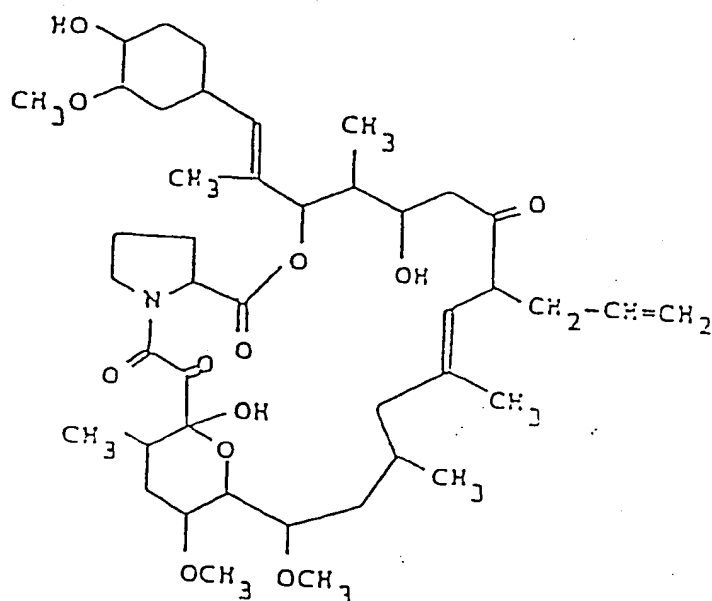
- R<sup>1</sup> Hydroxy oder in konventioneller Weise geschütztes Hydroxy,  
R<sup>2</sup> Wasserstoff, Hydroxy oder in konventioneller Weise geschütztes Hydroxy,  
R<sup>3</sup> Methyl, Ethyl, Propyl oder Allyl,  
n die ganze Zahl 1 oder 2 und  
das Symbol aus einer Linie und einer gestrichelten Linie eine Einfachbindung oder eine  
Doppelbindung,  
mit der Maßgabe, daß dann, wenn R<sup>1</sup> und R<sup>2</sup> jeweils für Hydroxy stehen, n für die ganze Zahl

2 steht und das Symbol aus einer Linie und einer gestrichelten Linie für eine Einfachbindung steht, R<sup>3</sup> Methyl, Propyl oder Allyl darstellt, und eines Salzes derselben, das umfaßt

a) das Kultivieren von *Streptomyces tsukubaensis* in einem wäßrigen Nährmedium, das Quellen für assimilierbaren Kohlenstoff und Stickstoff enthält, und das Gewinnen (Abtrennen) der FR-900506- und/oder FR-900525-Substanz(en) auf konventionelle Weise unter Bildung der FR-900506-Substanz der Formel

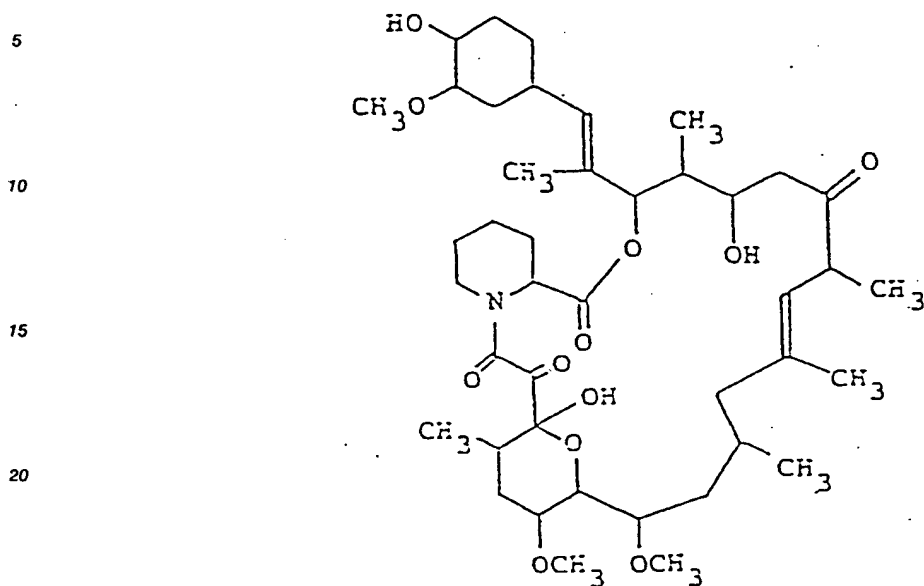


und/oder der FR-900525-Substanz der Formel

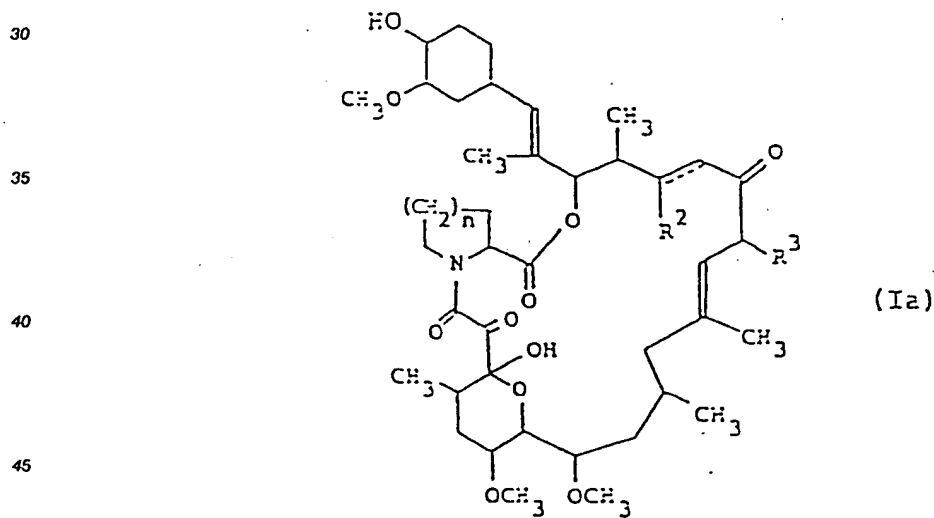


b) das Kultivieren von *Streptomyces hygroscopicus* in einem wäßrigen Nährmedium, das Quellen für assimilierbaren Kohlenstoff und Stickstoff enthält,

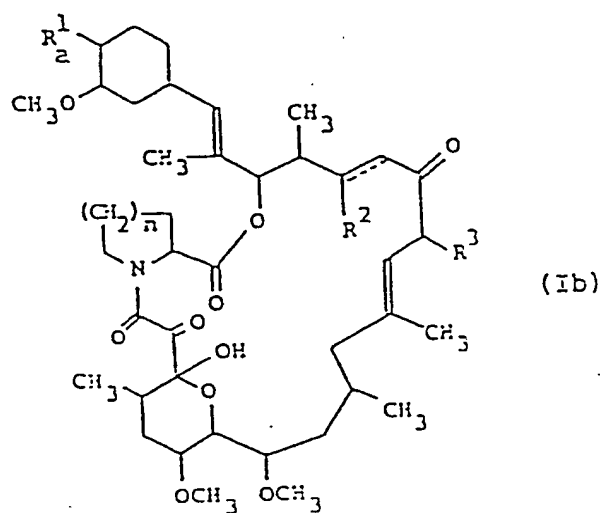
und das Gewinn (Abtrennen) der FR-900523-Substanz der nachstehend angegebenen Formel auf konventionelle Weise



c) das Einführen einer konventionellen Hydroxyschutzgruppe in eine Verbindung der Formel

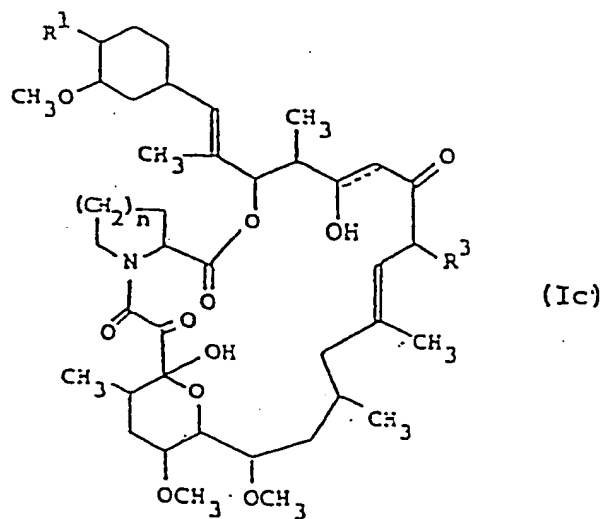


50 worin  $R^2$ ,  $R^3$ ,  $n$  und das Symbol aus einer Linie und einer gestrichelten Linie jeweils wie oben definiert sind,  
unter Bildung einer Verbindung der Formel

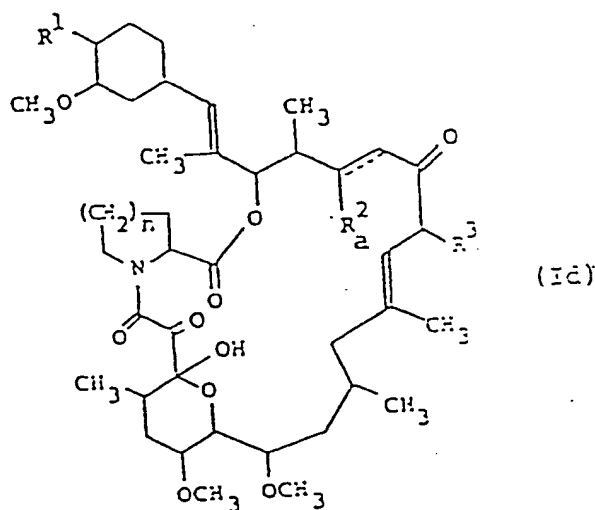


worin  $R^2$ ,  $R^3$ ,  $n$  und das Symbol aus einer Linie und einer gestrichelten Linie jeweils wie oben definiert sind, und worin  $R^1$  für in konventioneller Weise geschütztes Hydroxy steht, oder eines Salzes derselben auf an sich bekannte Weise;

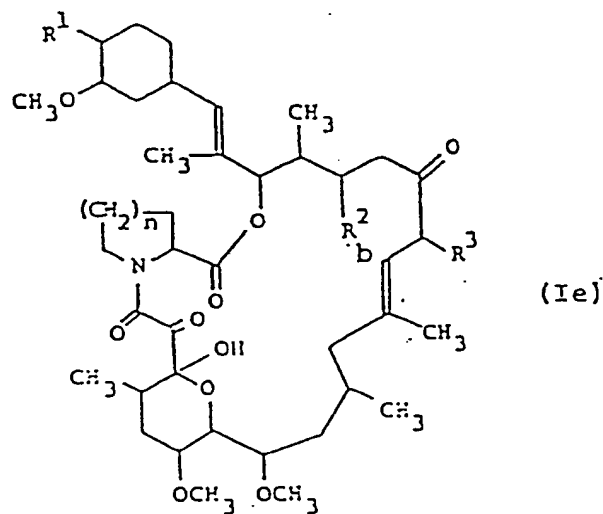
d) die Einführung einer konventionellen Hydroxyschutzgruppe in eine Verbindung der Formel



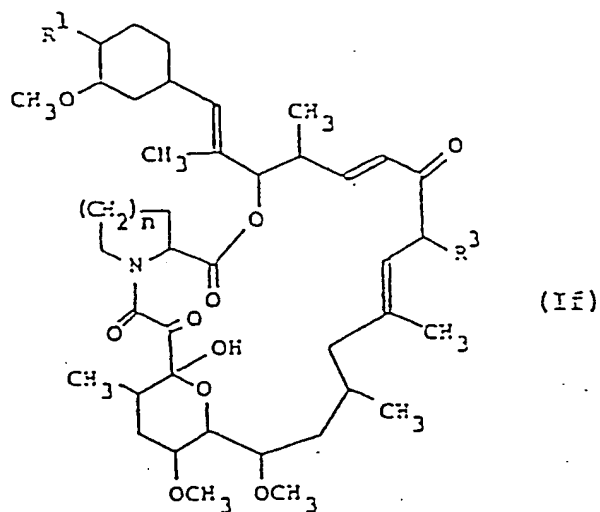
worin  $R^1$ ,  $R^3$ ,  $n$  und das Symbol aus einer Linie und einer gestrichelten Linie jeweils wie oben definiert sind, oder eines Salzes derselben unter Bildung einer Verbindung der Formel



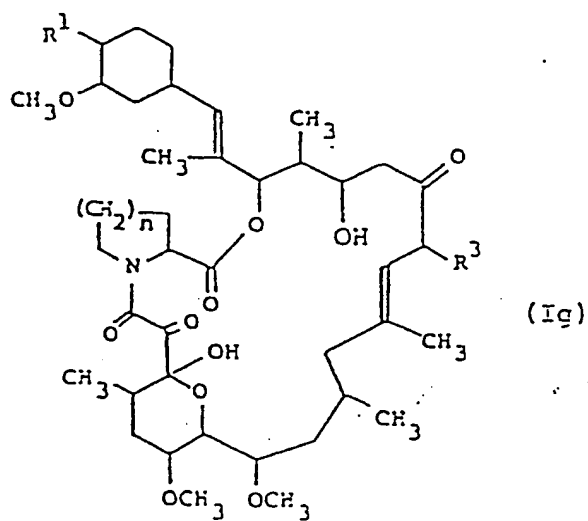
worin  $R^1$ ,  $R^3$ ,  $n$  und das Symbol aus einer Linie und einer gestrichelten Linie jeweils wie oben definiert sind, und worin  $R^2_a$  für in konventioneller Weise geschütztes Hydroxy steht, oder eines Salzes derselben auf an sich bekannte Weise;  
e) die Umsetzung einer Verbindung der Formel



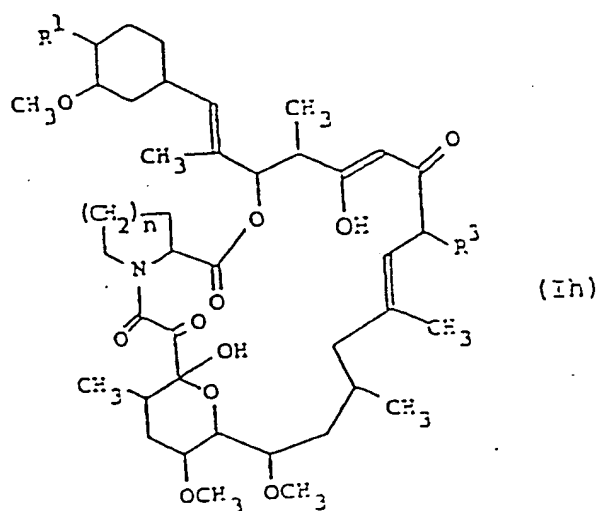
worin  $R^1$ ,  $R^3$  und  $n$  jeweils wie oben definiert sind,  
und worin  $R^2_b$  für eine austretende Gruppe steht, oder eines Salzes derselben mit einer Base unter Bildung einer Verbindung der Formel



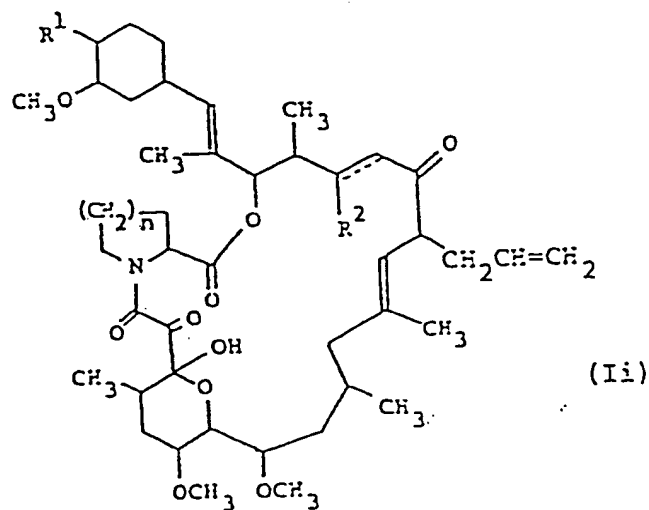
worin  $R^1$ ,  $R^3$  und  $n$  jeweils wie oben definiert sind,  
 oder eines Salzes derselben auf an sich bekannte Weise;  
 f) das Oxidieren einer Verbindung der Formel



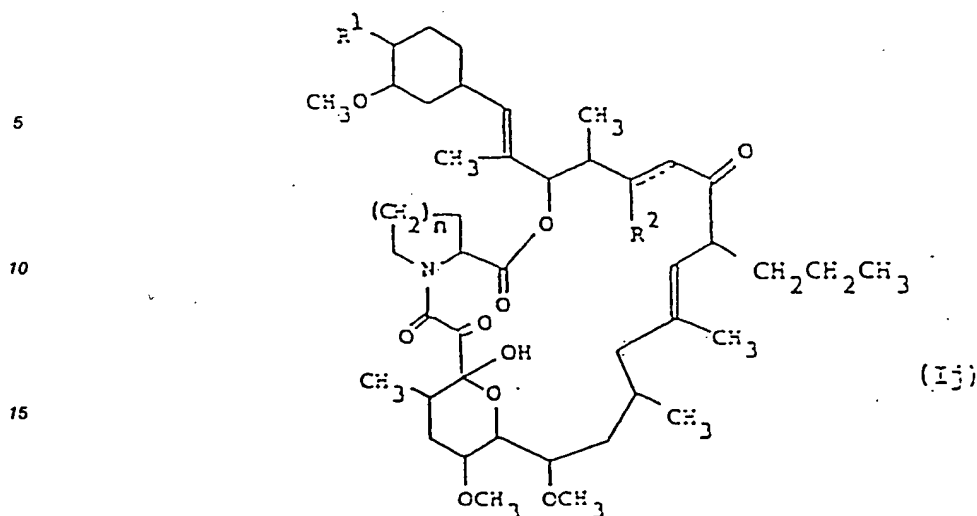
worin  $R^1$ ,  $R^3$  und  $n$  jeweils wie oben definiert sind,  
 oder eines Salzes derselben unter Bildung einer Verbindung der Formel



worin  $R^1$ ,  $R^3$  und  $n$  jeweils wie oben definiert sind,  
oder eines Salzes derselben auf an sich bekannte Weise; und  
g) das Reduzieren einer Verbindung der Formel



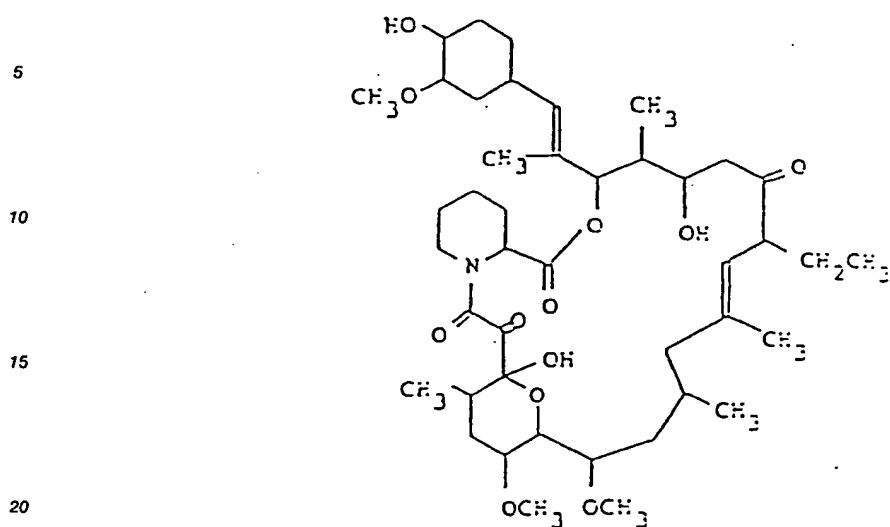
worin  $R^1$ ,  $R^2$ ,  $n$  und das Symbol aus einer Linie und einer gestrichelten Linie jeweils wie oben  
definiert sind,  
oder eines Salzes derselben unter Bildung einer Verbindung der Formel



worin R<sup>1</sup>, R<sup>2</sup>, n und das Symbol aus einer Linie und einer gestrichelten Linie jeweils wie oben definiert sind,  
oder eines Salzes derselben auf an sich bekannte Weise.

- 25 14. Pharmazeutische Zusammensetzung, die als aktive Bestandteile (Wirkstoffe) Tricyclo-Verbindungen nach Anspruch 1 in Assoziation mit einem pharmazeutisch akzeptablen, im wesentlichen nicht-toxischen Träger oder Exzipienten enthält.
- 30 15. Verwendung der Tricyclo-Verbindungen nach Anspruch 1 und nach Anspruch 20 zur Herstellung eines Arzneimittels für die Behandlung oder Verhinderung der Resistenz durch Transplantation, der Transplantat-gegen-Wirt-Erkrankungen durch Knochenmarks-Transplantation und von Autoimmunerkrankungen.
- 35 16. Verwendung der Tricyclo-Verbindungen nach Anspruch 1 zur Herstellung eines Arzneimittels.
17. Verwendung der Tricyclo-Verbindungen nach Anspruch 1 zur Herstellung eines Immununterdrückungsmittels oder eines antimikrobiellen Agens.
- 40 18. Biologisch reine Kultur des Mikroorganismus *Streptomyces tsukubaensis* Nr. 9993.
19. Biologisch reine Kultur des Mikroorganismus *Streptomyces hygrosopicus* subsp. *yakushimaensis* Nr. 7238.

## 20. Verfahren zur Herstellung der FR-900520-Substanz der Formel

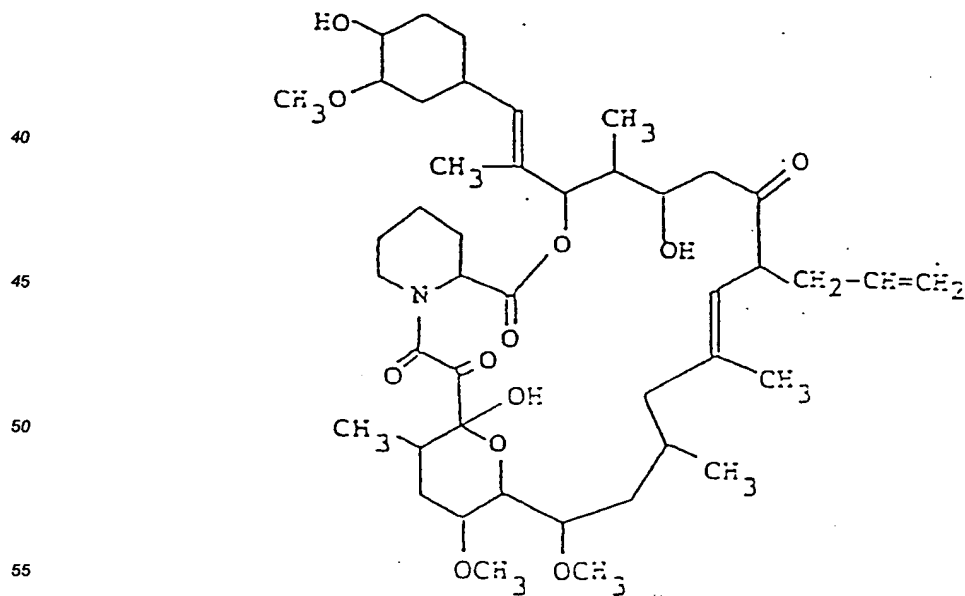


25 das umfaßt das Kultivieren von *Streptomyces tsukubaensis* oder *Streptomyces hygroscopicus* subsp. *yakushimaensis* Nr. 7238 in einem wäßrigen Nährmedium, das Quellen für assimilierbaren Kohlenstoff und Stickstoff enthält, zur Bildung der FR-900520-Substanz.

21. Tricyclo-Verbindung nach Anspruch 1 für die Verwendung als Arzneimittel.

30 22. Tricyclo-Verbindung nach Anspruch 1 für die Verwendung als Immununterdrückungsmittel.

23. Tricyclo-Verbindung nach Anspruch 1 für die Verwendung als Immununterdrückungsmittel nach Anspruch 22, wobei es sich bei der Tricyclo-Verbindung um die FR-900506-Substanz der folgenden Formel handelt



24. Verfahren nach Anspruch 13 und nach Anspruch 20, wobei das Kultivieren unter aeroben Bedingungen durchgeführt wird.

25. Verwendung des Mikroorganismus *Streptomyces tsukubaensis* Nr. 9993 zur Herstellung der FR-900506-Substanz der Formel

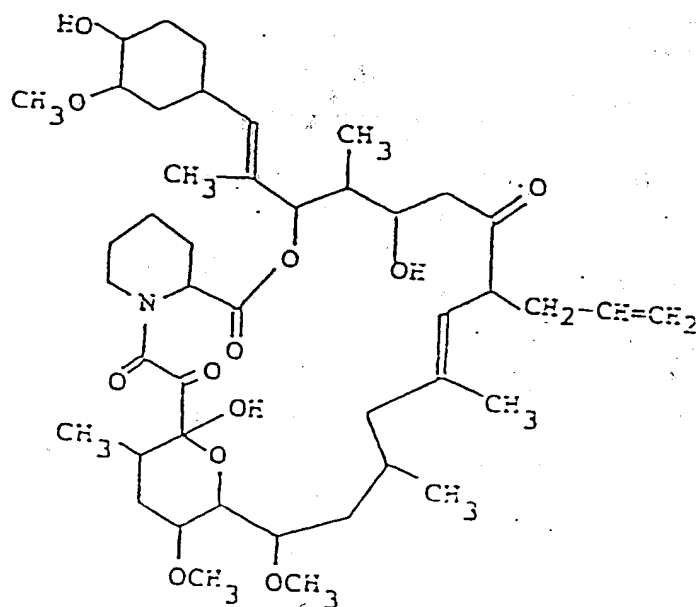
5

10

15

20

25



30

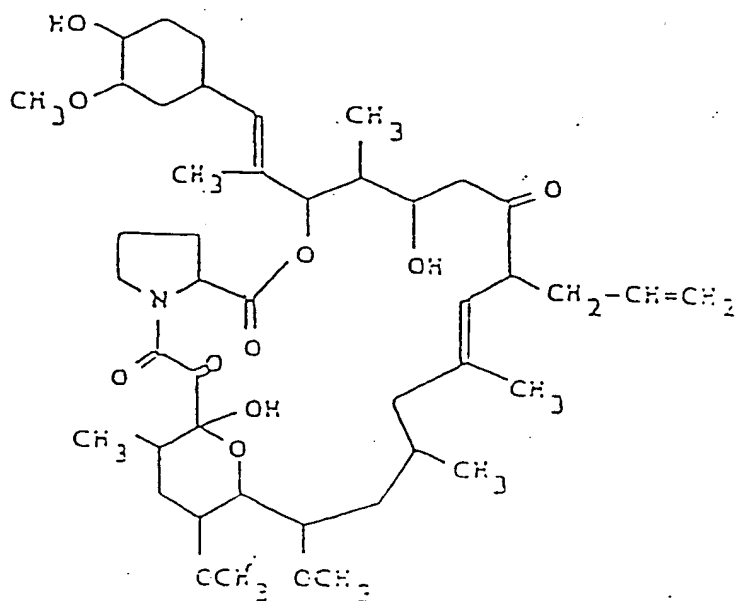
der FR-900525-Substanz der Formel

35

40

45

50



55

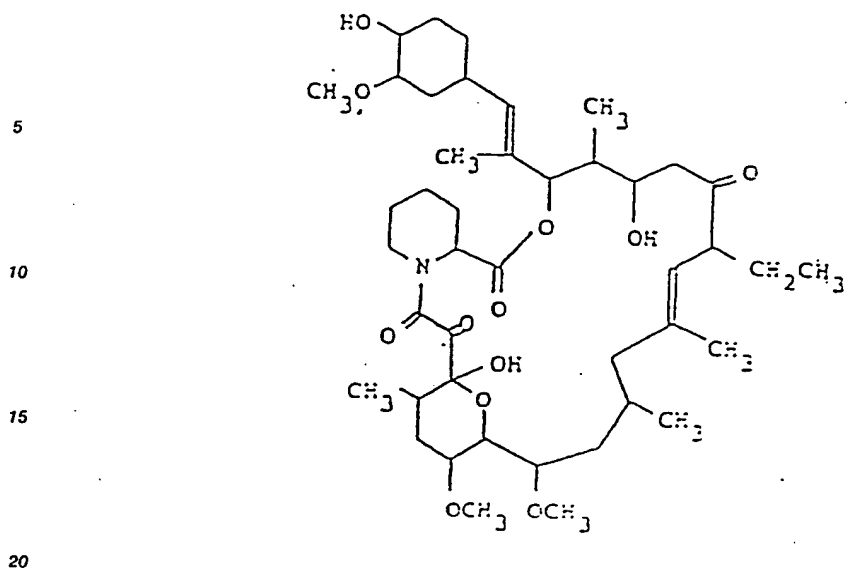
und/oder der FR-900520-Substanz der Formel



25

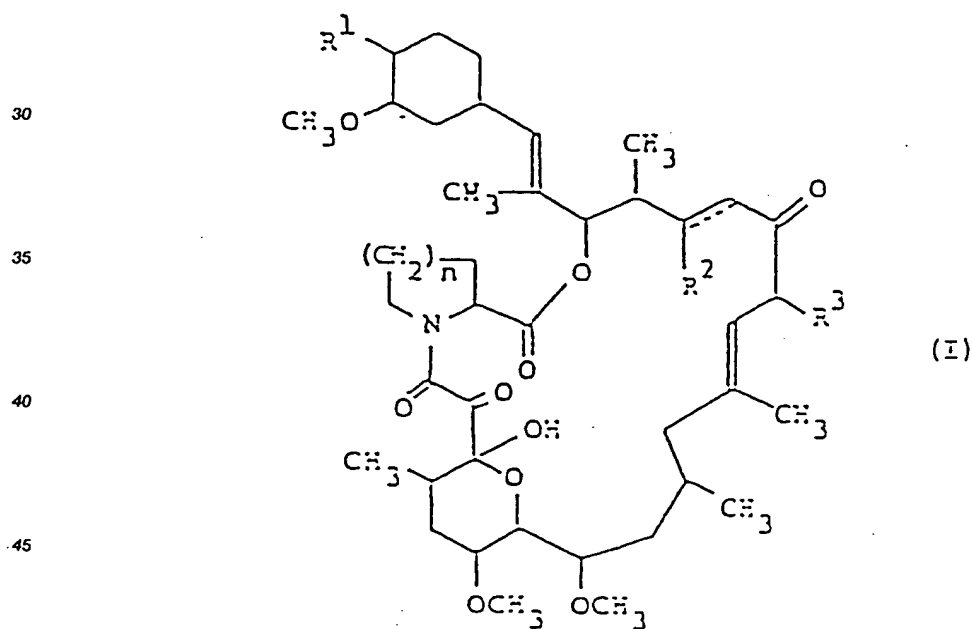


50



# Revendications

25 1. Composé de la formule :



50 dans laquelle

R<sup>1</sup> est un groupe hydroxy ou un groupe hydroxy normalement protégé,

R<sup>2</sup> est un atome d'hydrogène, un groupe hydroxy ou un groupe hydroxy normalement protégé,

R<sup>3</sup> est un groupe méthyle, éthyle, propyle ou allyle,

55 n est le nombre entier 1 ou 2, et

le symbole d'une ligne et d'une ligne en tirets est une liaison simple ou une liaison double, à condition que, lorsque R<sup>1</sup> et R<sup>2</sup> sont chacun un groupe hydroxy, n est le nombre entier 2 et le symbole d'une ligne et d'une ligne en tirets est une liaison simple, R<sup>3</sup> soit alors un groupe

méthyle, propyle ou allyle,  
et un de ses sels.

2. Composé selon la revendication 1, qui peut être représenté par la formule suivante :

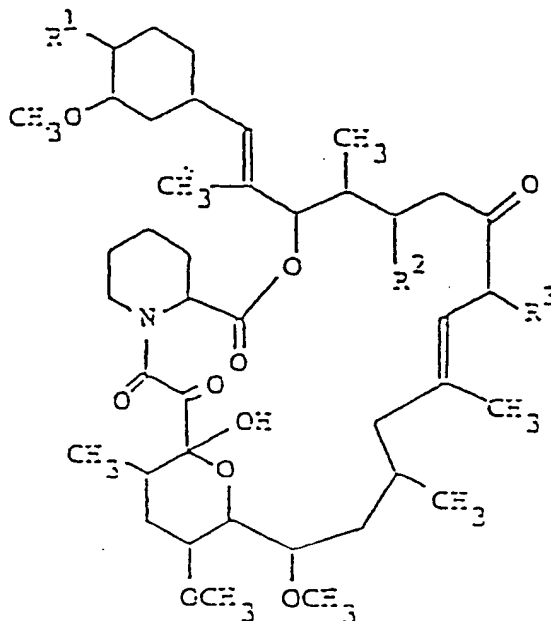
5

10

15

20

25



30

dans laquelle

- R<sup>1</sup> est un groupe hydroxy ou un groupe hydroxy normalement protégé,  
R<sup>2</sup> est un groupe hydroxy ou un groupe hydroxy normalement protégé, et  
R<sup>3</sup> est un groupe méthyle, propyle ou allyle.

35

3. Composé selon la revendication 2, dans lequel :

R<sup>3</sup> est un groupe allyle.

4. Composé selon la revendication 3, dans lequel :

R<sup>1</sup> est un groupe hydroxy, un groupe 1-(alkylthio en C<sub>1</sub>-C<sub>6</sub>)(alcoxy en C<sub>1</sub>-C<sub>6</sub>), tri(alkyle en C<sub>1</sub>-C<sub>6</sub>)silyloxy, alkyle en C<sub>1</sub>-C<sub>6</sub>-diphénylsilyloxy, ou acyloxy.

40

5. Composé selon la revendication 4, dans lequel :

R<sup>1</sup> est un groupe hydroxy; (alkyle en C<sub>1</sub>-C<sub>6</sub>)thiométhoxy; tri(alkyle en C<sub>1</sub>-C<sub>6</sub>)silyloxy; alkyle en C<sub>1</sub>-C<sub>6</sub>-diphénylsilyloxy; alcanoyloxy en C<sub>1</sub>-C<sub>6</sub> qui peut avoir un groupe carboxy; cyclo(alcoxy en C<sub>3</sub>-C<sub>6</sub>)alcanoyloxy en C<sub>1</sub>-C<sub>6</sub> qui peut avoir deux groupes alkyle en C<sub>1</sub>-C<sub>6</sub> sur la partie cycloalkyle; camphorsulfonyloxy; aroyloxy qui peut avoir un ou deux groupes nitro, dans lequel la partie aroyloxy est choisie dans le groupe constitué des groupes benzoyloxy, toluoyloxy, xyloxy et naphtoyloxy; arènesulfonyloxy qui peut avoir un atome d'halogène, dans lequel la partie arène est choisie dans le groupe constitué des groupes benzène, toluène, xylène et naphtalène; ou phényl(alcanoyloxy en C<sub>1</sub>-C<sub>4</sub>) qui peut avoir un groupe alcoxy en C<sub>1</sub>-C<sub>6</sub> et trihalo(alkyle en C<sub>1</sub>-C<sub>6</sub>), et R<sup>2</sup> est un groupe hydroxy ou alcanoyloxy en C<sub>1</sub>-C<sub>6</sub>.

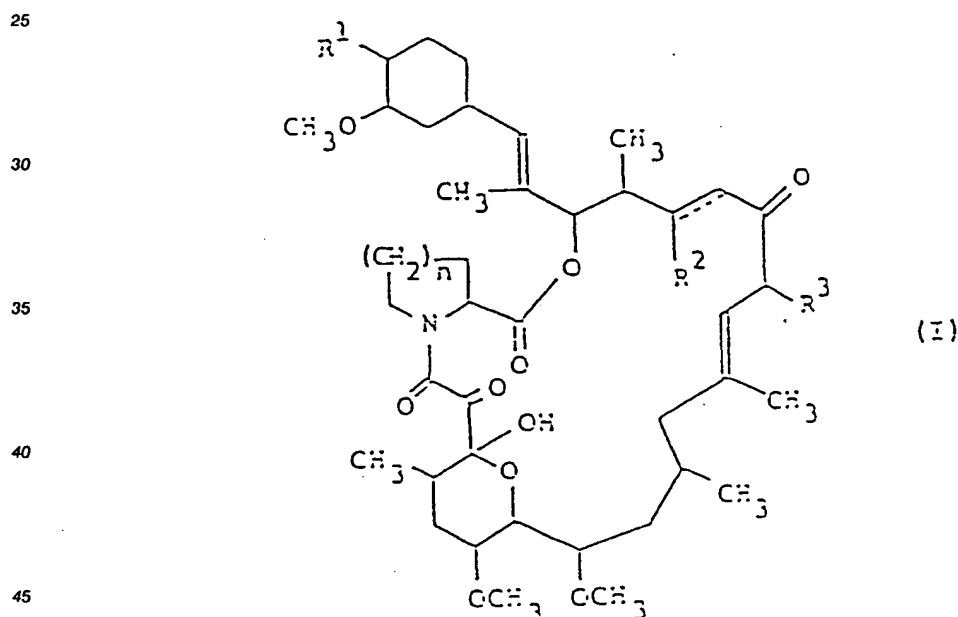
50

6. Composé selon la revendication 5, qui est le 17-allyl-1,14-dihydroxy-12-[2-(4-hydroxy-3-méthoxycyclohexyl)-1-méthylvinyl]-23,25-diméthoxy-13,19,21-27-tétraméthyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]-octacos-18-ène-2,3,10,16-tétraone.

55

7. Composé selon la revendication 5, dans lequel R<sup>1</sup> est un groupe alcanoyloxy en C<sub>1</sub>-C<sub>6</sub> et R<sup>2</sup> est un groupe hydroxy ou alcanoyloxy en C<sub>1</sub>-C<sub>6</sub>.

8. Composé selon la revendication 7, qui est le 12-[2-(4-acétoxy-3-méthoxycyclohexyl)-1-méthylvinyl]-17-allyl-1,14-dihydroxy-23,25-diméthoxy-13,19,21,27-tétraméthyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]-octacos-18-ène-2,3,10,16-tétraone.
9. Composé selon la revendication 7, qui est le 14-acétoxy-12-[2-(4-acétoxy-3-méthoxycyclohexyl)-1-méthylvinyl]-17-allyl-1-hydroxy-23,25-diméthoxy-13,19,21,27-tétraméthyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]-octacos-18-ène-2,3,10,16-tétraone.
10. Composé selon la revendication 2, qui est le 1,14-dihydroxy-12-[2-(4-hydroxy-3-méthoxycyclohexyl)-1-méthylvinyl]-23,25-diméthoxy-13,19,17,21,27-pentaméthyl-11,28-dioxa-4-azatricyclo[22.3.1.0<sup>4,9</sup>]-octacos-18-ène-2,3,10,16-tétraone.
11. Composé selon la revendication 1, dans lequel R<sup>1</sup> est un groupe hydroxy, (alkyle en C<sub>1</sub>-C<sub>6</sub>)-thiométhoxy, alcanoyloxy en C<sub>1</sub>-C<sub>6</sub> ou arènesulfonyloxy qui peut avoir un atome d'halogène, dans lequel la partie arène est choisie dans le groupe constitué des groupes benzène, toluène, xylène et naphthalène, R<sup>2</sup> est un atome d'hydrogène ou un groupe hydroxy, n est le nombre entier 2 et le symbole d'une ligne et d'une ligne en tirets est une liaison double.
12. Composé selon la revendication 1, qui est le 16-allyl-1,13-dihydroxy-11-[2-(4-hydroxy-3-méthoxycyclohexyl)-1-méthylvinyl]-22,24-diméthoxy-12,18,20,26-tétraméthyl-10,27-dioxa-4-azatricyclo[21.3.1.0<sup>4,8</sup>]-heptacos-17-ène-2,3,9,15-tétraone.
13. Procédé pour la préparation du composé de la formule :



dans laquelle R<sup>1</sup> est un groupe hydroxy ou un groupe hydroxy normalement protégé,

R<sup>2</sup> est un atome d'hydrogène, un groupe hydroxy ou un groupe hydroxy normalement protégé,

R<sup>3</sup> est un groupe méthyle, éthyle, propyle ou allyle,

n est le nombre entier 1 ou 2, et

le symbole d'une ligne et d'une ligne en tirets est une liaison simple ou une liaison double,

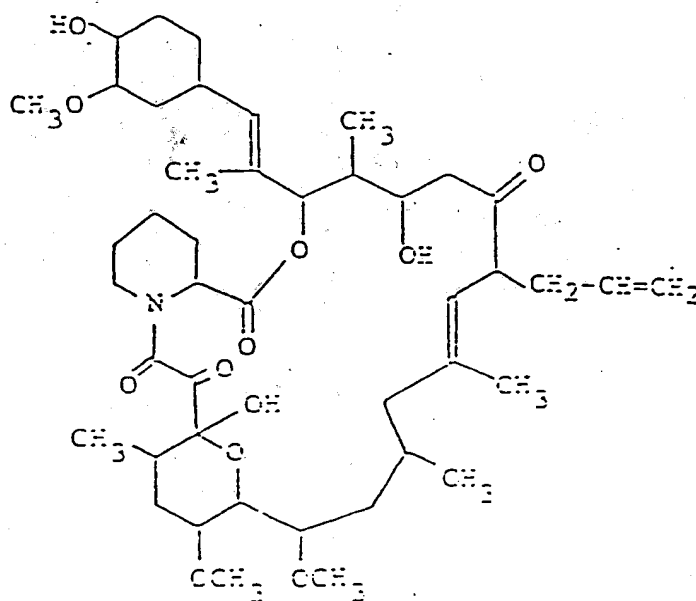
à condition que, lorsque R<sup>1</sup> et R<sup>2</sup> sont chacun un groupe hydroxy, n est le nombre entier 2 et

le symbole d'une ligne et d'une ligne en tirets est une liaison simple, R<sup>3</sup> soit alors un groupe méthyle, propyle ou allyle,

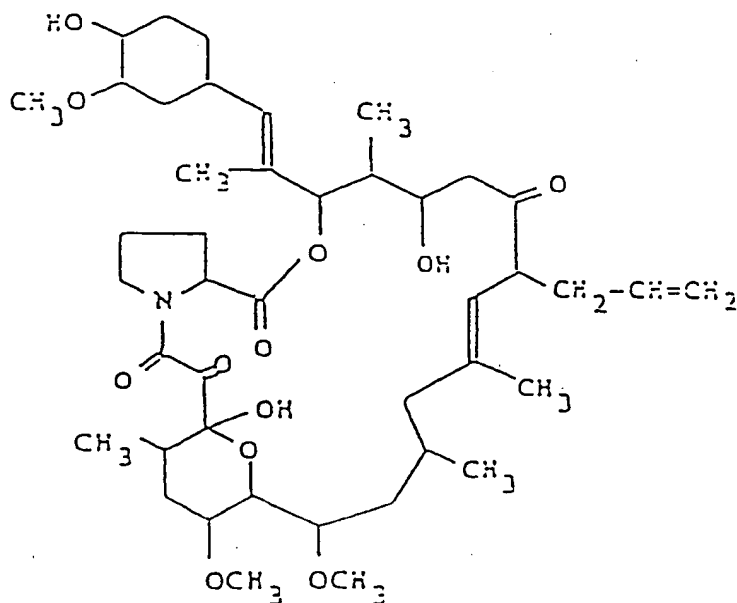
ou un de ses sels, qui consiste à :

(a) cultiver le *Streptomyces tsukubaensis* dans un milieu nutritif aqueux contenant des sources de carbone et d'azote assimilables,

et récupérer la (les) substance(s) FR-900506 et/ou FR-900525 par des moyens classiques pour obtenir la substance FR-900506 de la formule :



et/ou la substance FR-900525 de la formule :



(b) cultiver le *Streptomyces hygroscopicus* dans un milieu nutritif aqueux contenant des sources de carbone et d'azote assimilables,

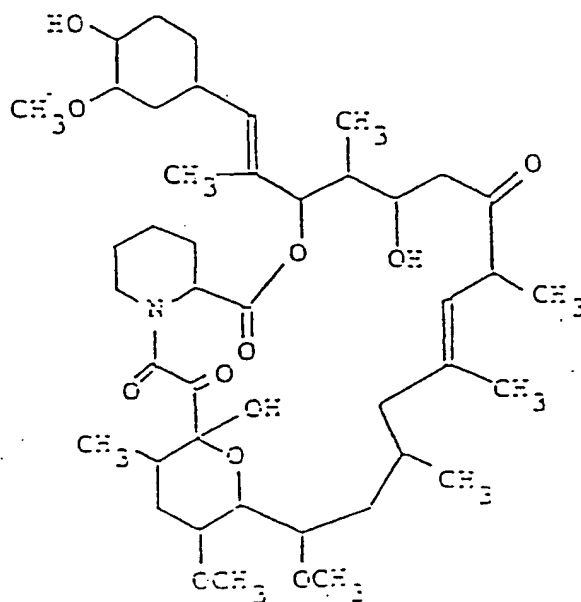
et récupérer la substance FR-900523 de la formule suivante par des moyens classiques,

5

10

15

20



25

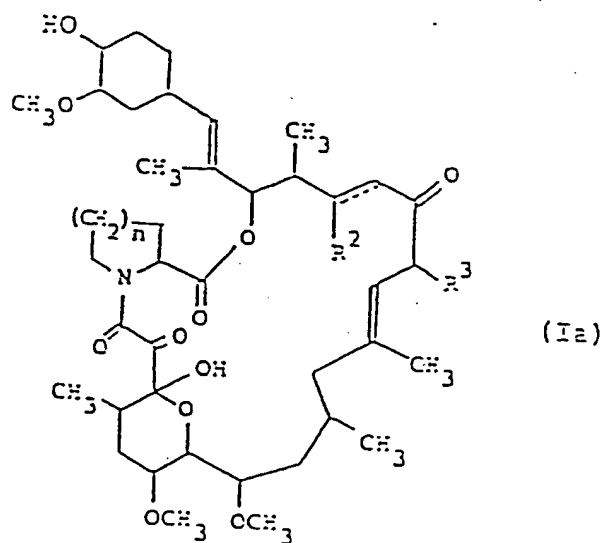
(c) introduire un groupe classique protecteur d'un groupe hydroxy dans un composé de la formule :

30

35

40

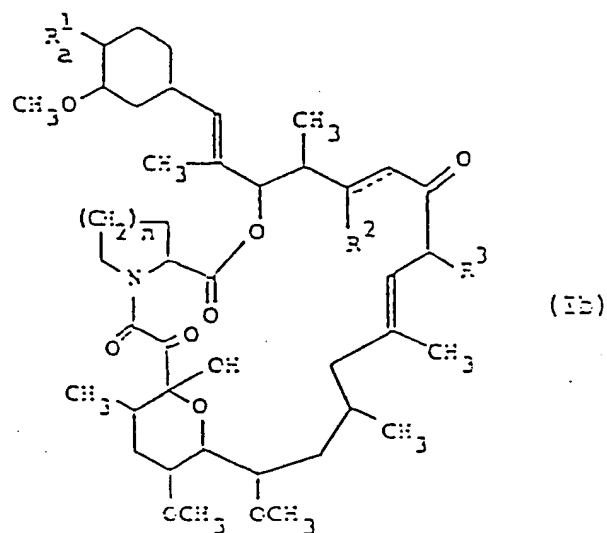
45



50

dans laquelle  
R<sup>2</sup>, R<sup>3</sup>, n et le symbole d'une ligne et d'une ligne en tirets sont chacun tels que définis ci-dessus,  
pour obtenir un composé de la formule :

55

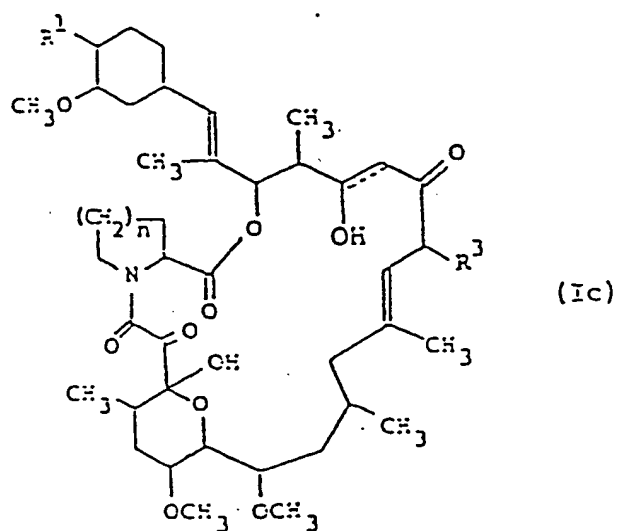


dans laquelle

$R^2$ ,  $R^3$ ,  $n$  et le symbole d'une ligne et d'une ligne en tirets sont chacun tels que définis ci-dessus, et  $R^1$  est un groupe hydroxy normalement protégé,

ou un de ses sels selon une manière connue en soi;

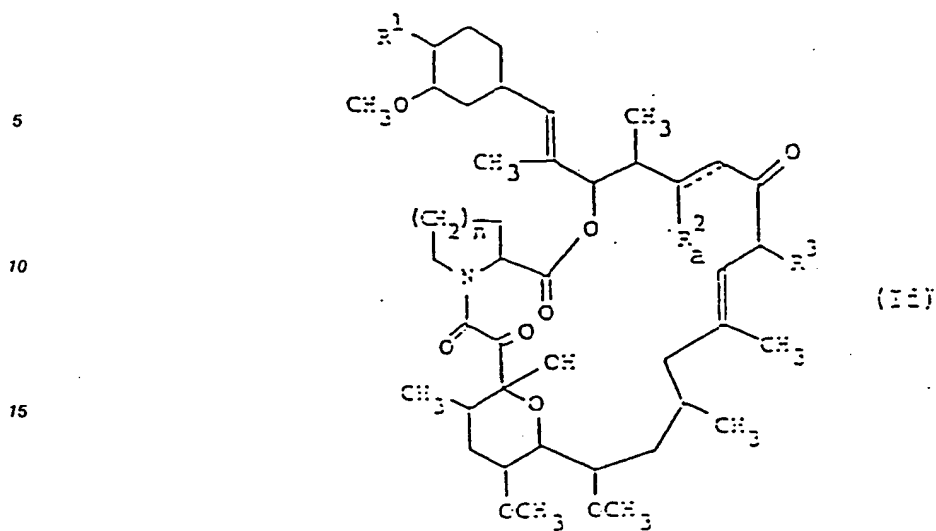
(d) introduire un groupe classique protecteur d'un groupe hydroxy dans un composé de la formule :



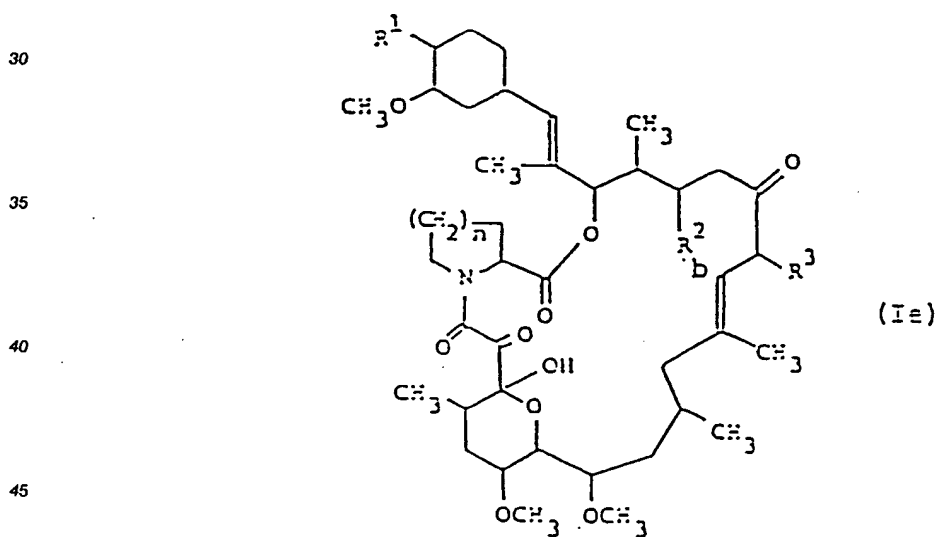
dans laquelle

$R^1$ ,  $R^3$ ,  $n$  et le symbole d'une ligne et d'une ligne en tirets sont chacun tels que définis ci-dessus,

ou un de ses sels, pour obtenir un composé de formule :

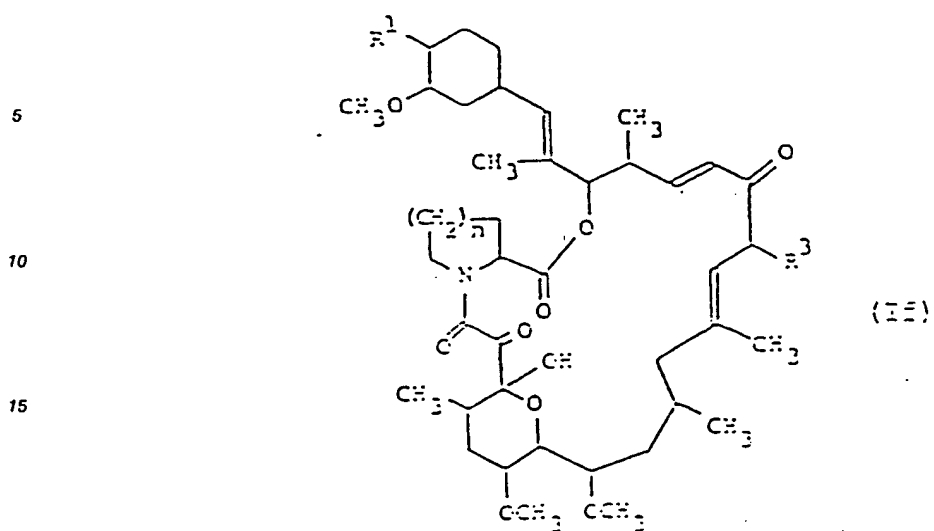


dans laquelle  
 $R^1$ ,  $R^3$ ,  $n$  et le symbole d'une ligne et d'une ligne en tirets sont chacun tels que définis ci-dessus, et  
 $R_a^2$  est un groupe hydroxy normalement protégé,  
 25 ou un de ses sels selon une manière connue en soi;  
 (e) faire réagir un composé de formule :



50 dans laquelle  
 $R^1$ ,  $R^3$  et  $n$  sont chacun tels que définis ci-dessus,  
 et  $R_b^2$  est un groupe partant,  
 ou un de ses sels avec une base, pour obtenir un composé de la formule :

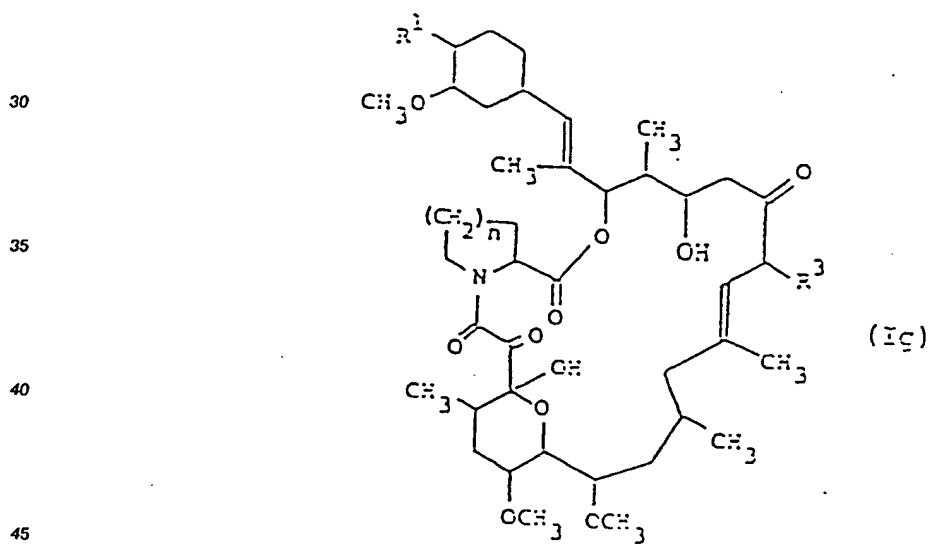
55



dans laquelle

R<sup>1</sup>, R<sup>3</sup> et n sont chacun tels que définis ci-dessus,  
ou un de ses sels selon une manière connue en soi;

(f) oxyder un composé de la formule :



dans laquelle

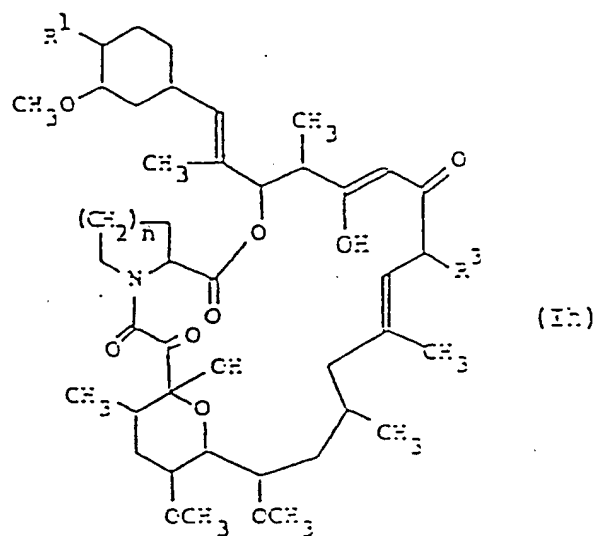
R<sup>1</sup>, R<sup>3</sup> et n sont chacun tels que définis ci-dessus,  
ou un de ses sels, pour obtenir un composé de la formule :

5

10

15

20



dans laquelle  $R^1$ ,  $R^3$  et  $n$  sont chacun tels que définis ci-dessus,  
ou un de ses sels selon une manière connue en soi; et  
(g) réduire un composé de la formule :

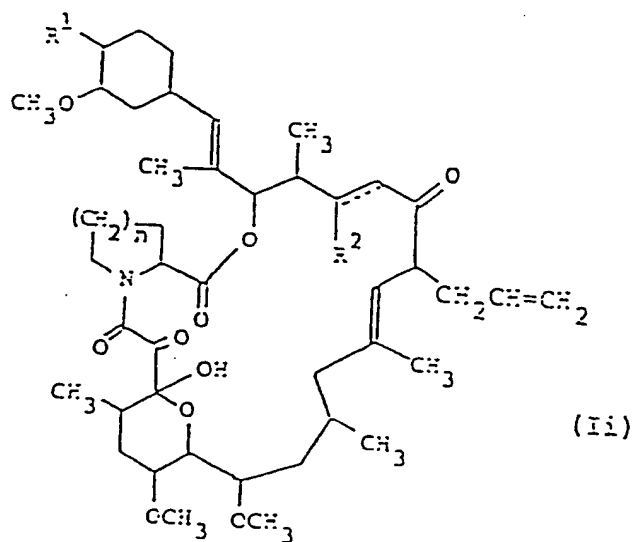
25

30

35

40

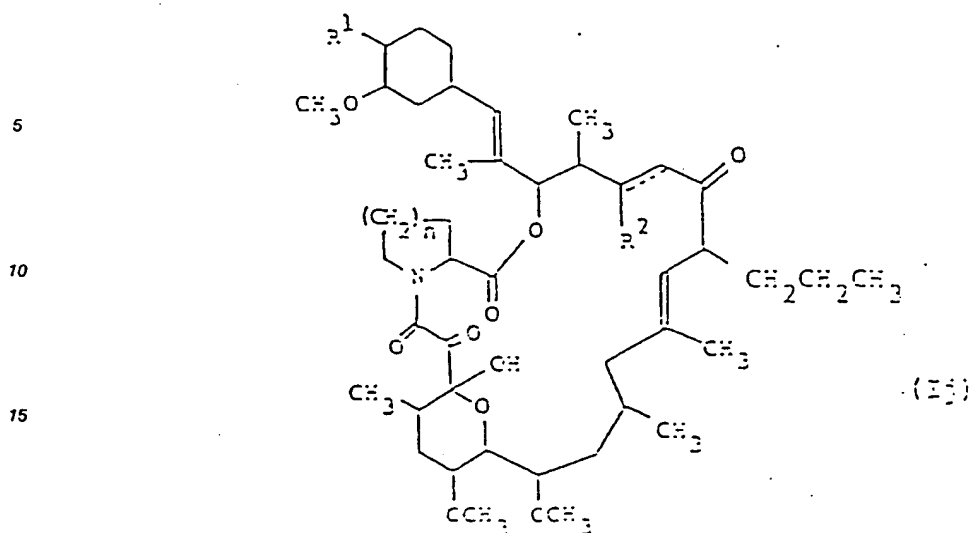
45



dans laquelle  
 $R^1$ ,  $R^2$ ,  $n$  et le symbole d'une ligne et d'une ligne en tirets sont chacun tels que définis ci-dessus,  
ou un de ses sels, pour obtenir un composé de la formule :

50

55

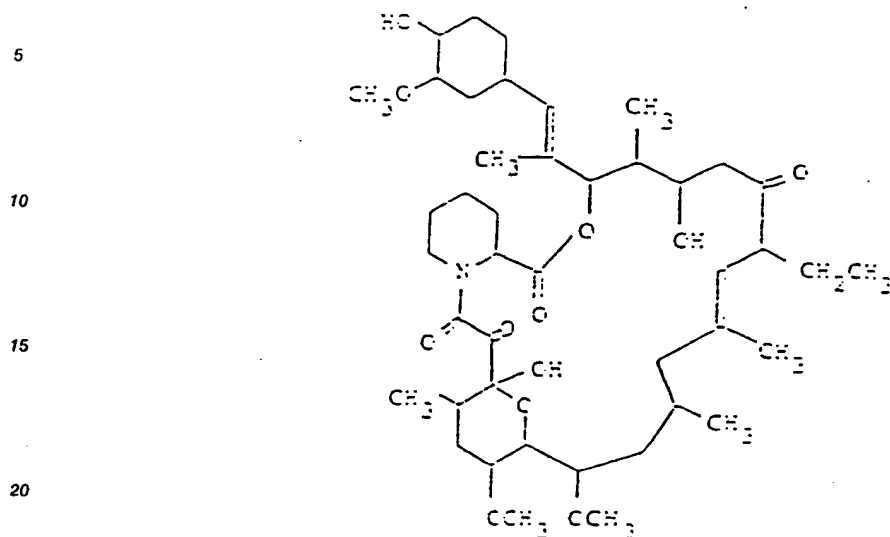


dans laquelle

R<sup>1</sup>, R<sup>2</sup>, n et le symbole d'une ligne et d'une ligne en tirets sont chacun tels que définis ci-dessus, ou un de ses sels selon une manière connue en soi.

- 25
14. Composition pharmaceutique contenant des composés tricycliques selon la revendication 1, comme ingrédients actifs, en association avec un support ou un excipient pharmaceutiquement acceptables, sensiblement non toxiques.
- 30
15. Utilisation de composés tricycliques selon la revendication 1 et la revendication 20 pour la fabrication d'un médicament pour le traitement ou la prévention de la résistance lors du transplantations, lors de maladies greffe sur hôte par transplantation de la moëlle osseuse, et lors des maladies autoimmunes.
- 35
16. Utilisation des composés tricycliques selon la revendication 1 pour la fabrication d'un médicament.
17. Utilisation des composés tricycliques selon la revendication 1 pour la fabrication d'un agent immuno-suppresseur ou antimicrobien.
- 40
18. Culture biologiquement pure du microorganisme *Streptomyces tsukubaensis* N° 9993.
19. Culture biologiquement pure du microorganisme *Streptomyces hygroscopicus* subsp. *yakushimaensis* N° 7238.

20. Procédé pour la préparation de la substance FR-900520 de la formule :



25 qui comprend la culture du *Streptomyces tsukubaensis* ou du *Streptomyces hygroscopicus* subsp. *yakushimaensis* N° 7238 dans un milieu nutritif aqueux contenant des sources de carbone et d'azote assimilables,

pour obtenir la substance FR-900520.

30 21. Composé tricyclique selon la revendication 1 pour l'utilisation comme médicament.

22. Composé tricyclique selon la revendication 1 pour l'utilisation comme agent immunosupresseur.

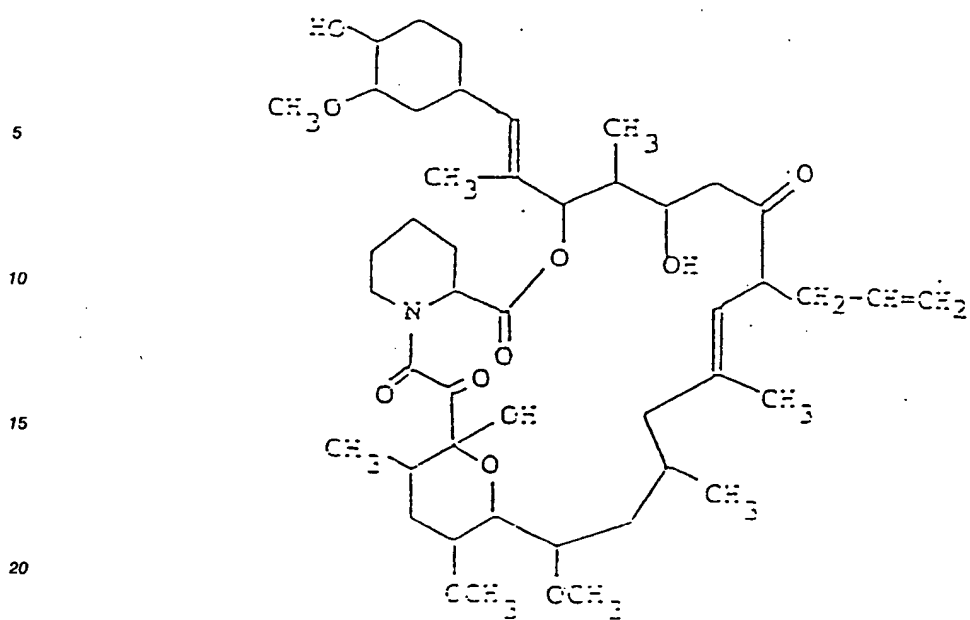
35 23. Composé tricyclique selon la revendication 1 pour l'utilisation comme agent immunosupresseur, selon la revendication 22, dans lequel le composé tricyclique est la substance FR-900506 de la formule suivante :

40

45

50

55

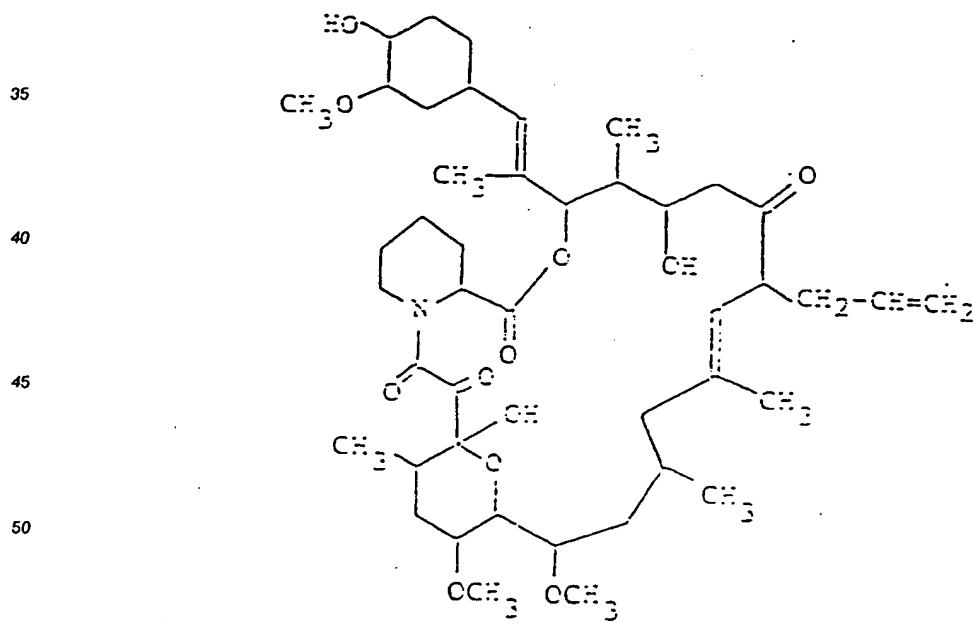


25

24. Procédé selon la revendication 13 ou la revendication 20, dans lequel la culture est effectuée dans des conditions aérobies.

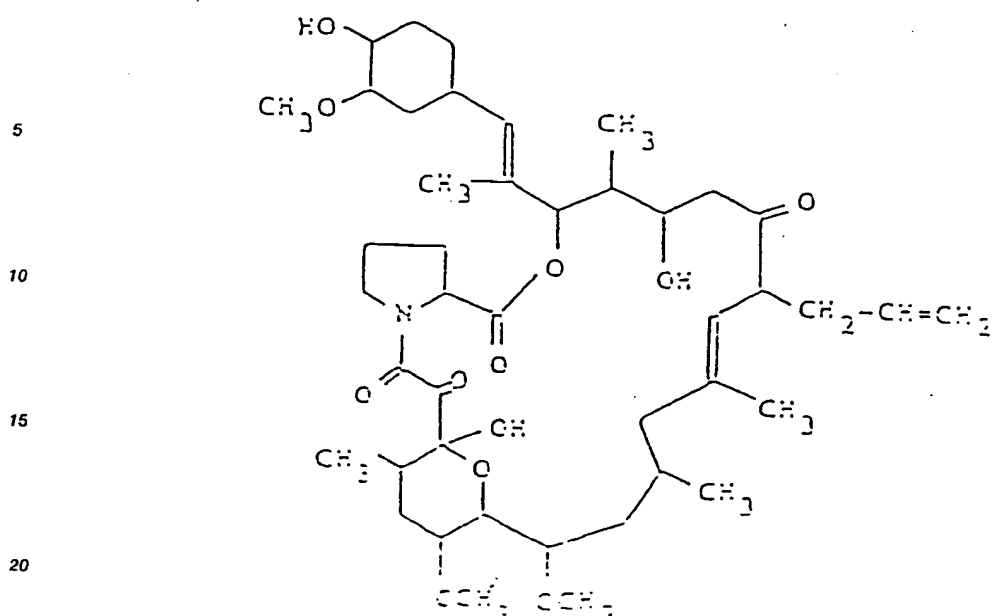
30

25. Utilisation du microorganisme streptomyces tsukubaensis N° 9993 pour la préparation de:  
la substance FR-900506 de la formule :

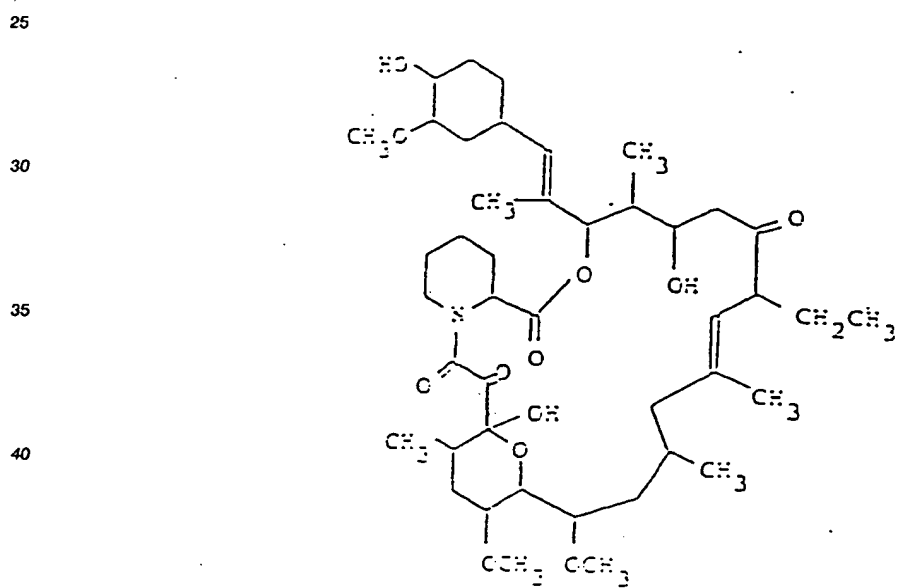


55

la substance FR-900525 de la formule :



et/ou la substance FR-900520 de la formule :



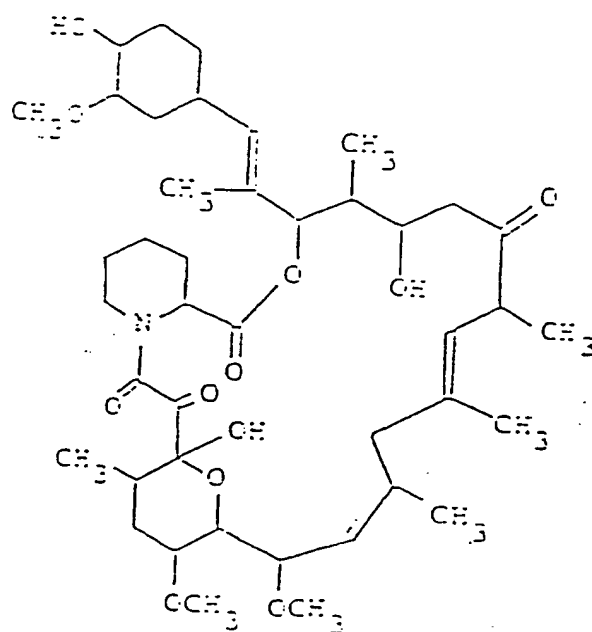
26. Utilisation du microorganisme streptomyces hygroscopicus subsp. yakushimaensis N° 7238 pour la  
préparation de la substance FR-900523 de la formule suivante :

5

10

15

20



25

et/ou la substance FR-900520 de la formule :

30

35

40

45

50

55

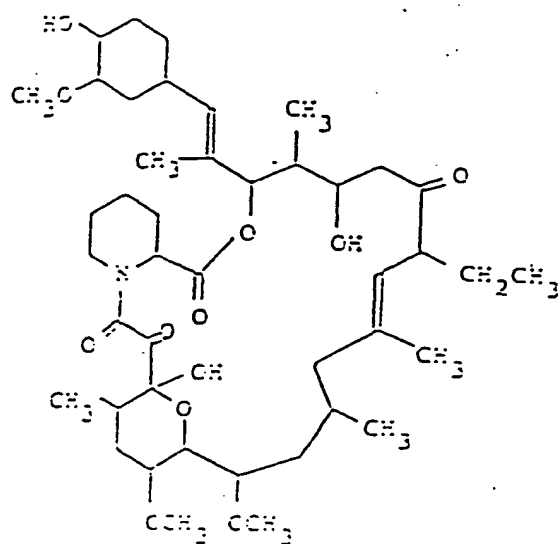


Figure 1

$^{13}\text{C}$  Nuclear Magnetic Resonance Spectrum  
of powdery FR-900506 Substance in  $\text{CDCl}_3$

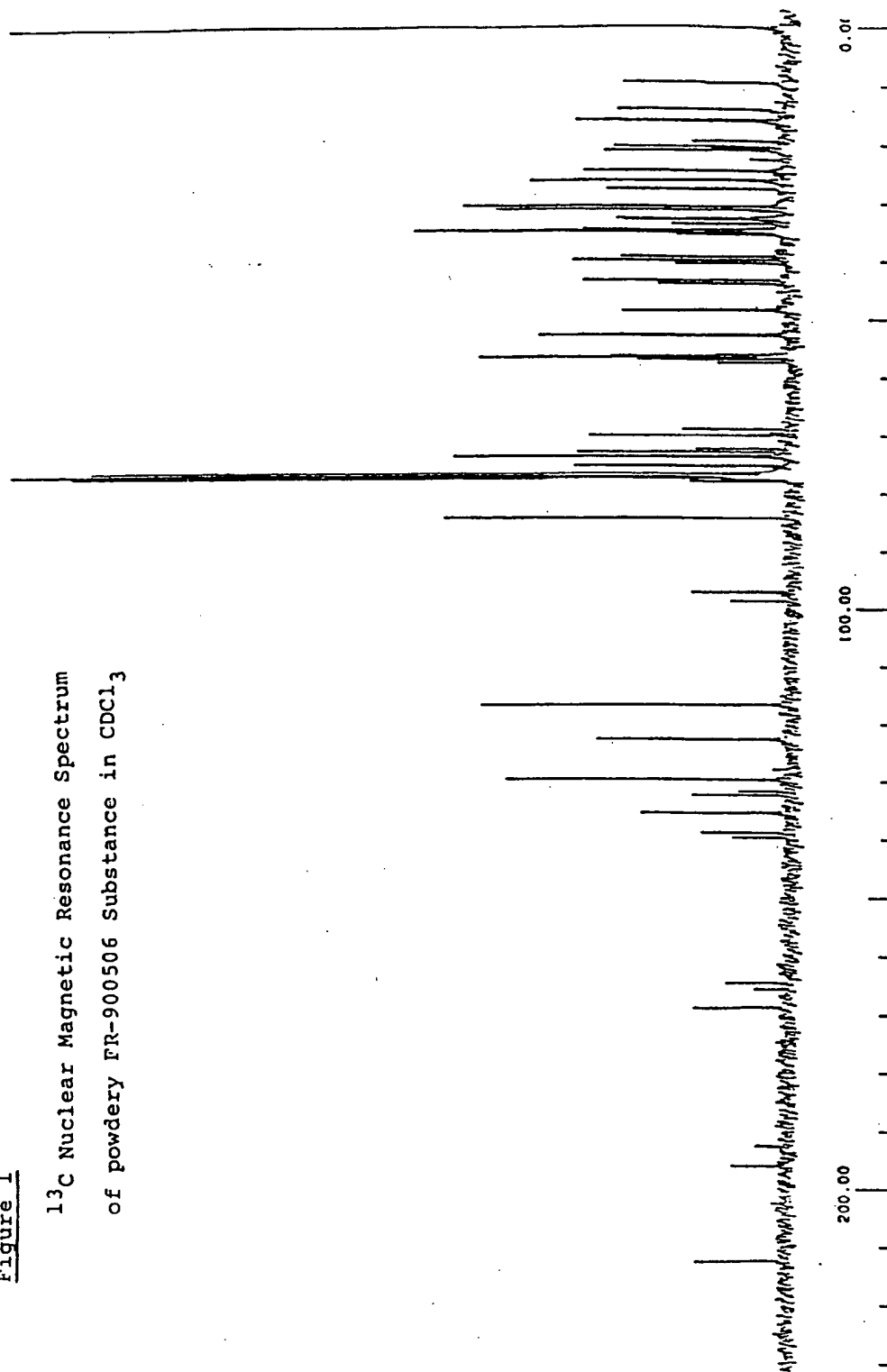


Figure 2

$^1\text{H}$  Nuclear Magnetic Resonance Spectrum  
of powdery FR-900506 Substance in  $\text{CDCl}_3$

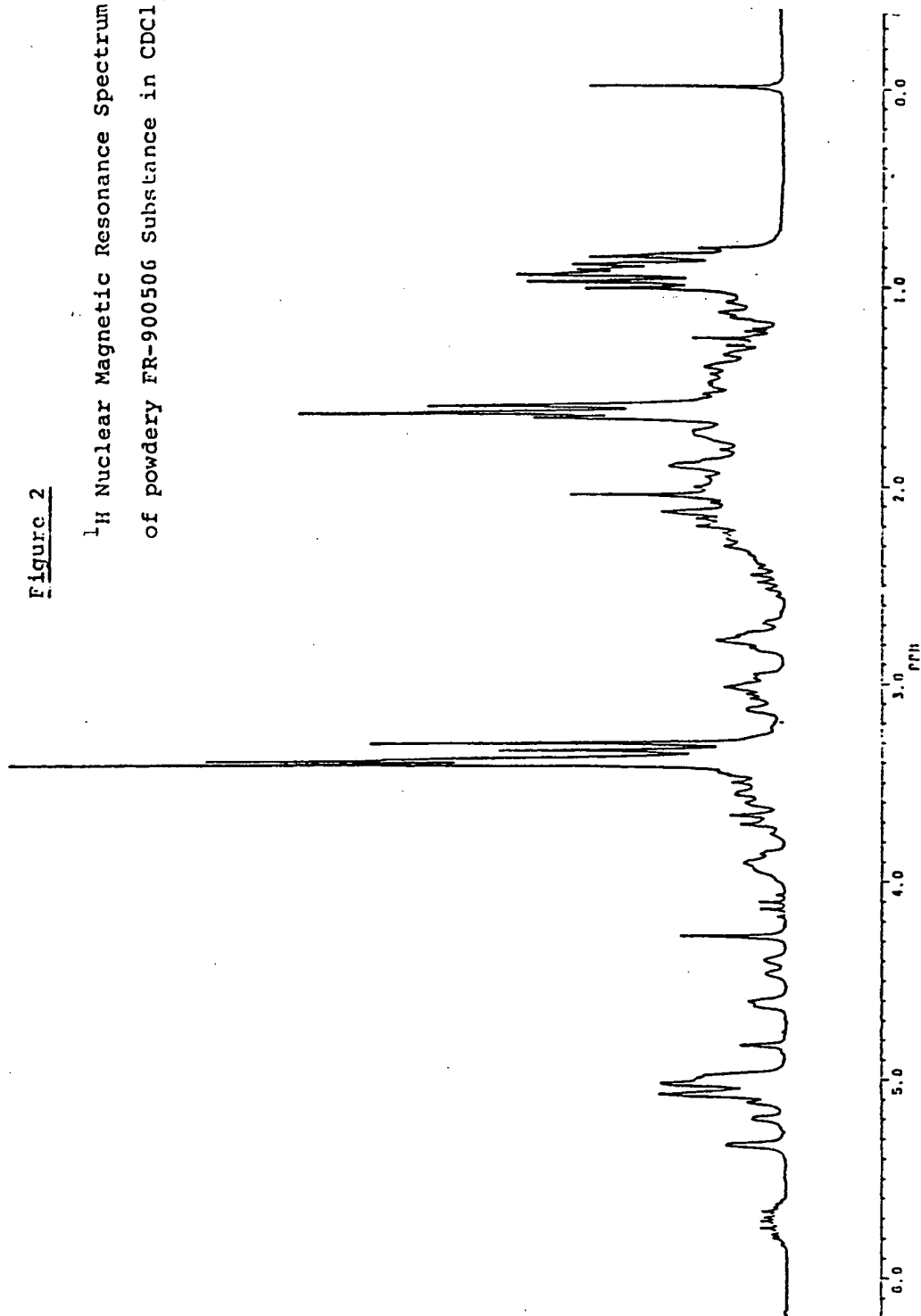


Figure 3  $^{13}\text{C}$  Nuclear Magnetic Resonance Spectrum of crystalline  
FR-900506 Substance in  $\text{CDCl}_3$

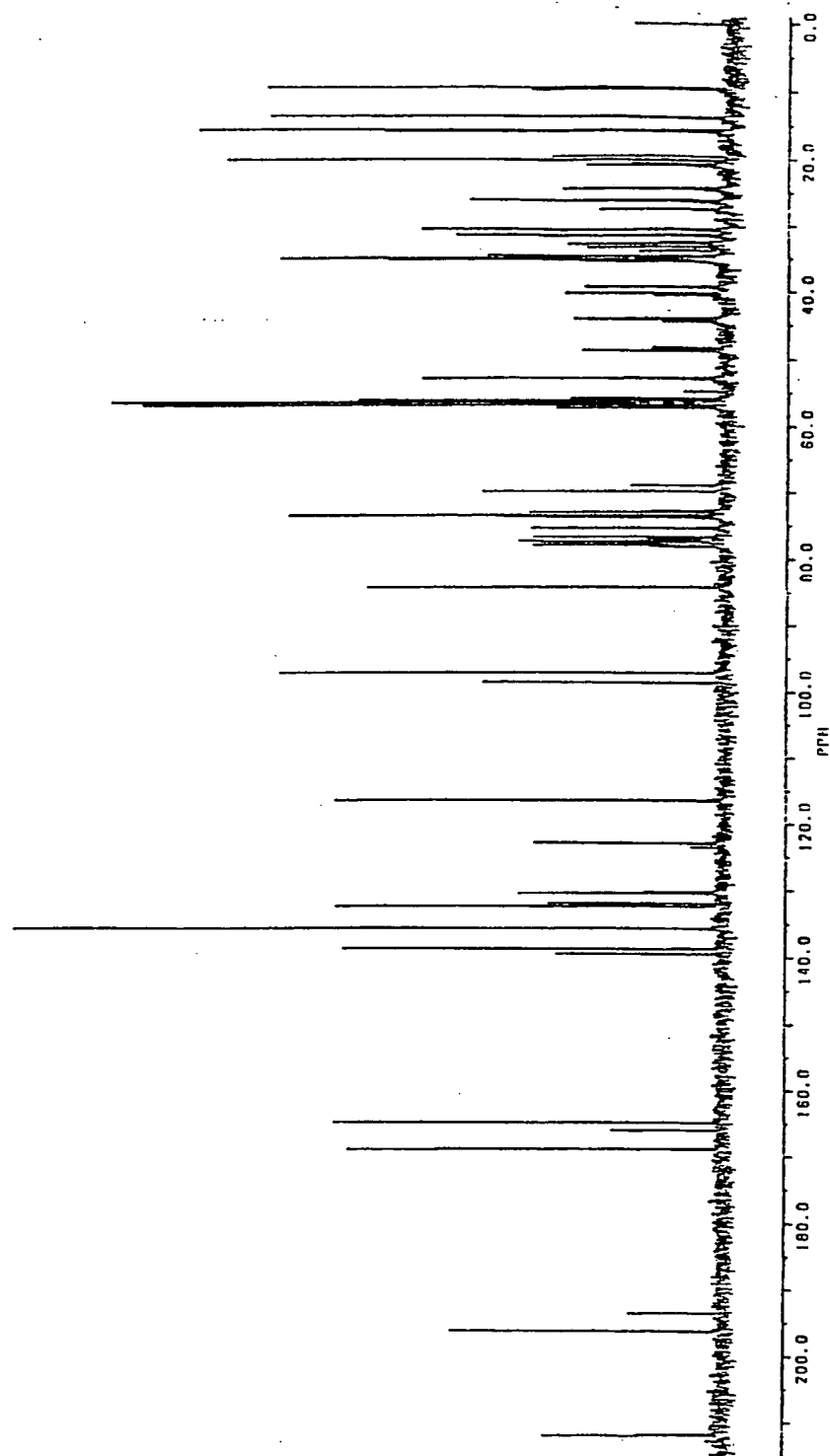
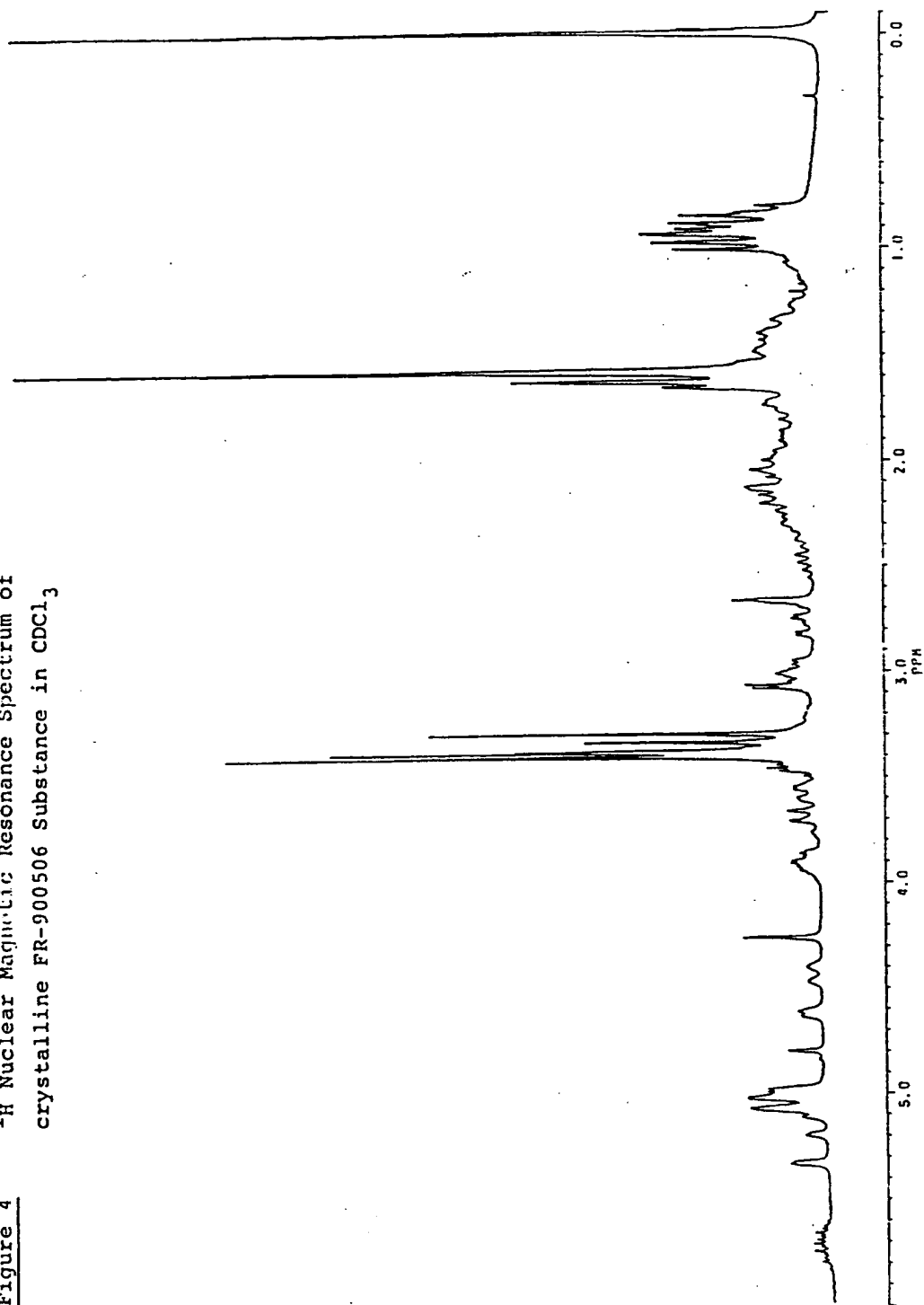


Figure 4  $^1\text{H}$  Nuclear Magnetic Resonance Spectrum of  
crystalline FR-900506 Substance in  $\text{CDCl}_3$



**Figure 5**  
 $^{13}\text{C}$  Nuclear Magnetic Resonance Spectrum of FR-900525  
 Substance in  $\text{CDCl}_3$

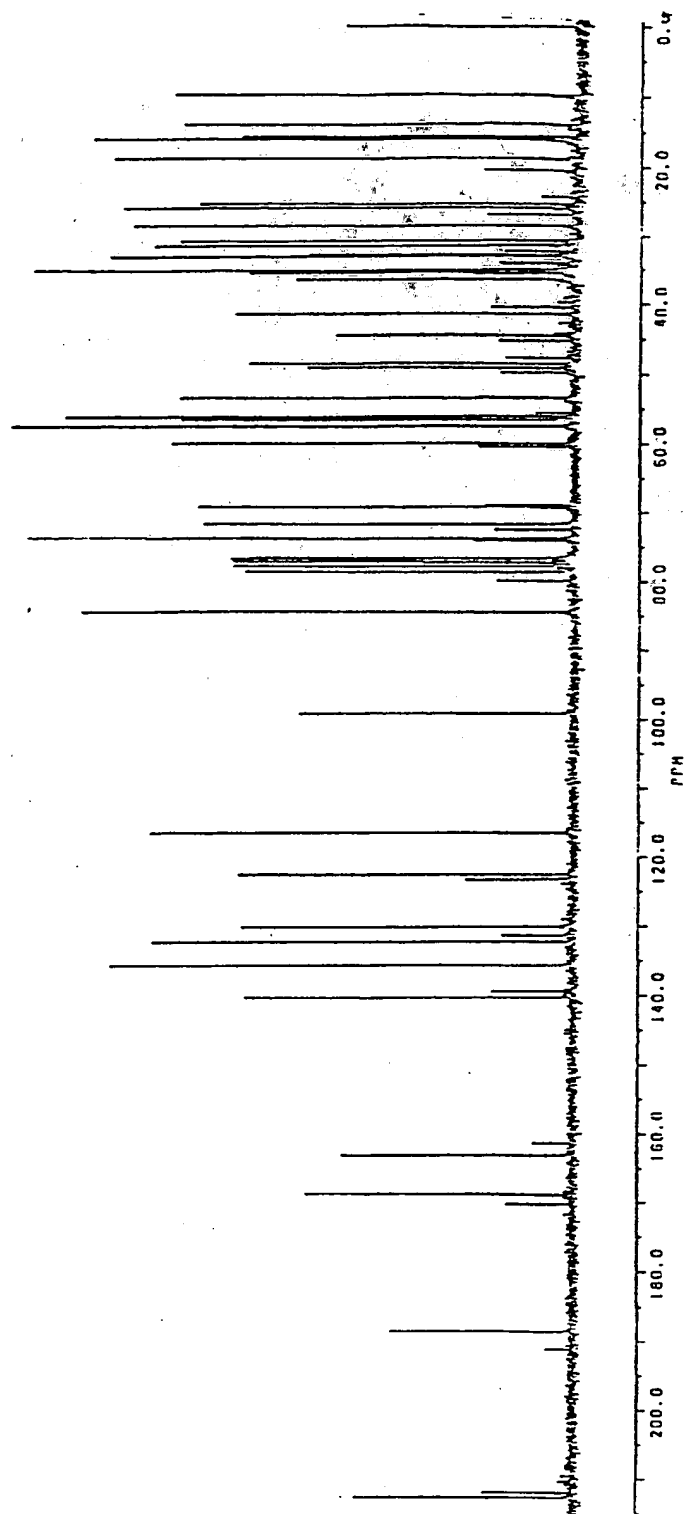


Figure 6  $^1\text{H}$  Nuclear Magnetic Resonance Spectrum of FR-900525  
Substance in  $\text{CDCl}_3$

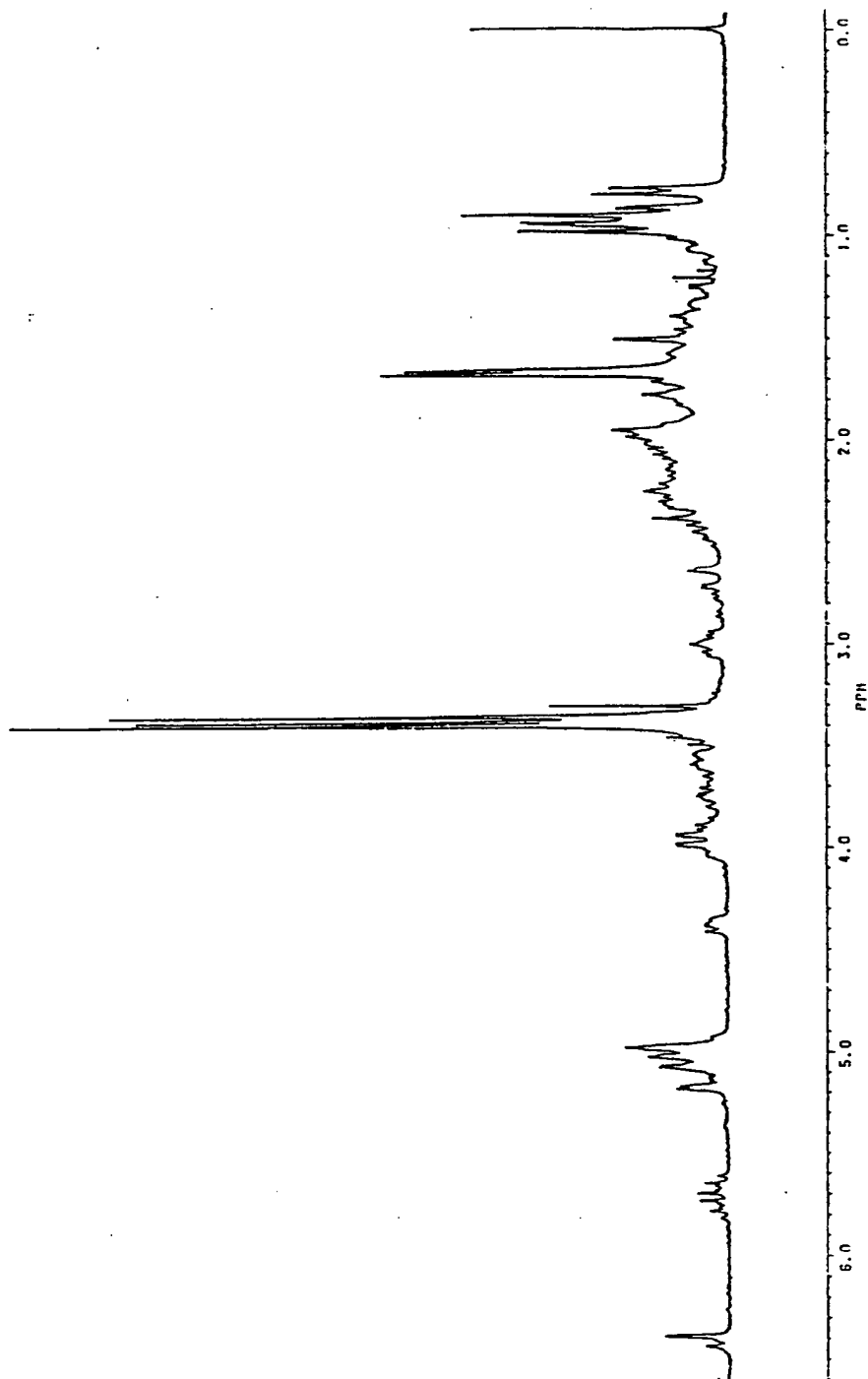


Figure 7  $^{13}\text{C}$  Nuclear Magnetic Resonance Spectrum of PN-900520 Substance  
in  $\text{CDCl}_3$

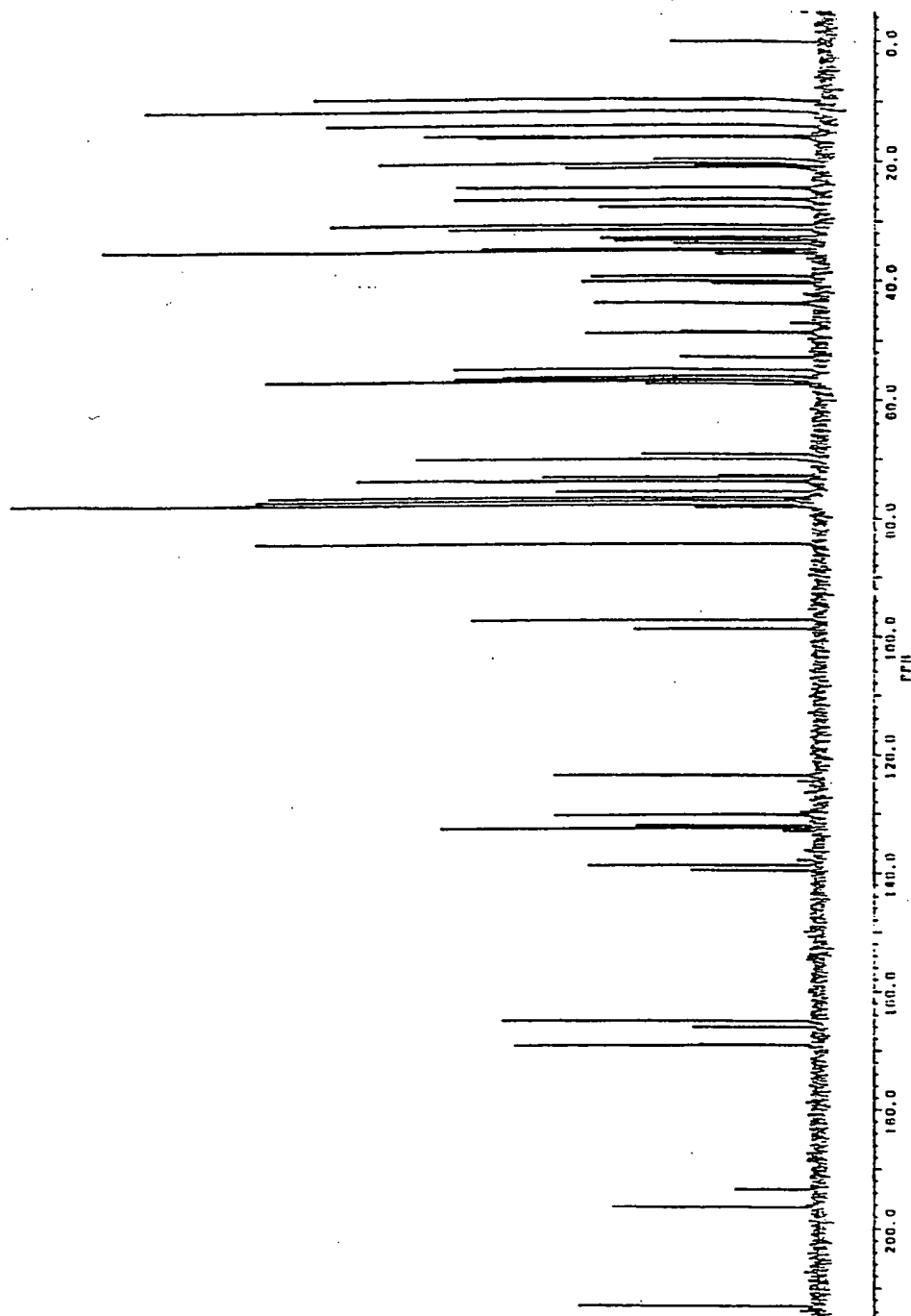


Figure 8  
 $^1\text{H}$  Nuclear Magnetic Resonance Spectrum of FR-900520 Substance  
in  $\text{CDCl}_3$

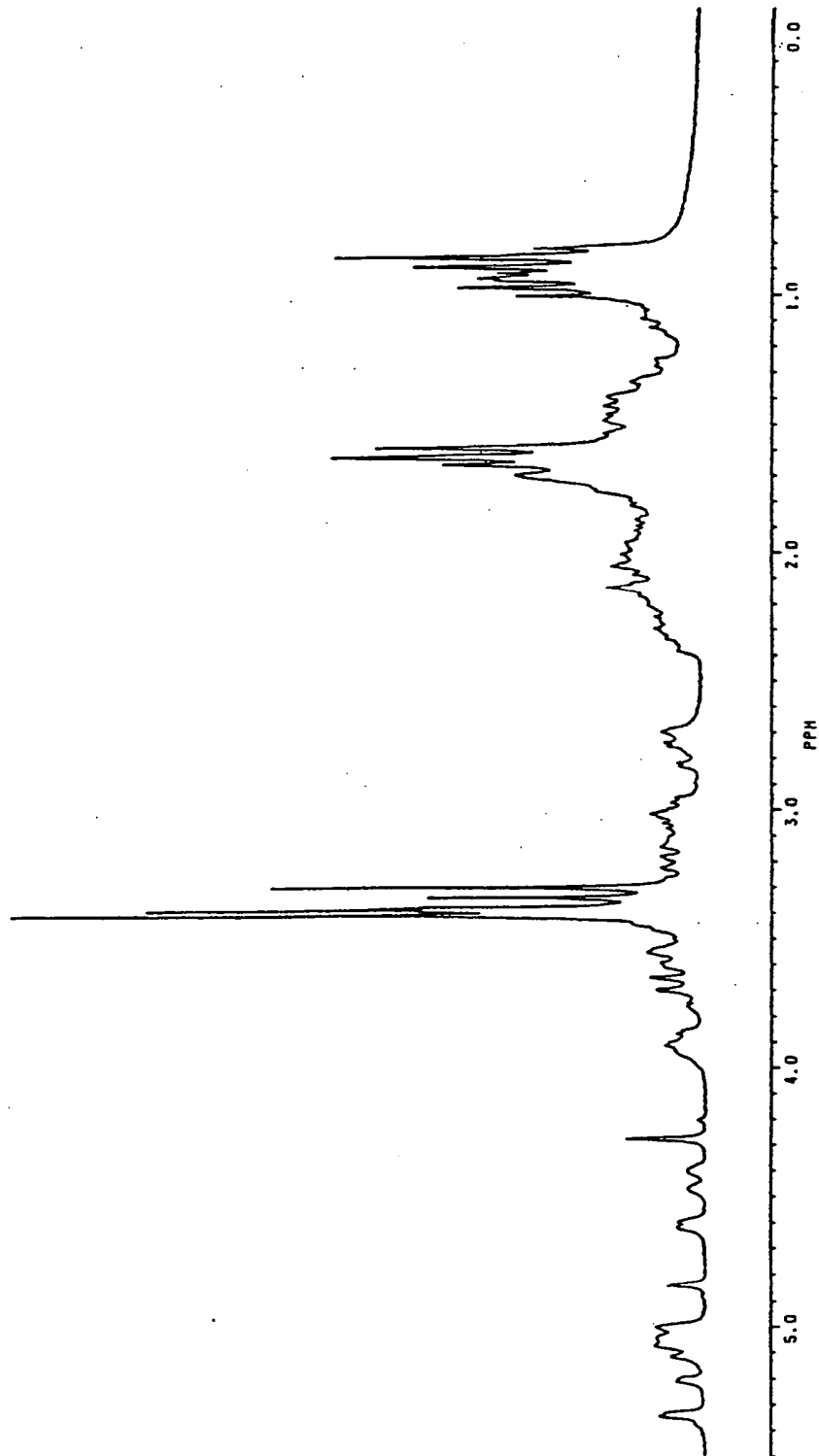


Figure 9  $^{13}\text{C}$  Nuclear Magnetic Resonance Spectrum of FR-900523 Substance  
in  $\text{CDCl}_3$

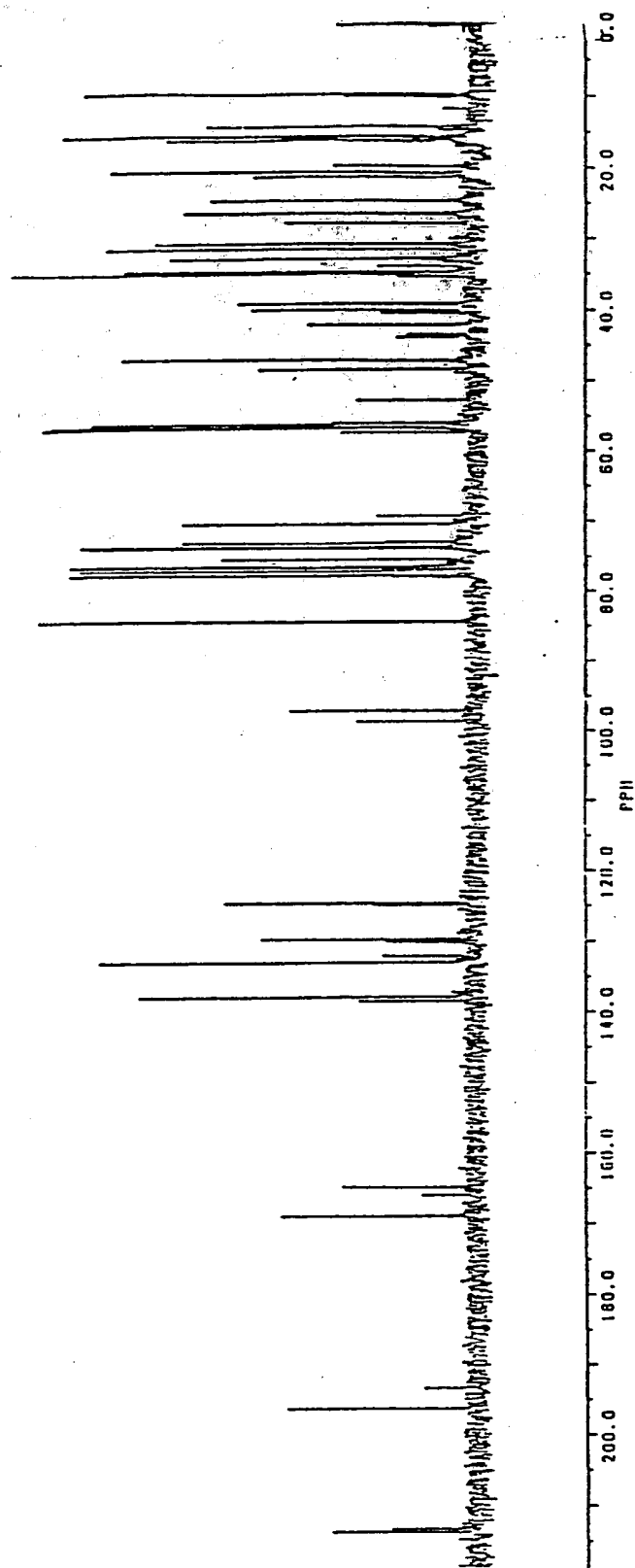


Figure 10  $^1\text{H}$  Nuclear Magnetic Resonance Spectrum of FR-900523 Substance  
in  $\text{CDCl}_3$

